

Data processing with DIALS



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IFSC/CCP4 Molecular Crystallography School

November 2018

**What are we doing and why are we
doing it?**

We have 1 or more
crystals and want to
determine the
structure of our protein

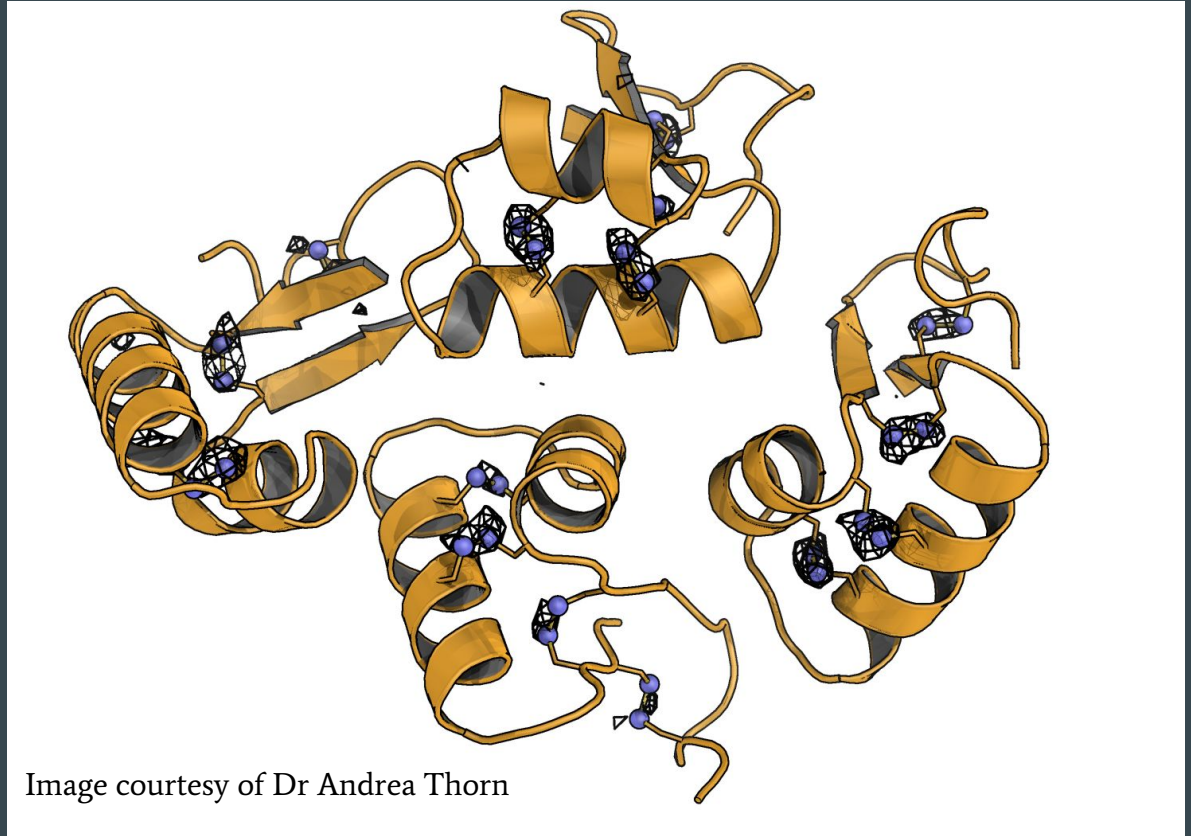
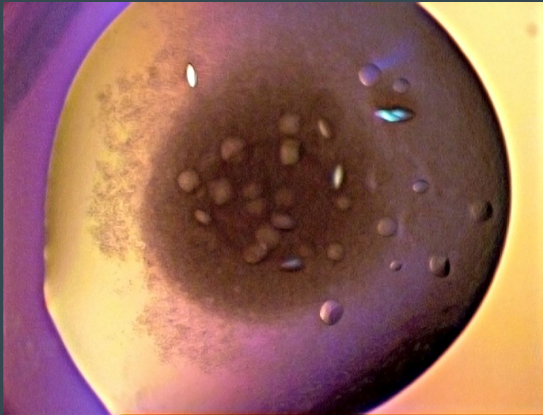
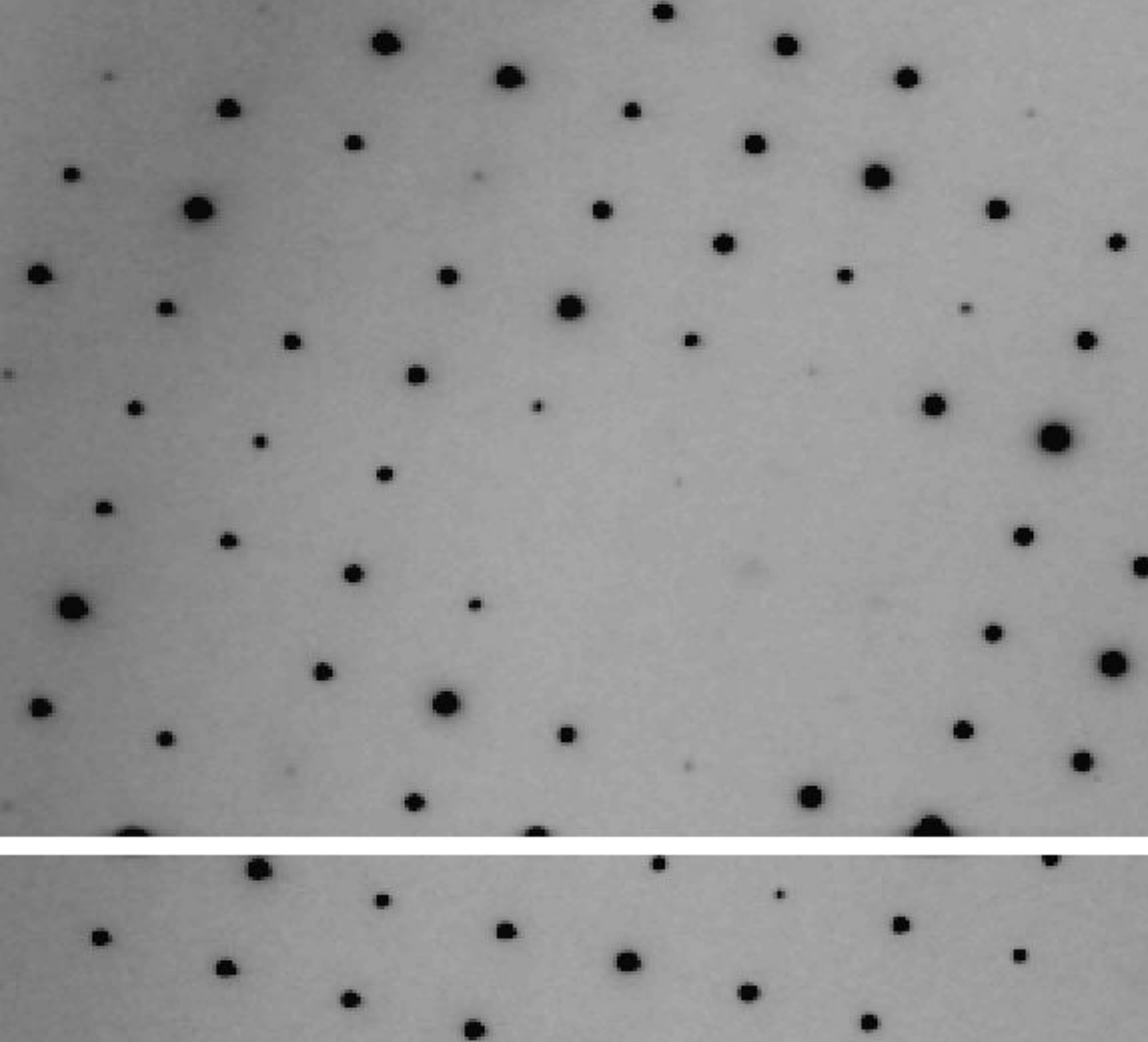
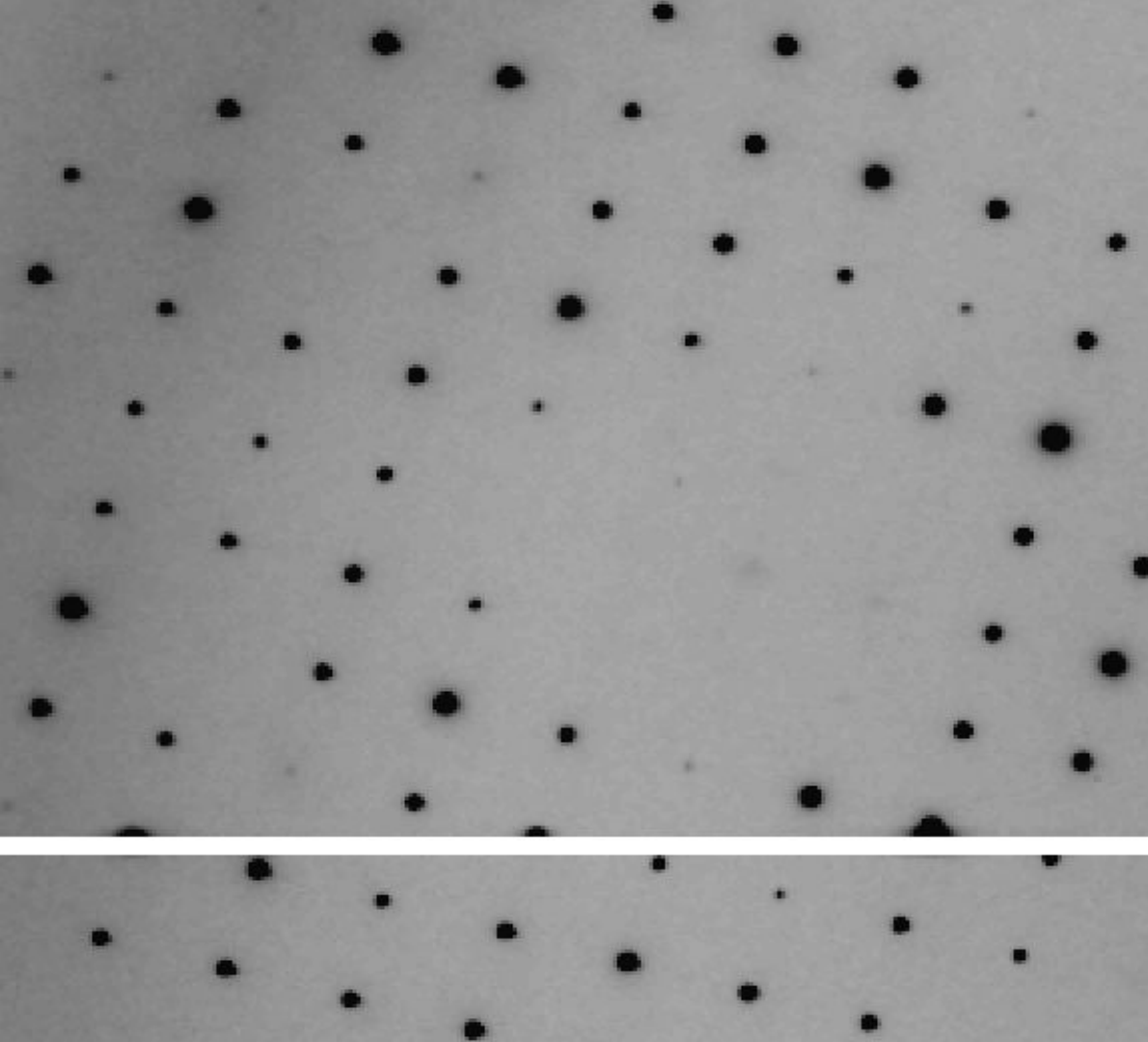


Image courtesy of Dr Andrea Thorn



However, the data
we collect are
diffraction images!



Compute the intensity of each Bragg spot in a set of diffraction images

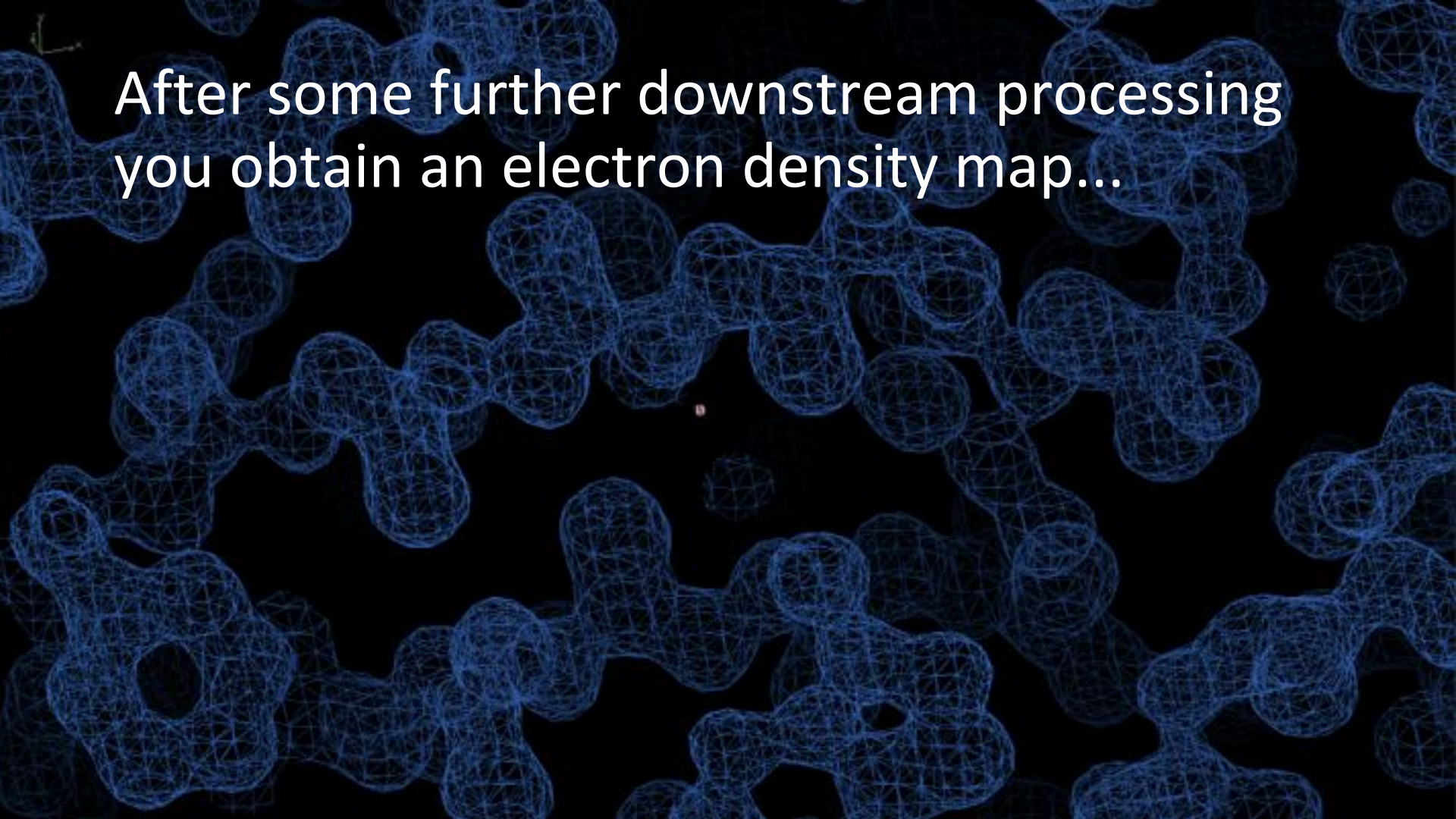
$$|F_{hkl}| = \sqrt{\frac{K I_{hkl}}{Lp}}$$

K = constant for given crystal

L = Lorentz factor

p = polarization factor

After some further downstream processing
you obtain an electron density map...



And after some model building you can determine your protein structure...

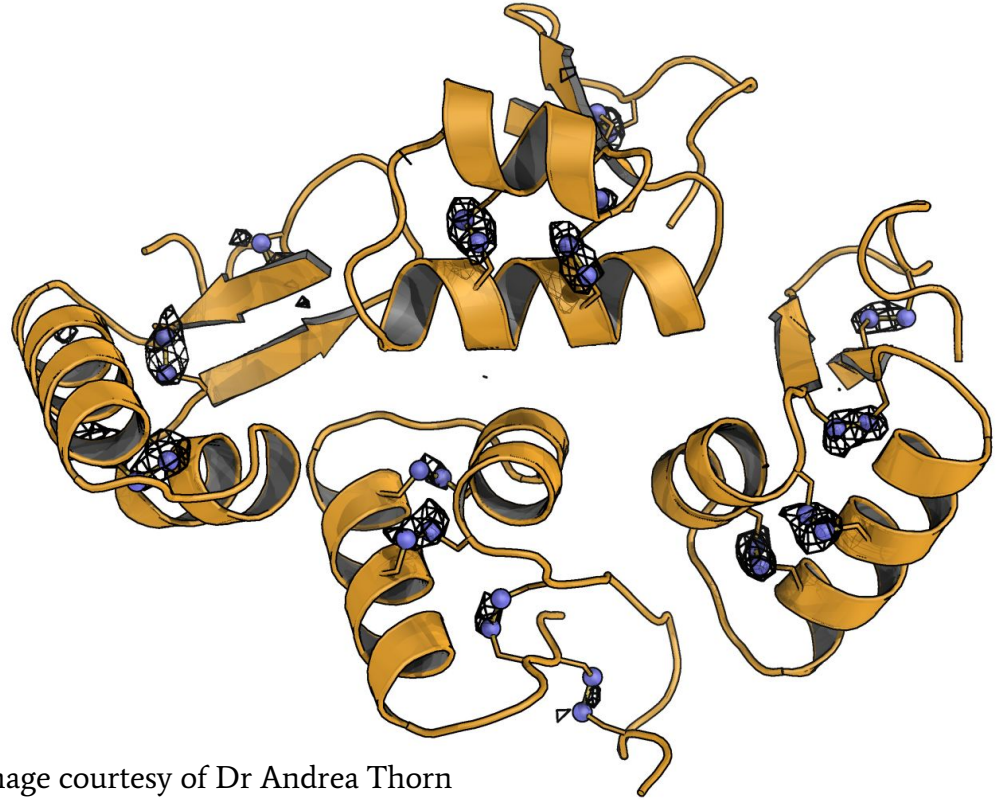
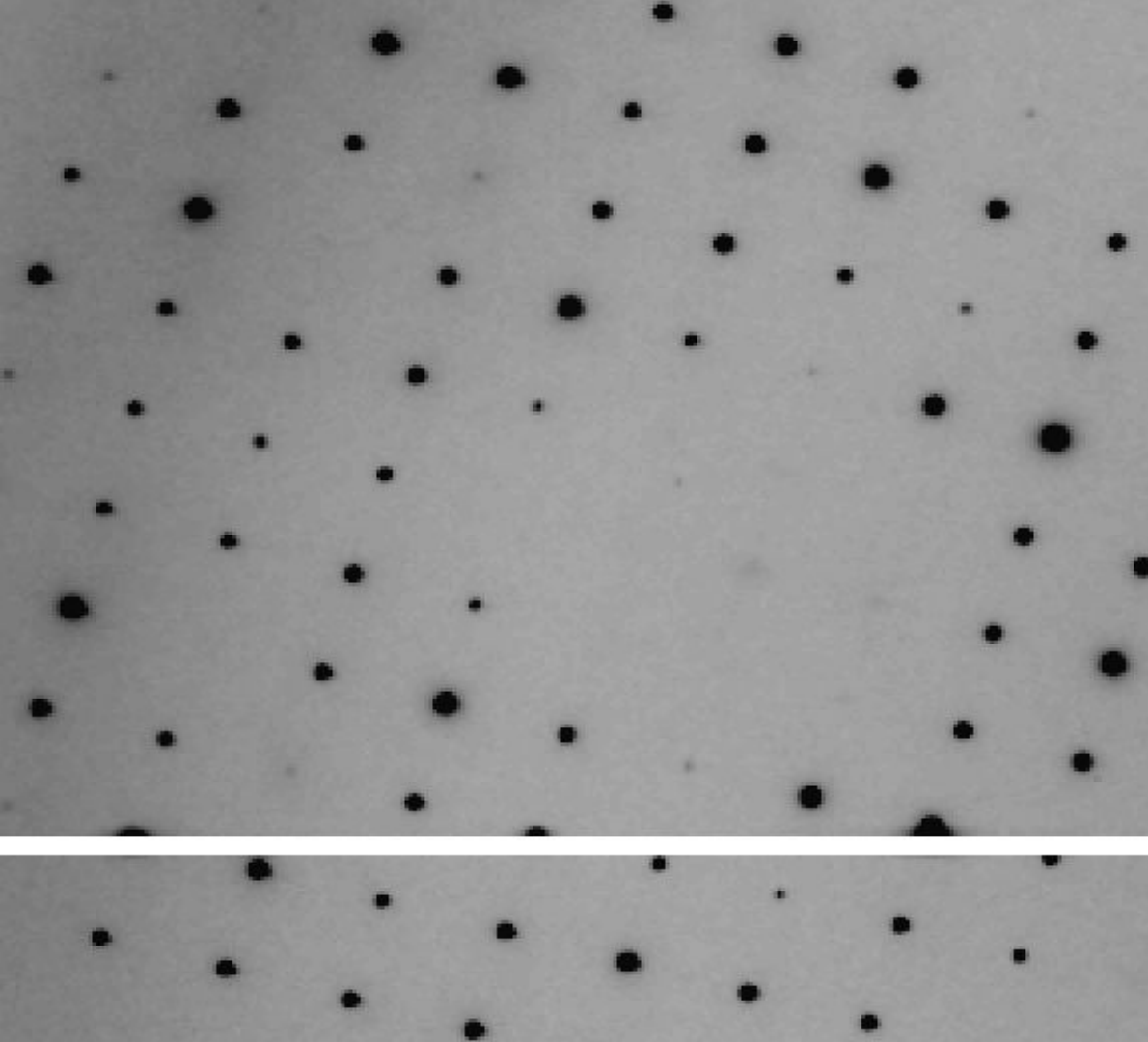


Image courtesy of Dr Andrea Thorn

$$\rho(x, y, z) = \frac{1}{V} \sum_h \sum_k \sum_l F_{hkl} e^{-2\pi i(hx + ky + lz)}$$

Electron density at every point depends on the intensity of every reflection. We need to measure our intensities as well as possible!

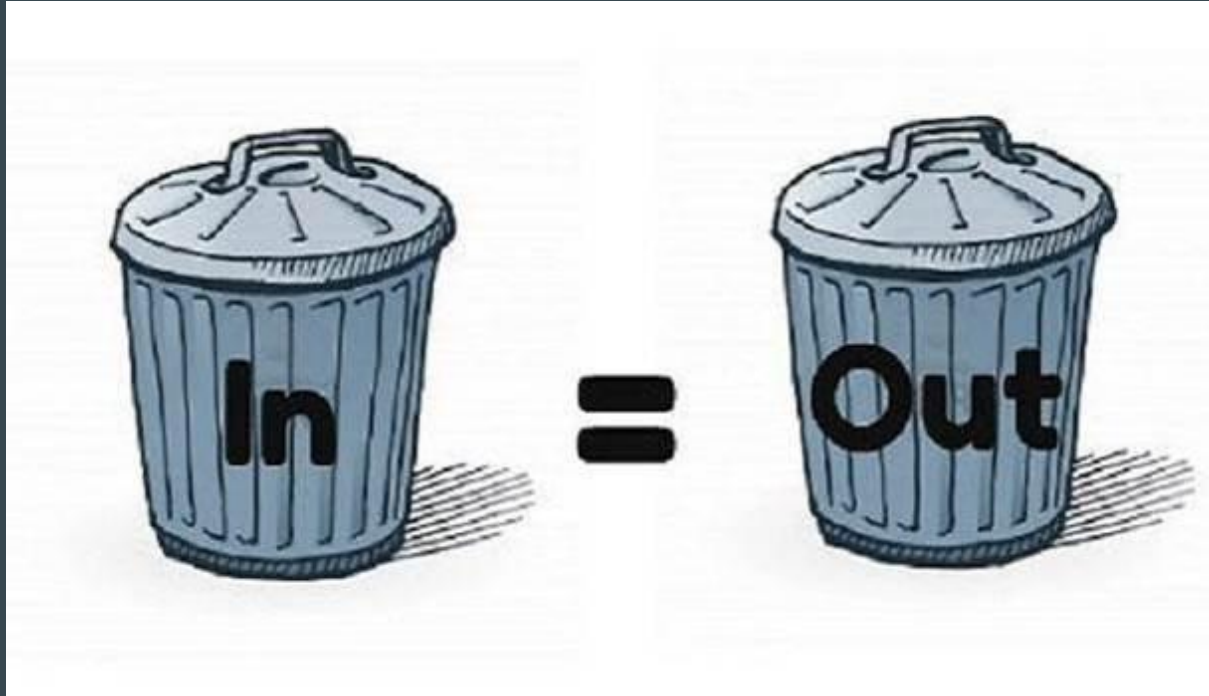


To compute spot intensities you need to predict their positions.

To predict their positions you need to know the crystal unit cell and space group.

To compute the unit cell and space group you need to find strong spots.

Warning: garbage in, garbage out



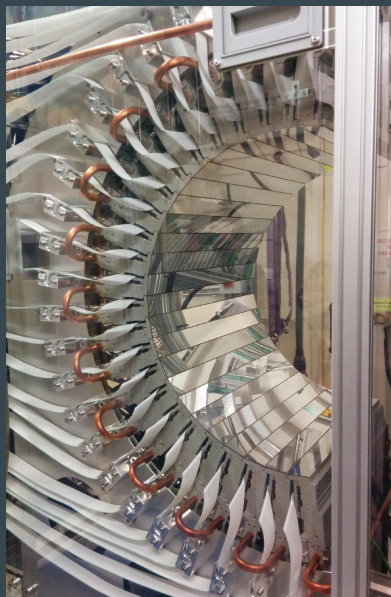
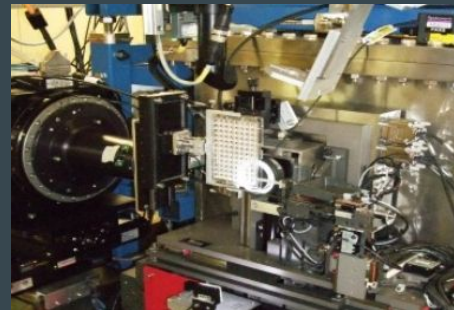
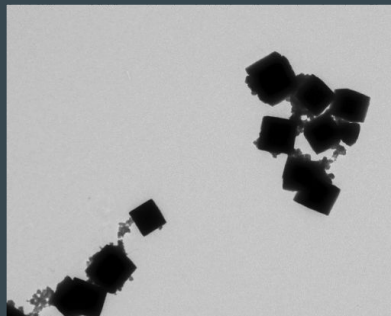
Data collection is the last experimental stage; if you collect bad data you are stuck with it! Data processing programs won't be able to rescue you!

DIALS overview

What is DIALS

Diffraction Integration for **Advanced Light Sources**

- Project began in late 2011 at Diamond Light Source and CCP4
- Additional funding by BioStruct-X and now Wellcome Trust
- Now an international collaborative development
- Aim to develop new data processing software to meet modern challenges



Acknowledgements

research papers

Acta Crystallographica Section D

**Biological
Crystallography**

ISSN 0907-4449

XDS

The usage and control package *XDS* for 1 described in the con include automatic di range and recognition. Moreover, the limita number of correction pixel contents has been restructured fo and completeness of measurement.

1. Functional speci

The program package developed for the re recorded on a plana monochromatic X-ra *XDS* accepts a : rotation images from and multiwire area metrics and produce: of the reflections occ way. The program as positive amount of c incident beam and cr imposes no limitati directions of the rot oscillation range cov

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research papers

Acta Crystallographica Section D

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The finer things in

X-ray diffraction images from sensitive detectors can be cha depending on whether the rotati is greater than or less than the c. The expectations and consequen and thin images in terms of spa X-ray background and $I\sigma(I)$ software suite for processing (introduced, and results from d those from another popular pac

1. Introduction

Two-dimensional position-sensitive for many years in X-ray diffract ular, data from crystals of mac oligonucleotides and their cor acquired with an area detector obsolete), a multi-wire system recently commercialized char coupled to a phosphor-coated fit detectors, the crystal, centered in oscillated around a single axis th $\sim 2.0^\circ$, while counts from diffrac for a specified time. At the en detector is read out and the cou two-dimensional array with each to a distinct position on the c

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research papers

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The integrati

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The objective of any produce from a set of with their associated uncertainties), togeth crystal unit-cell param reliable, but should i intervention. The pro three stages. The first parameters and the o parameters may indic. The second step is to r parameters and also l known as post-refiner images, which consists reflections on each in intensity of each reflex out while simultaneou parameters. Basic fea each of these three st with reference to the |

1. Introduction

The collection of mac gone dramatic advanc advent of two-dimensi and CCDs, crystal cry monochromatic and

Centre National de la Recherche Scientifique
Université Paris-Sud

Laboratoire pour l'Utilisation du Rayonnement Electromagnétique

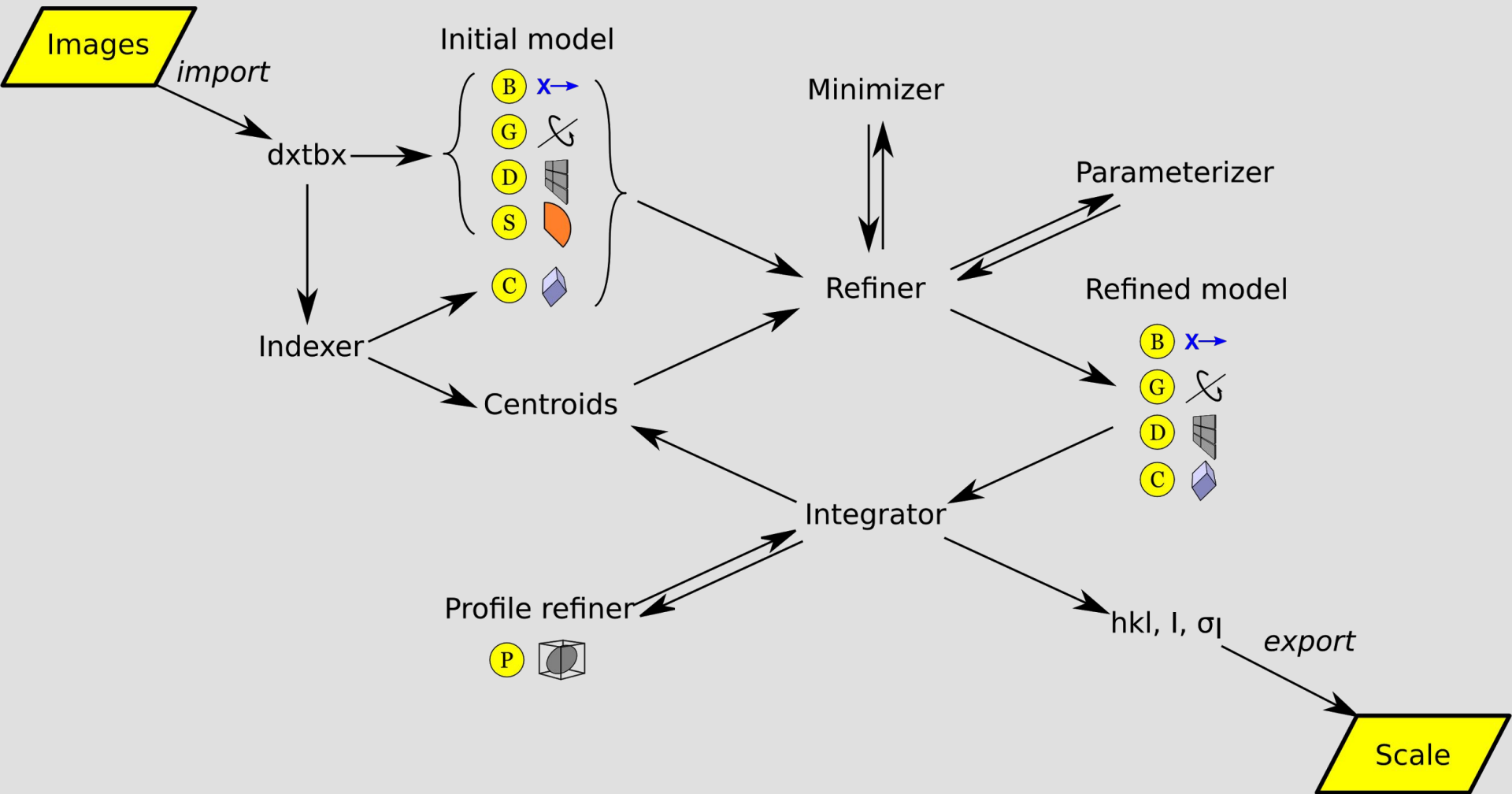
Proceedings

of the EEC Cooperative Workshop

on Position-Sensitive Detector Software

(Phases I & II)

held at L.U.R.E. from May 26 to June 7, 1986.



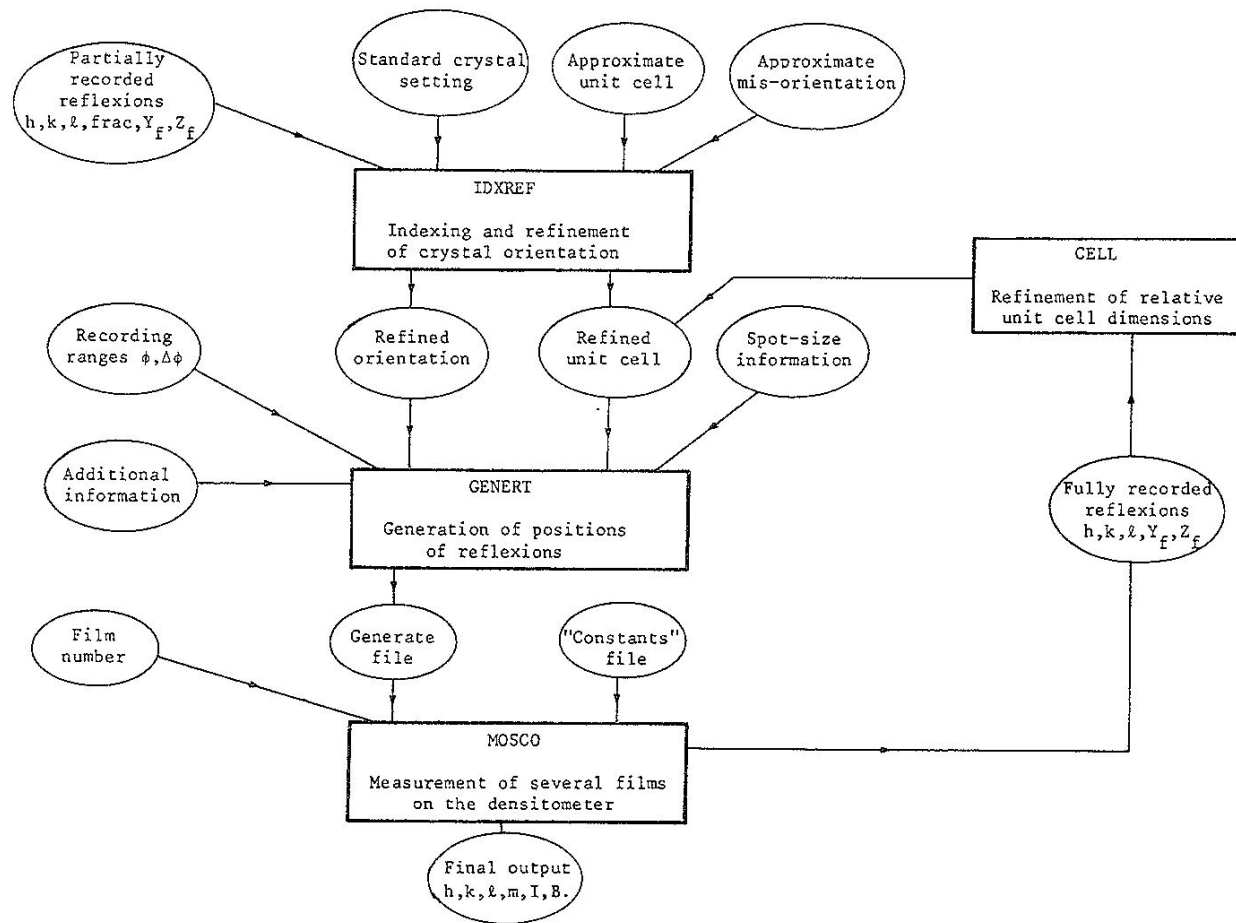
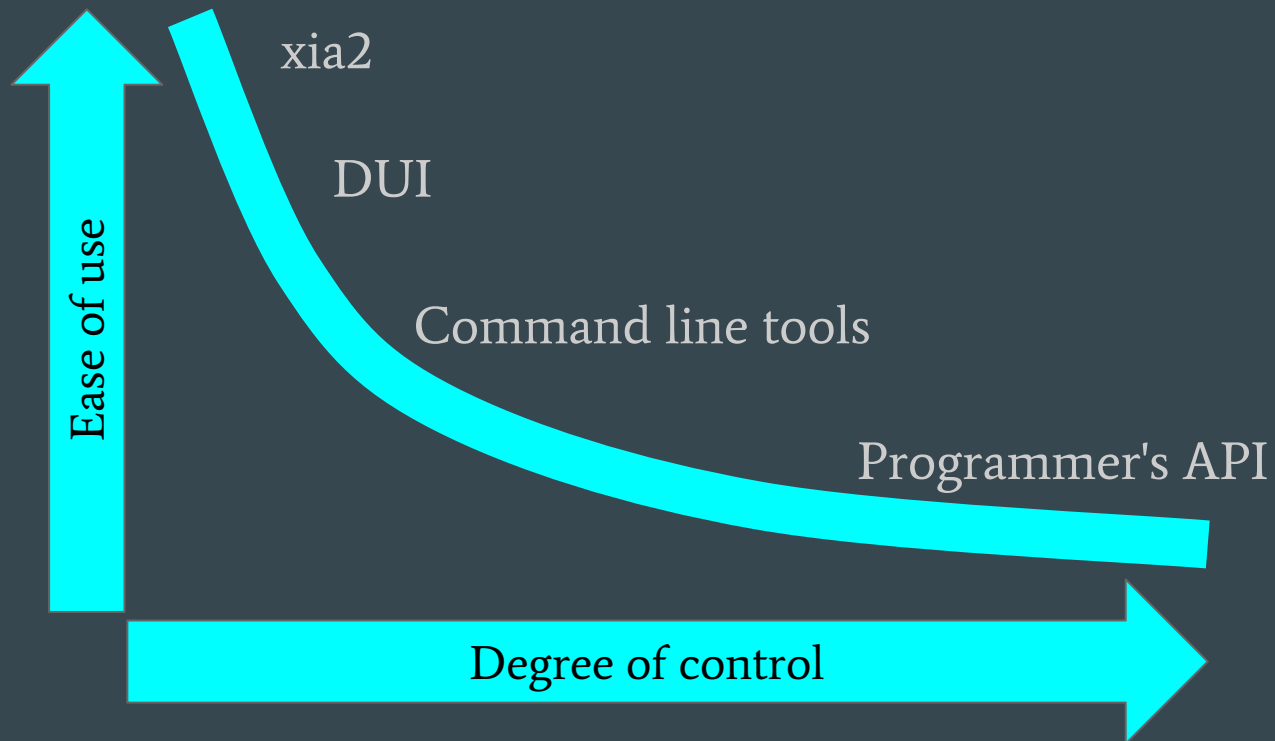


Fig. 10.1. Simplified flow-diagram of the Cambridge system, showing the inter-relationship of the component programs, IDXREF, GENERT, MOSCO and CELL.

Philosophy: toolbox



Philosophy: levels of interaction



xia2 -dials \${data_directory}

```
For AUTOMATIC/DEFAULT/NATIVE
High resolution limit          1.36    6.08    1.36
Low resolution limit          53.92   53.92   1.40
Completeness                   99.8    99.9    97.8
Multiplicity                   5.3     4.9     3.1
I/sigma                        11.7    26.8    2.2
Rmerge                         0.061   0.026   0.370
Rmeas(I)                       0.075   0.032   0.521
Rmeas(I+/-)                    0.075   0.032   0.495
Rpim(I)                        0.032   0.014   0.279
Rpim(I+/-)                     0.042   0.018   0.326
CC half                         0.999   0.998   0.818
Wilson B factor                8.913
Anomalous completeness        97.5   100.0   77.3
Anomalous multiplicity        2.6    3.1    1.8
Anomalous correlation          0.005   0.204  -0.019
Anomalous slope                0.955   0.000   0.000
Total observations             292123  3747   12262
Total unique                   55480   768    3919
Assuming spacegroup: P 41 21 2
Other likely alternatives are:
P 43 21 2
Unit cell:
57.781  57.781 149.995
90.000  90.000  90.000
```

DIALS/XIA2 in CCP4 I2

The screenshot displays the CCP4-7.0.037 Project Viewer: General interface. The top menu bar includes File, Edit, History, Utilities, Projects, and Help. Below the menu is a toolbar with icons for Task menu, View in Coot, View in CCP4mg, Export MTZ, Help, Bibliography, Clone job, Run, and Run on server.

The left pane shows the 'Project directory' with a tree view:

- Job/File
- 4 xia2/dials
- ↖ 3 xia2/dials
- ↖ 1 xia2/dials

The right pane displays a list of tasks under the heading 'Import merged data, sequences, alignments or coordinates':

- Integrate X-ray images**
 - xia2** Automated integration of images with DIALS using xia2
DIALS Select a directory containing images and integrate them
 - xia2** Automated integration of images with XDS using xia2
XDS Select a directory containing images and integrate them
 - m** Integrate images with Mosflm
Launch iMosflm and capture output
- X-ray data reduction and analysis**
- Experimental phasing**
- Bioinformatics including model preparation for Molecular Replacement**
- Molecular Replacement**
- Model building and Graphics**
- Refinement**
- Ligands**
- Validation and analysis**
- Export and Deposition**
- Reflection data tools**
- Coordinate data tools**
- Developer tools**

Future: DIALS GUI (see Luiso's talk tomorrow!)

The screenshot displays the CCP4 DIALS GUI interface. On the left, a History Tree shows the workflow: import, find_spots, index, refine_bravais_setting, reindex, refine, integrate, reindex, and refine_bravais_setting. The main window is titled 'integrate' and contains several panels:

- Simple** and **Advanced** tabs for integration settings.
- Integration Settings:**
 - integration.profile.fitting: True
 - integration.background.algorithm: glm
 - integration.mp.nproc: 8
- mtz output name:** hkl_out.mtz
- Reset to Default** button.

At the bottom, there are four data panels:

Beam		Crystal			Scan		Detector	
X (mm)	Y (mm)	a	b	c	Image Range	Distance (mm)		
211.0	205.36	57.78	57.78	150.0	1 540	265.07		
Wavelength (Å)		α	β	γ	Oscillation	Number of Panels	Gain	
0.97625		90.0	90.0	90.0	0.15	1	1.0	
		Space Group	P 4		Exposure Time	Max res (Å)	Pixel Size	
					0.07	1.16	X (mm) Y (mm)	
		Orientation (deg)			Strong Spots	-	0.172 0.172	
		rot X rot Y rot Z			Indexed Spots	-		
		32.05 64.42 36.85			Refined Spots	-		
					Prof Int Spots	-		
					Sum Int Spots	-		

The right side of the window shows the **Image View** panel with a grid of diffraction images. The current image shows a diffraction pattern with green spots. The status bar at the bottom indicates the current image coordinates: X: 1615, Y: 228, I: 0.

click dials icon to run integrate

Main DIALS programs

```
dials.import  
dials.find_spots  
dials.index  
dials.refine_bravais_settings  
dials.refine  
dials.integrate  
dials.export_mtz
```

dials.scale (new program)

Then onwards into the CCP4 data processing pipeline:
POINTLESS → AIMLESS → CTRUNCATE...

More than 50 other
dials.* commands

DIALS on the command line

```
$ dials.import ${data_directory}/th_8_2_0*.cbf
$ dials.find_spots datablock.json nproc=8
$ dials.index datablock.json strong.pickle
$ dials.refine_bravais_settings experiments.json indexed.pickle
$ dials.reindex indexed.pickle change_of_basis_op=a,b,c
$ dials.refine bravais_setting_9.json reindexed_reflections.pickle outlier.algorithm=tukey
  use_all_reflections=true scan_varying=true output.experiments=refined_experiments.json
$ dials.integrate refined_experiments.json refined.pickle outlier.algorithm=null nproc=4
$ dials.export_mtz integrated.pickle refined_experiments.json hklout=integrated.mtz
$ pointless hklin integrated.mtz hklout sorted.mtz > pointless.log
$ aimless hklin sorted.mtz hklout scaled.mtz > aimless.log << eof
  resolution 1.3
  anomalous off
eof
$ ctruncate -hklin scaled.mtz -hklout truncated.mtz -colin '/*/*/[IMEAN,SIGIMEAN]' > ctruncate.log
```

Who needs a GUI?

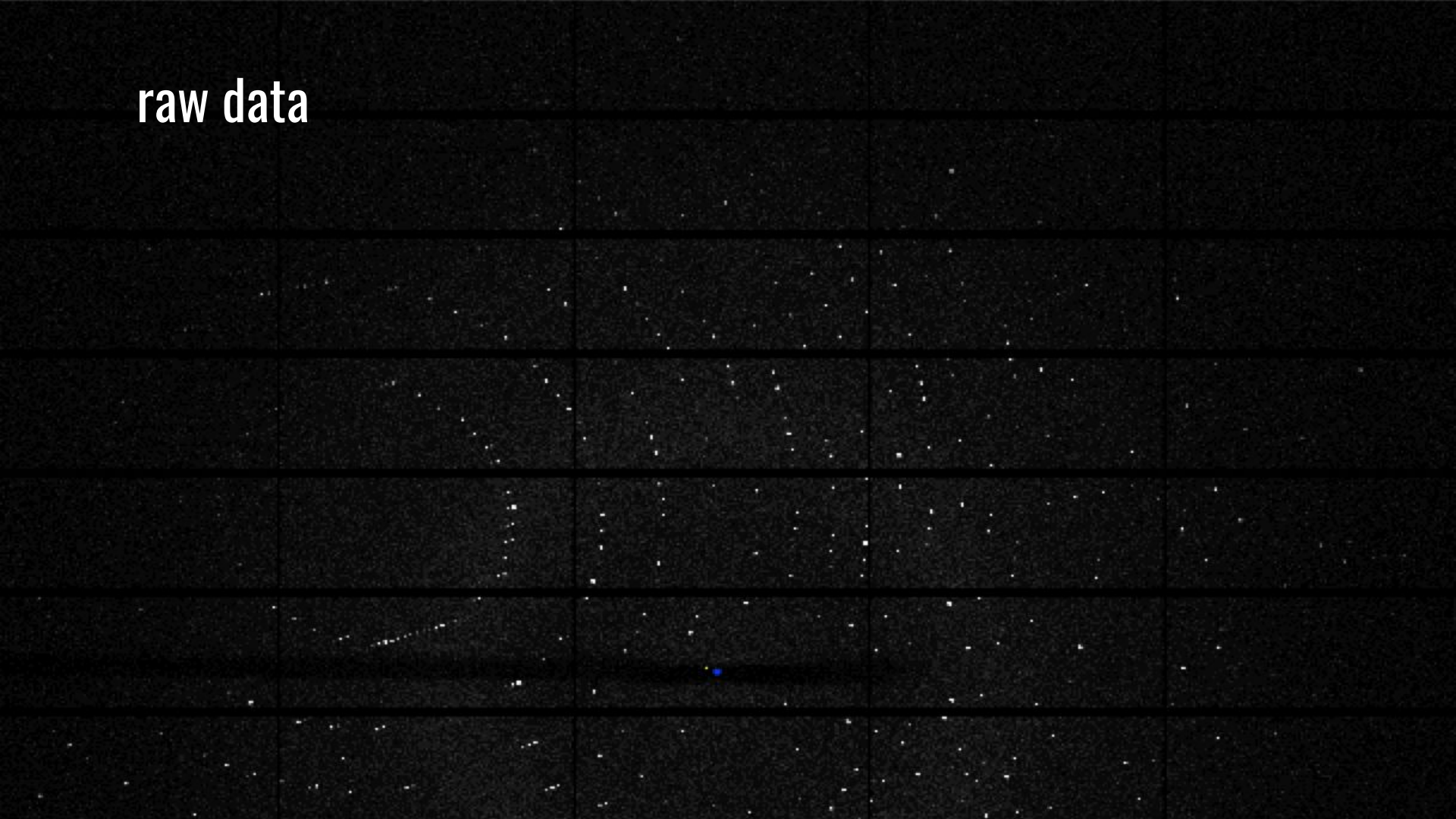
Spot finding

dials.find_spots

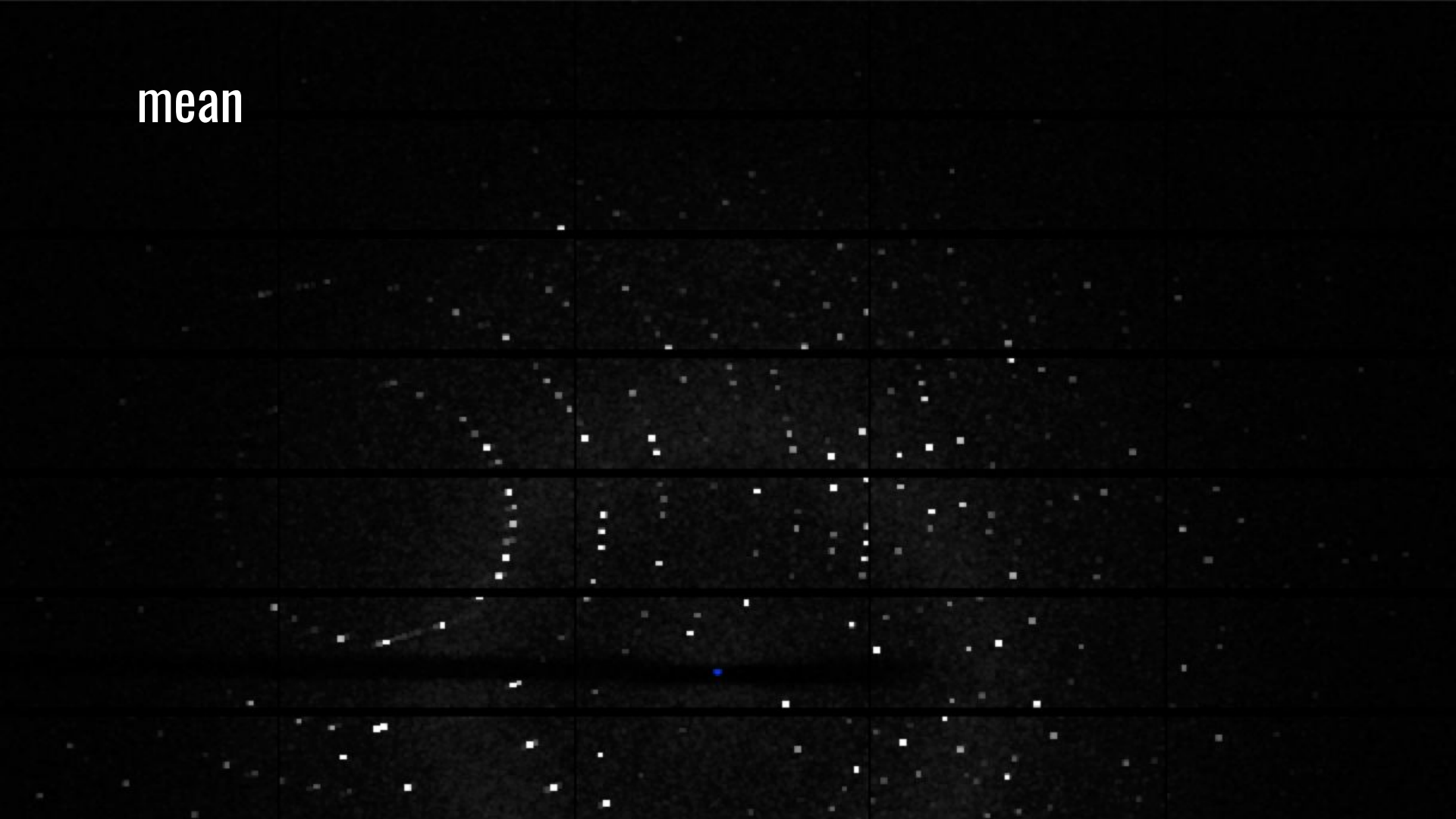
- Sequence of per-image filters to find strong pixels
- 3D analysis of strong pixels to identify strong spots
- Filter spots by
 - number of pixels
 - peak-centroid distance
 - resolution
 - ice rings
 - untrusted regions

```
$ dials.find_spots datablock.json nproc=8
...
Histogram of per-image spot count for imageset 0:
71268 spots found on 200 images (max 1897 / bin)
*
*
**** *
*****
*****
***** * *****
***** ** *****
***** * *****
*****
*****
*****
*****
1 image 200
-----
Saved 71268 reflections to strong.pickle
Time Taken: 88.113627
```

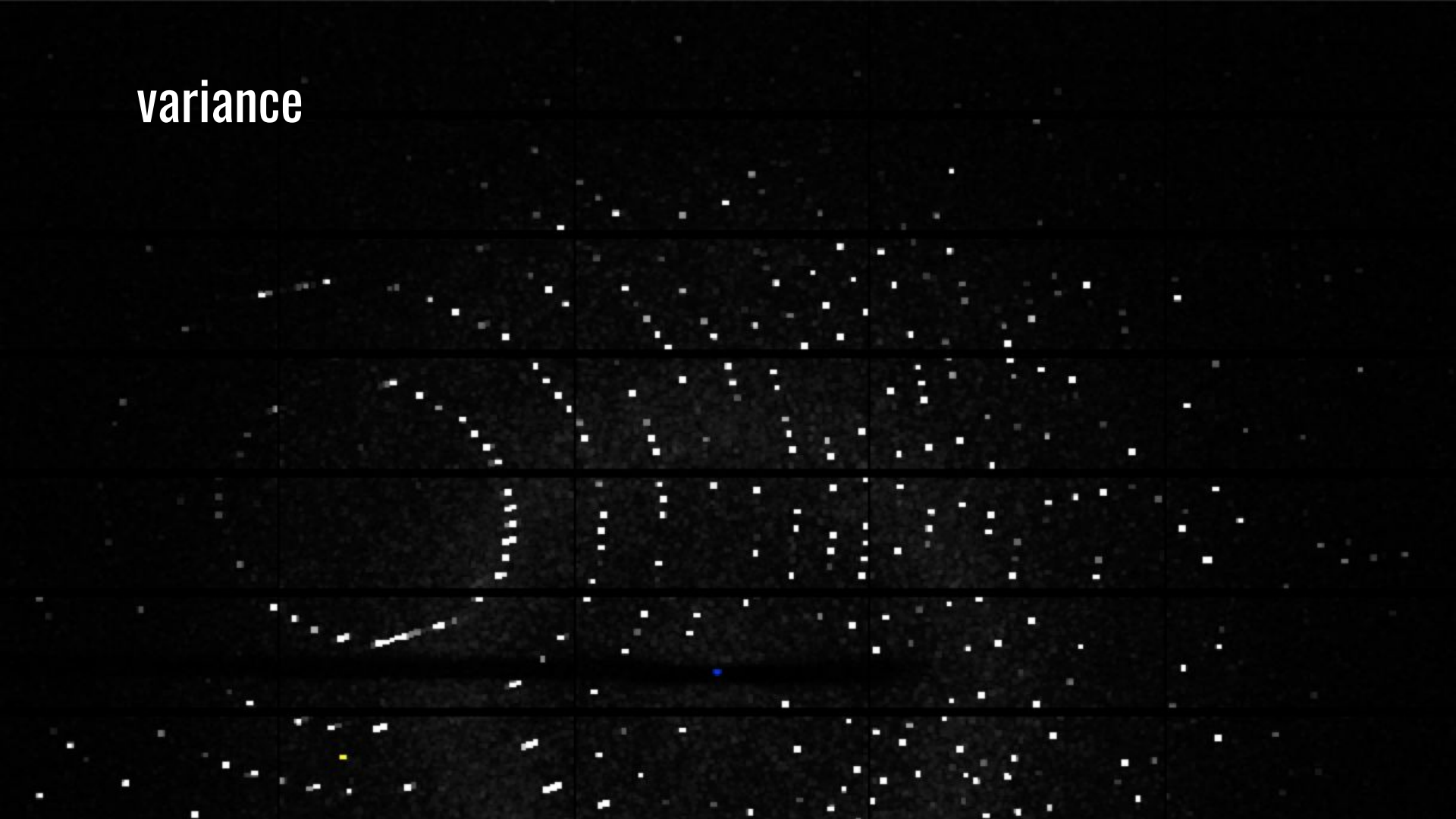
raw data



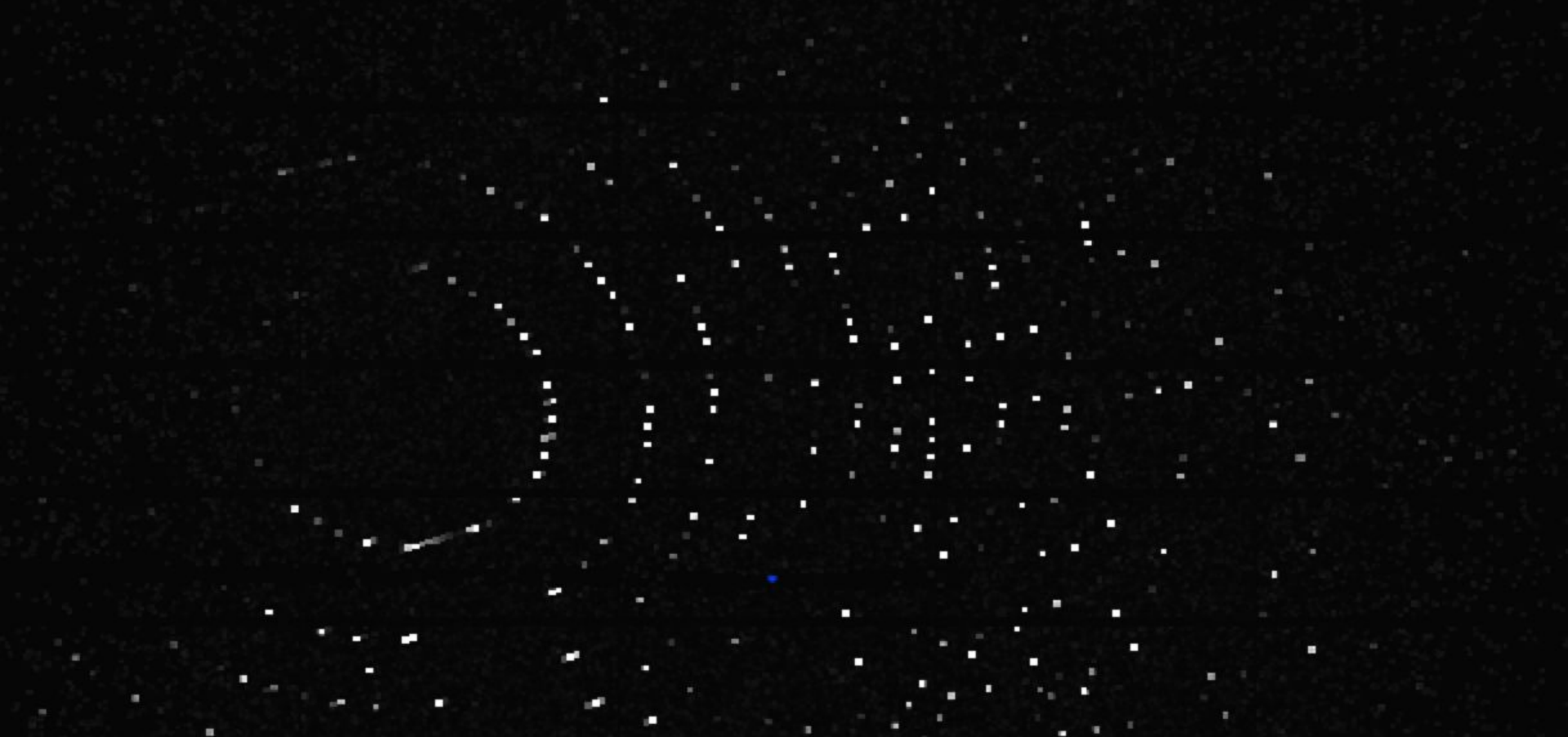
mean



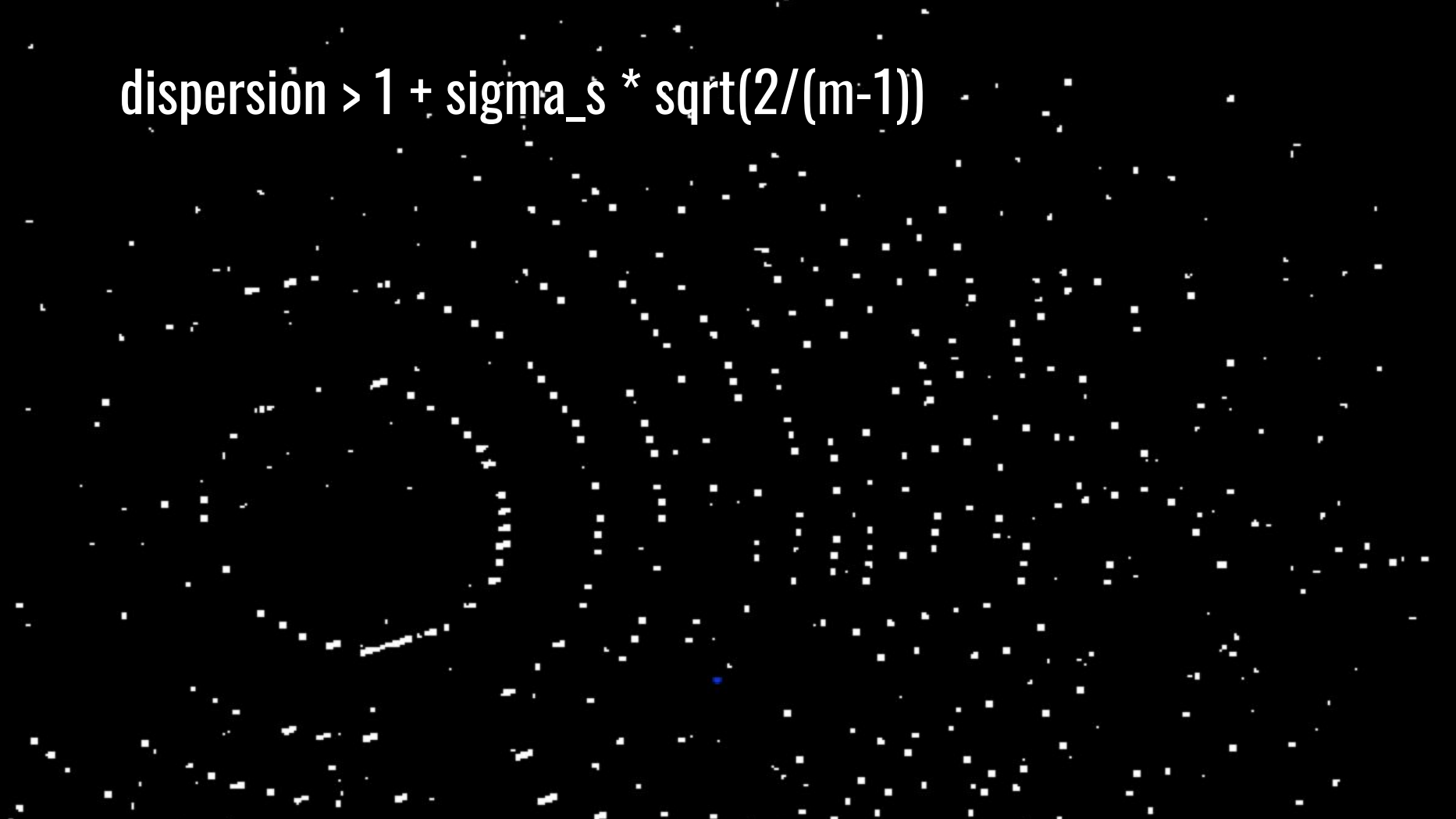
variance



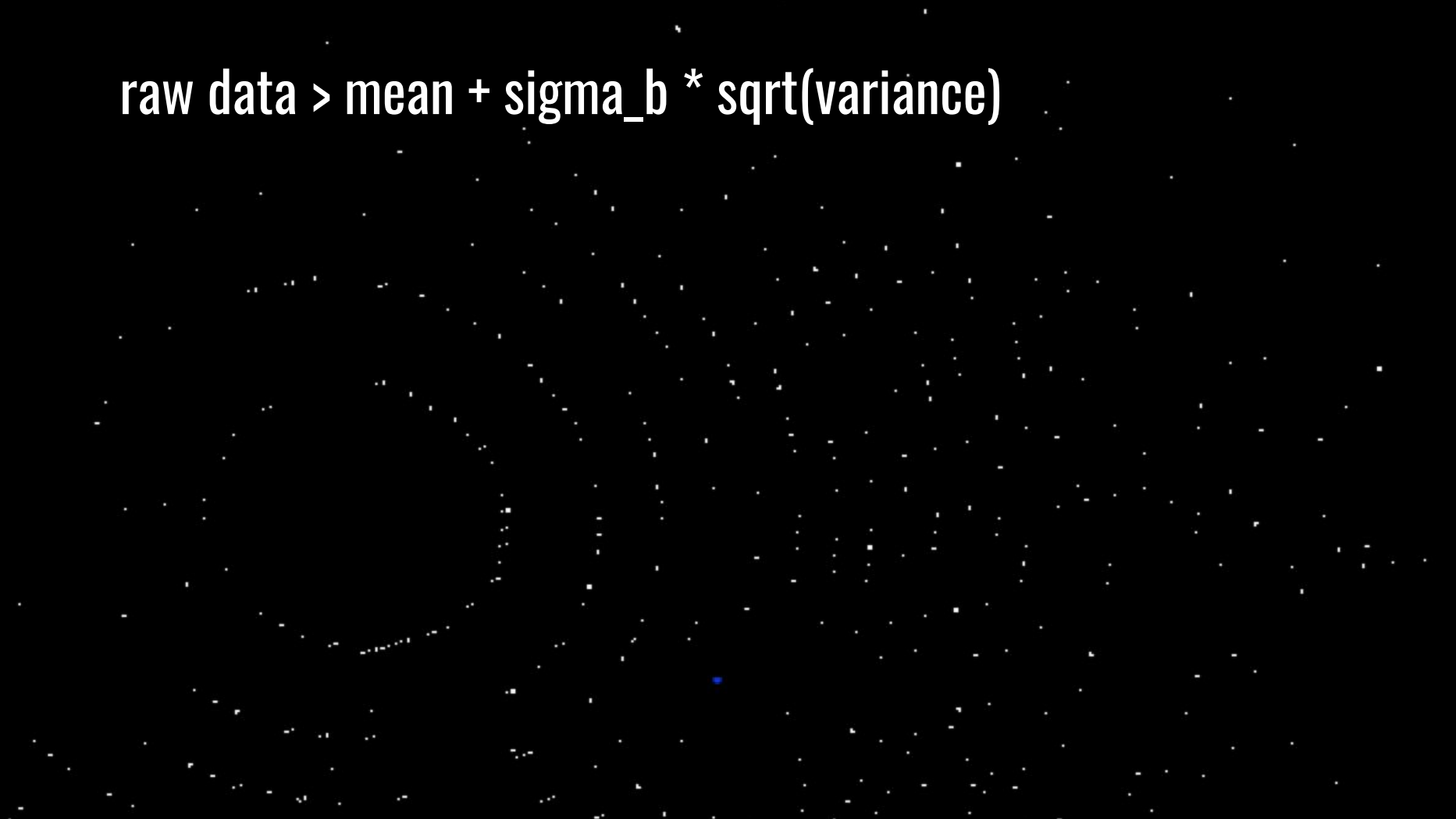
dispersion = variance / mean



dispersion $> 1 + \sigma_s * \sqrt{2/(m-1)}$



raw data > mean + sigma_b * sqrt(variance)



./saturn/lyso_00001.img

Load file Save As... Image: lyso_00001.img [1] Previous Next Jump to image: 1

Settings

Zoom level: 100%
Color scheme: grayscale
Brightness: 100

Show resolution rings Show ice rings
 Mark beam center Mark centers of mass
 Spot max pixels Spot all pixels
 Draw reflection shoebox Show predictions
 Show hkl

Sigma background: 6.0
Sigma strong: 3.0
Global Threshold: 0.0
Min. local: 2
Gain: 1.0
Kernel size: 3 3

Default spot finding parameters are often not suitable for CCD images

Image is from Rigaku Saturn 92 detector

./saturn/lyso_00001.img

Load file Save As... Image: lyso_00001.img [1] Previous Next Jump to image: 1

Settings

Zoom level: 100%

Color scheme: grayscale

Brightness: 100

Show resolution rings Show ice rings

Mark beam center Mark centers of mass

Spot max pixels Spot all pixels

Draw reflection shoebox Show predictions

Show hkl

Sigma background: 6.0

Sigma strong: 10.0

Global Threshold: 0.0

Min. local: 2

Gain: 1.0

Kernel size: 3 3

Click and drag to pan; middle-click and drag to plot intensity profile, right-click to zoom

Default spot finding parameters are often not suitable for CCD images

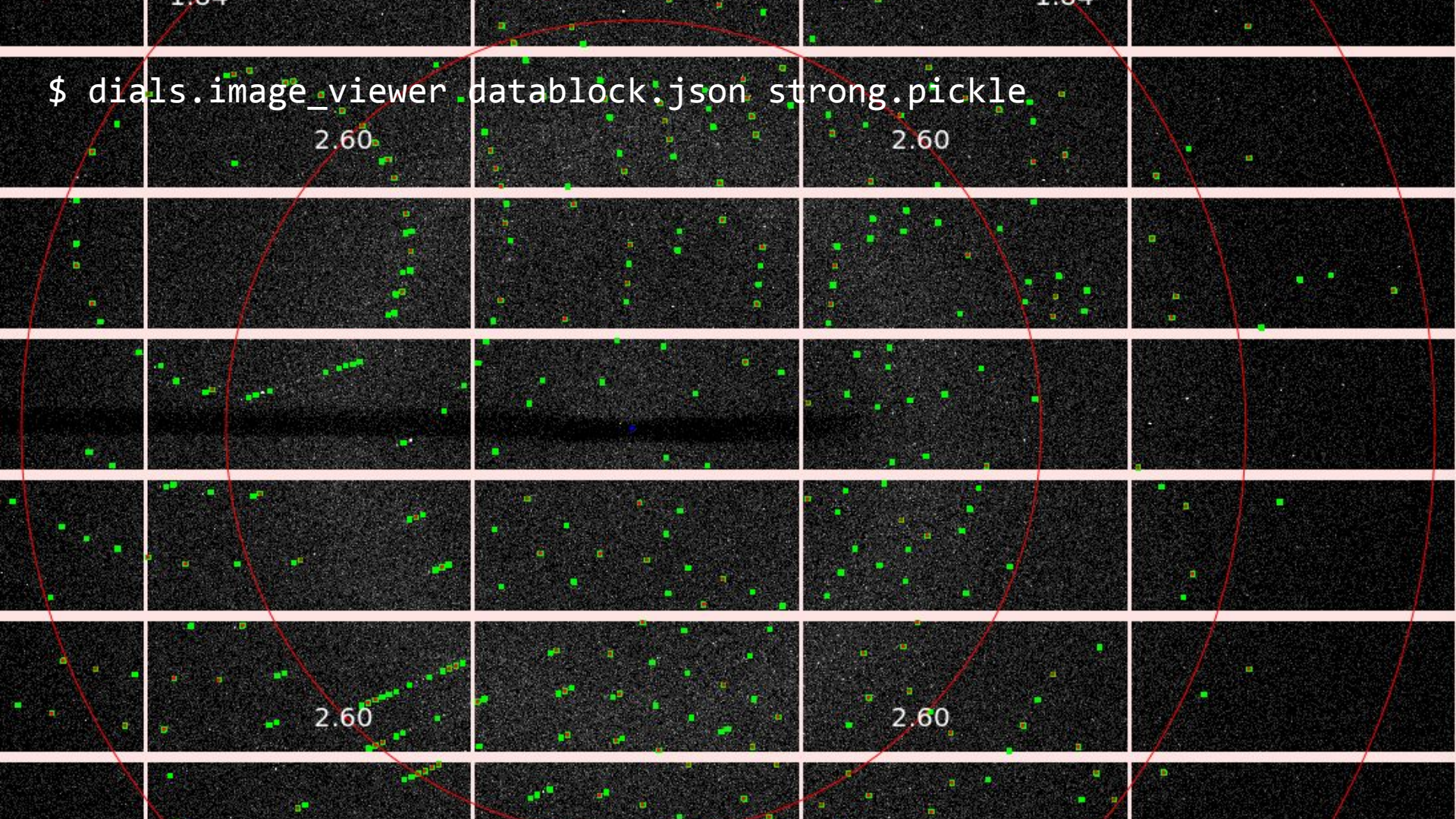
\$ dials.image_viewer datablock.json strong.pickle

2.60

2.60

2.60

2.60



Indexing

dials.index

Map centroids to reciprocal space,
analyse for periodicity and
determine basis vectors for the
reduced cell

- Choice of method:
 - 1D FFT (DPS)
 - 3D FFT - **default**
 - new real space grid search algorithm
- Optionally provide known unit cell and space group

```
$ dials.index datablock.json strong.pickle
Found max_cell: 199.1 Angstrom
Setting d_min: 3.89
```

```
RMSDs by experiment:
```

Exp	Nref	RMSD_X (px)	RMSD_Y (px)	RMSD_Z (images)
0	4049	0.2881	0.25838	0.17767

```
Final refined crystal models:
```

```
model 1 (114690 reflections):
```

```
Crystal:
```

```
Unit cell: (57.804, 57.782, 150.027, 90.009, 89.991,  
89.990)
```

```
Space group: P 1
```

```
U matrix: {{ 0.3455, -0.2589, -0.9020},  
           { 0.8914,  0.3909,  0.2292},  
           { 0.2933, -0.8833,  0.3659}}
```

```
B matrix: {{ 0.0173,  0.0000,  0.0000},  
           {-0.0000,  0.0173,  0.0000},  
           {-0.0000,  0.0000,  0.0067}}
```

```
A = UB:  {{ 0.0060, -0.0045, -0.0060},  
          { 0.0154,  0.0063,  0.0015},  
          { 0.0051, -0.0153,  0.0024}}
```

```
Saving refined experiments to experiments.json
Saving refined reflections to indexed.pickle
```

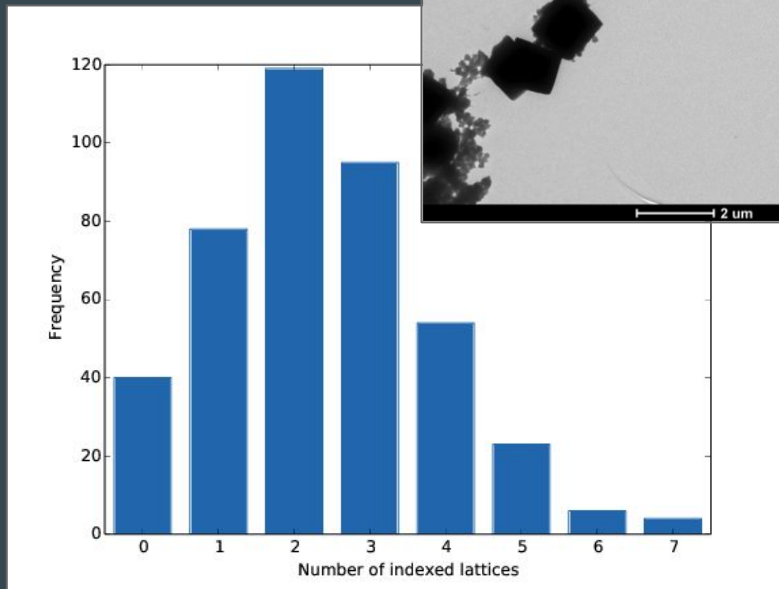

dials.index

DIALS contains an indexing algorithm that is very successful at identifying multiple lattices

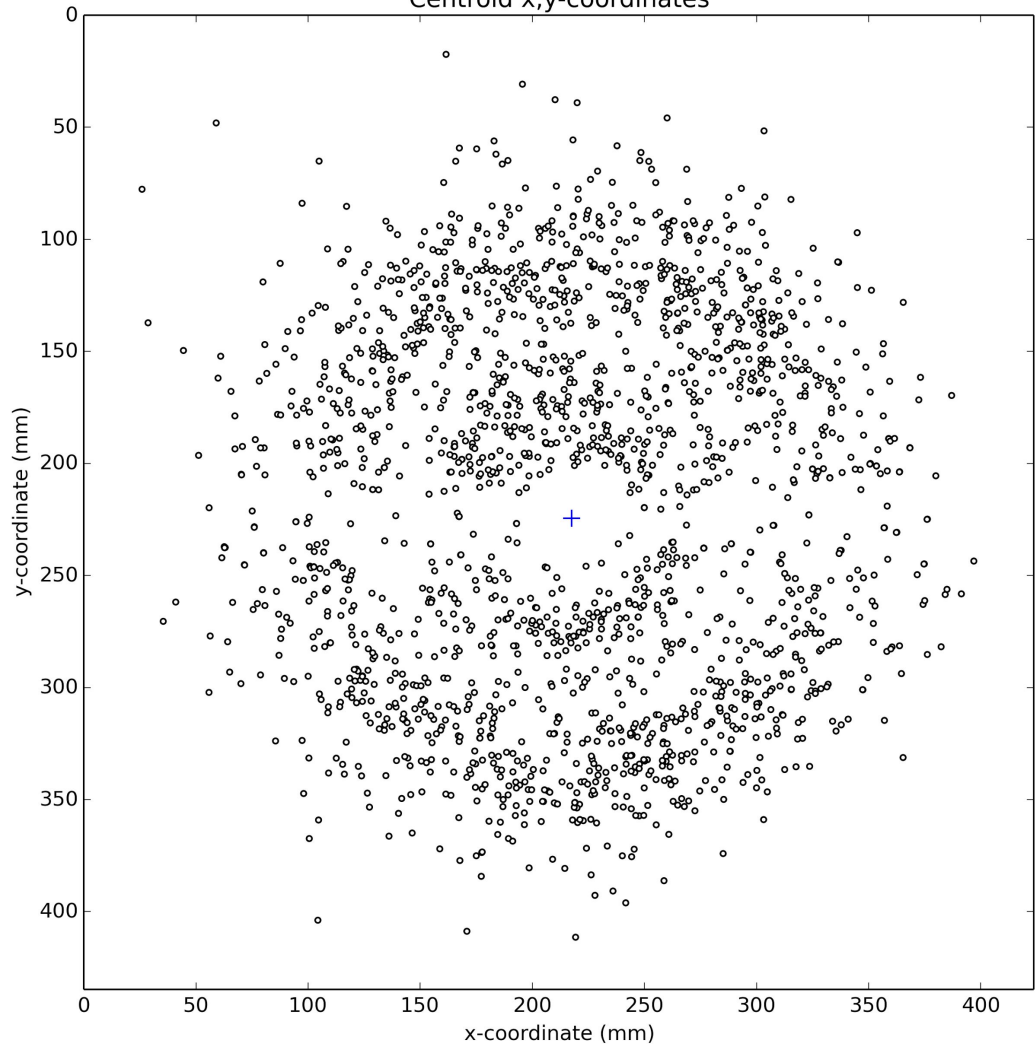
This even works when lattices diffract equally well, and only a narrow wedge of data is available

As additional lattices are found, joint refinement reduces correlations between crystal and detector parameters

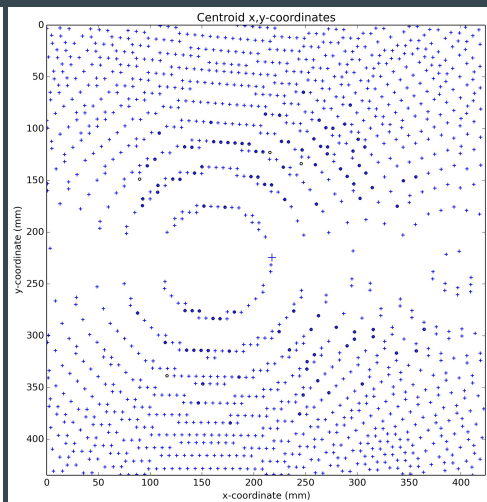
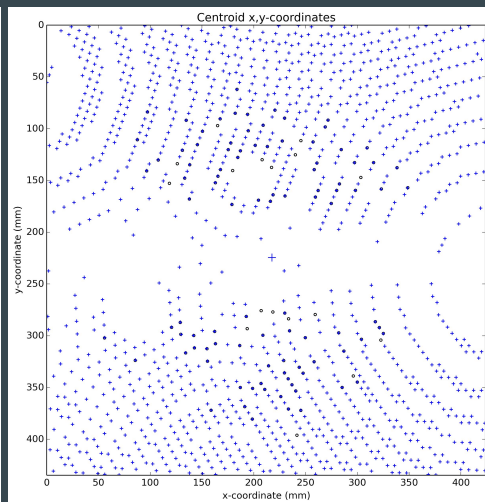
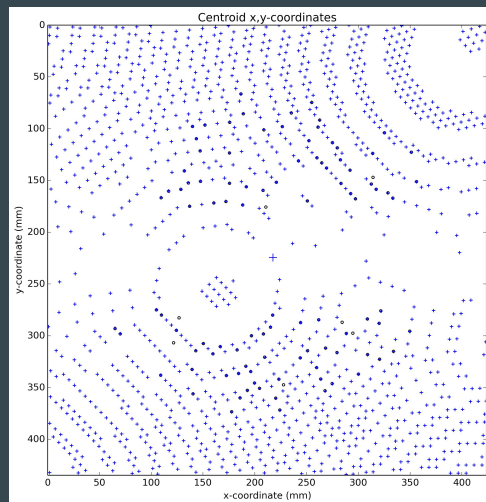
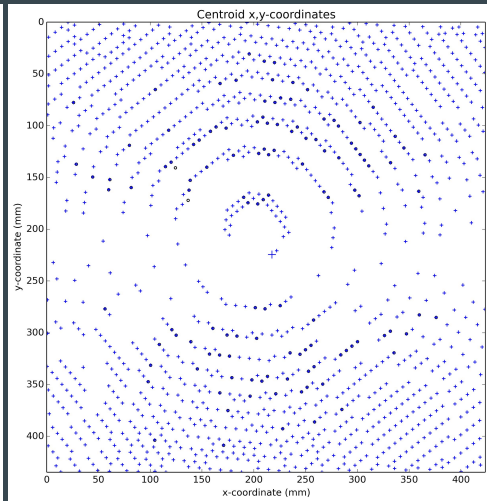
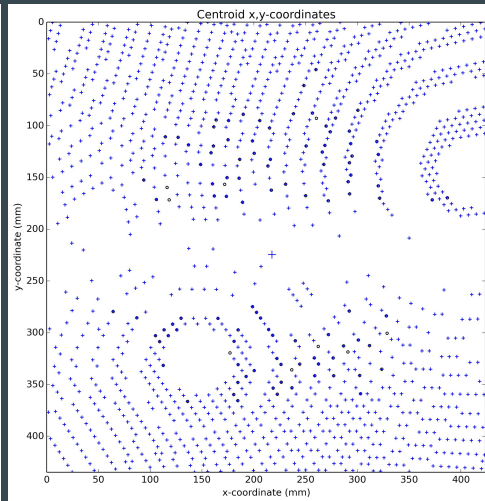
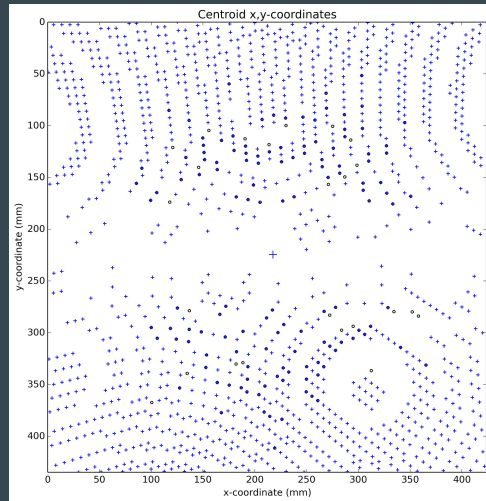
R. Gildea et al. (2014) Acta Cryst. D70, 2652-66



Centroid x,y-coordinates



1° wedge of data
1858 spots



6 lattices identified

dials.reciprocal_lattice_viewer

```
$ dials.reciprocal_lattice_viewer  
Usage: dials.reciprocal_lattice_viewer [options] datablock.json reflections.pickle
```

Options:

```
-h, --help          show this help message and exit  
-c, --show-config  Show the configuration parameters.  
-a ATTRIBUTES_LEVEL, --attributes-level=ATTRIBUTES_LEVEL  
                   Set the attributes level for showing configuration  
                   parameters  
-e EXPERT_LEVEL, --expert-level=EXPERT_LEVEL  
                   Set the expert level for showing configuration  
                   parameters  
-v                 Increase verbosity
```

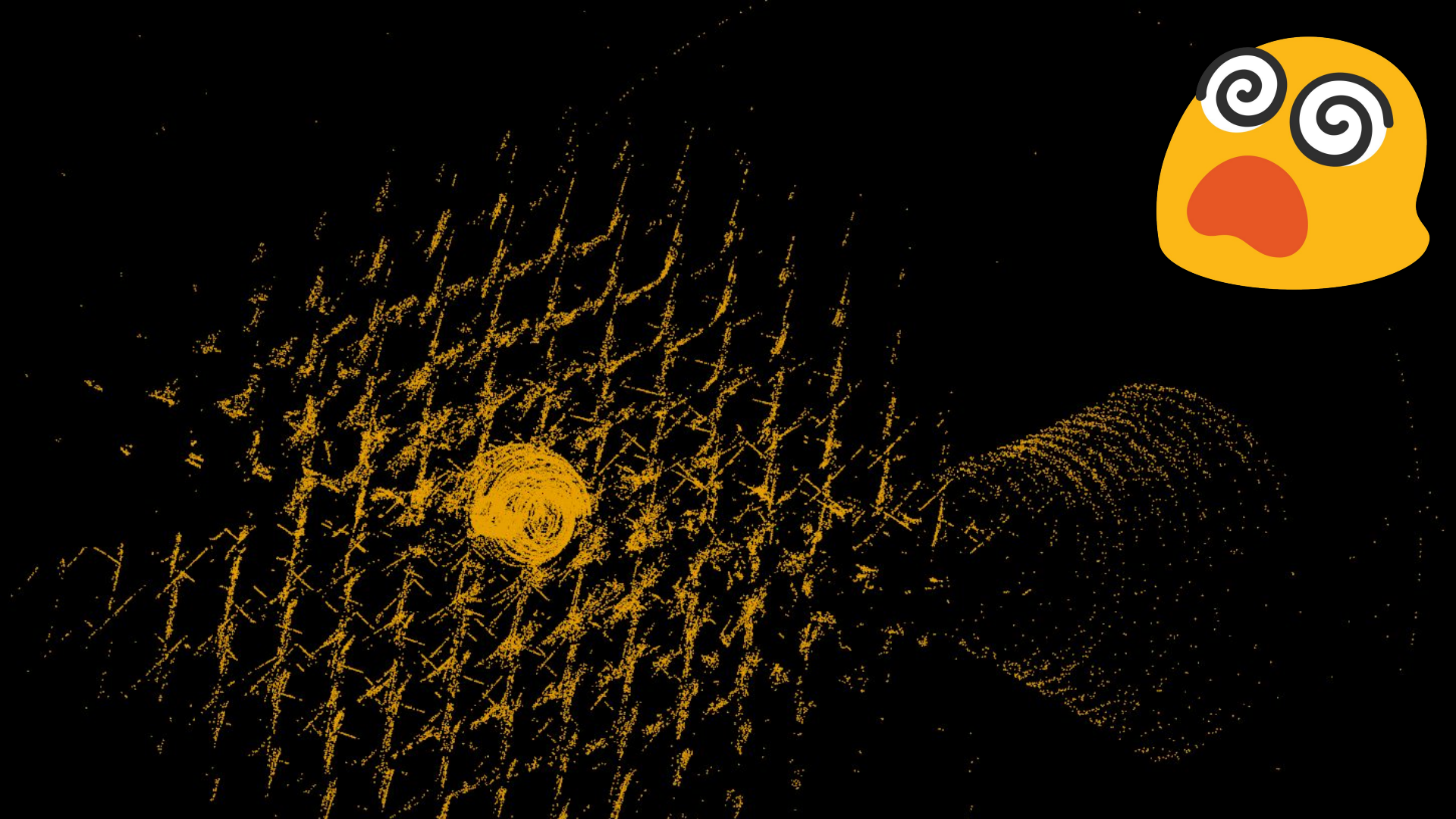
Visualise the strong spots from spotfinding in reciprocal space.

Examples:

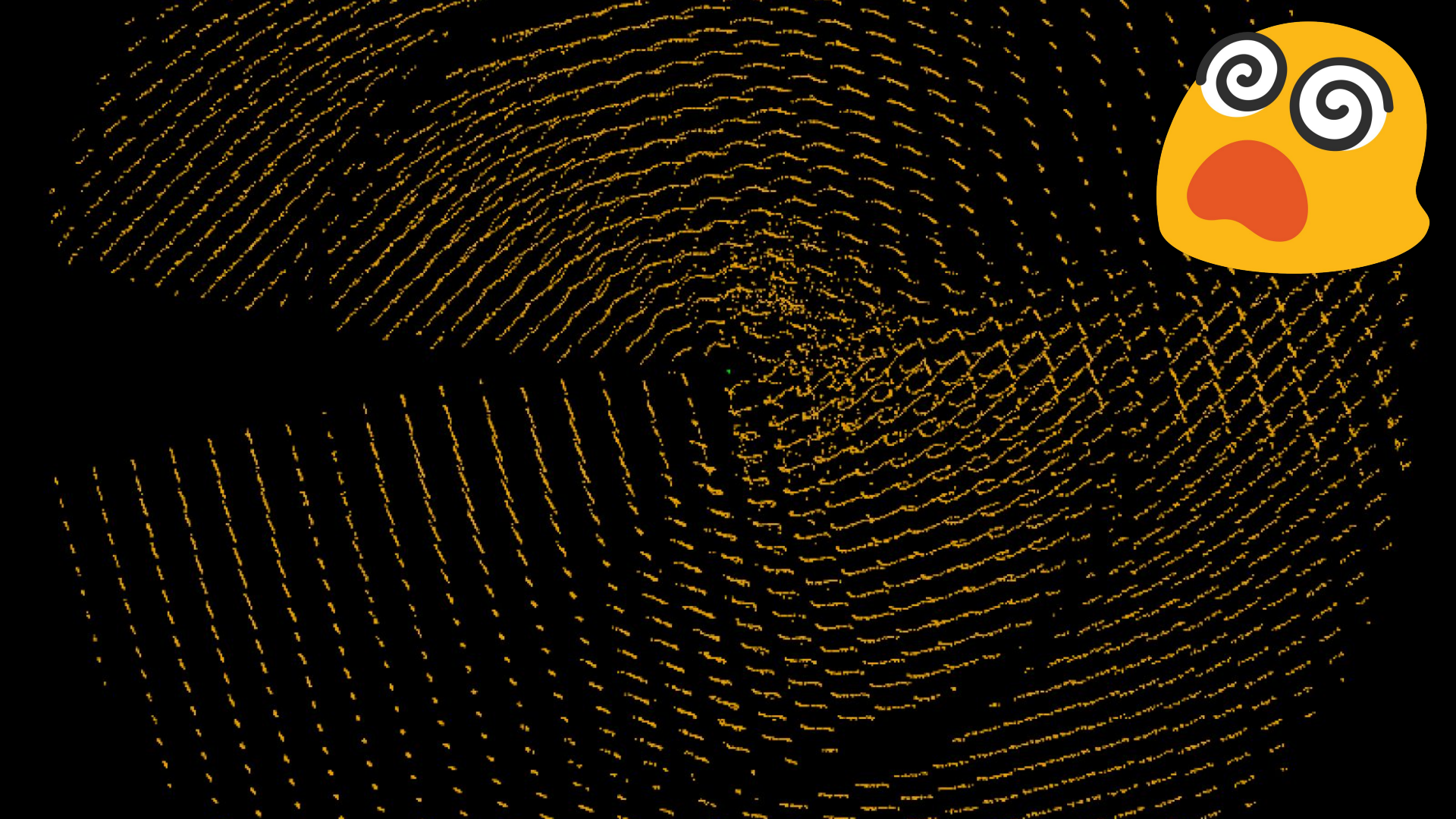
```
dials.reciprocal_lattice_viewer datablock.json strong.pickle
```

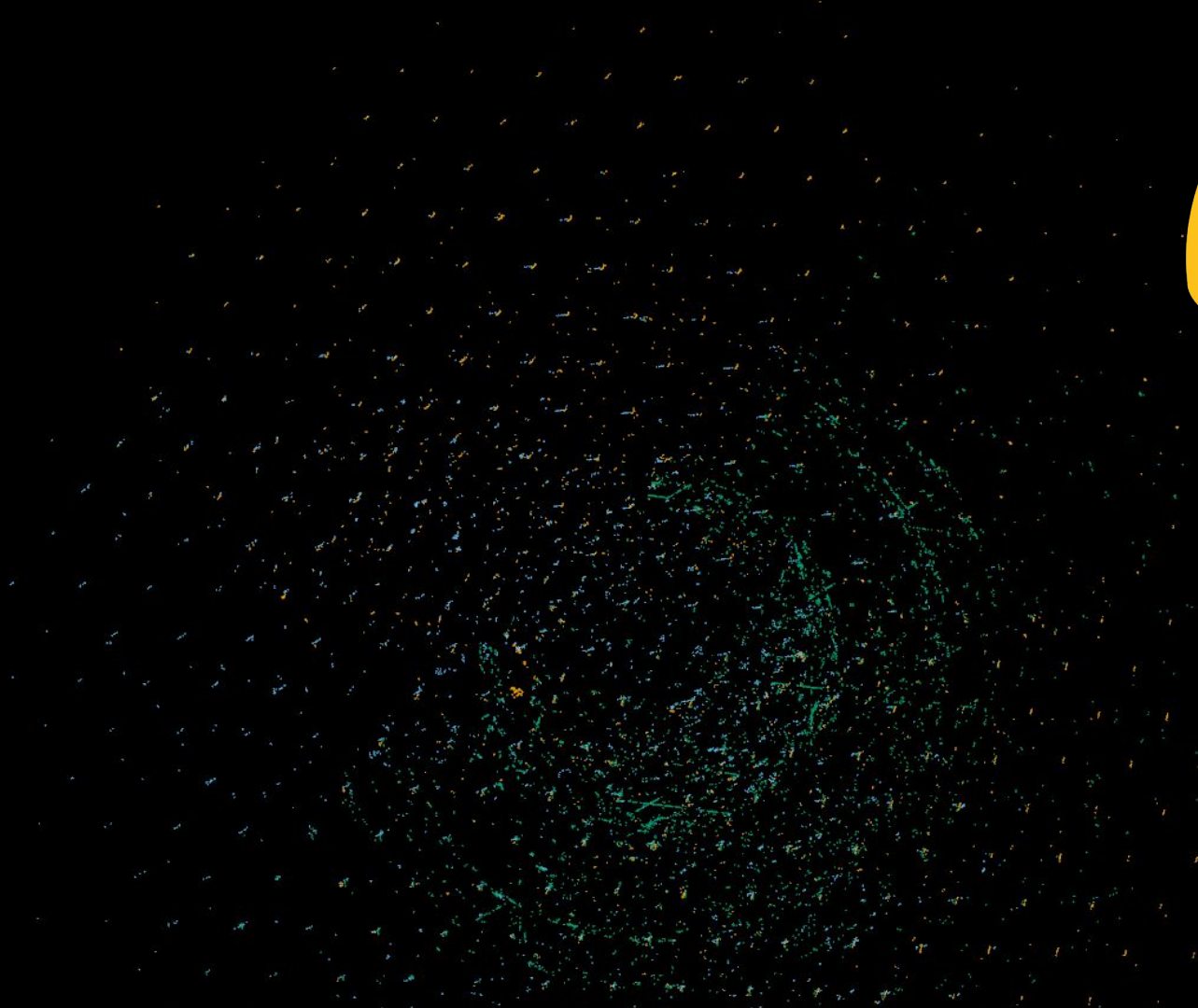
```
dials.reciprocal_lattice_viewer experiments.json indexed.pickle
```

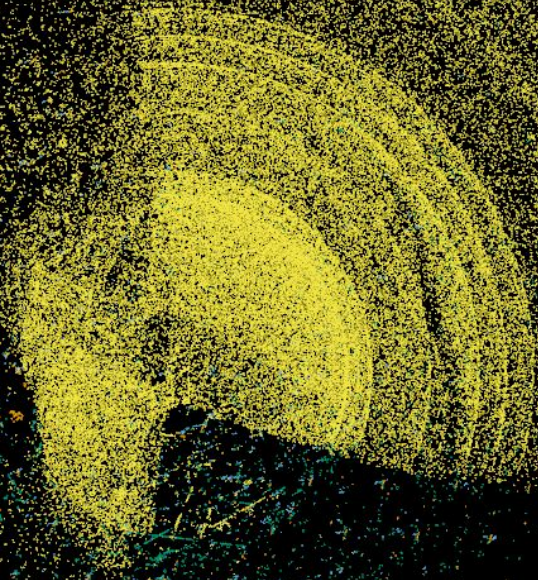












dials.refine_bravais_settings

- After indexing, look for lattice symmetry
- All compatible Bravais lattices are tested
- Metric fit score, refined RMSD and symmetry element CCs are reported
- The user chooses which solution to take further

```
$ dials.refine_bravais_settings experiments.json indexed.pickle
```

```
-----  
Solution Metric fit  rmsd  min/max cc #spots lattice                unit_cell  volume  cb_op  
-----  
*  9  0.0311 0.063 0.800/0.857  8099  tP  57.78  57.78 150.00  90.00  90.00  90.00  500867  a,b,c  
*  8  0.0311 0.063 0.800/0.969  8099  oC  81.72  81.73 150.01  90.00  90.00  90.00 1002008  a-b,a+b,c  
*  7  0.0272 0.061 0.969/0.969  8099  mC  81.73  81.74 150.03  90.00  89.99  90.00 1002365  a-b,a+b,c  
*  6  0.0311 0.062 0.805/0.805  8099  mC  81.73  81.72 150.02  90.00  89.99  90.00 1002012  a+b,-a+b,c  
*  5  0.0154 0.061 0.800/0.906  8099  oP  57.79  57.76 149.99  90.00  90.00  90.00  500672  a,b,c  
*  4  0.0147 0.060 0.821/0.821  8099  mP  57.77  57.80 150.01  90.00  90.02  90.00  500853  -b,-a,-c  
*  3  0.0154 0.060 0.906/0.906  8099  mP  57.80  57.78 150.02  90.00  89.98  90.00  500945  a,b,c  
*  2  0.0152 0.061 0.800/0.800  8099  mP  57.78 150.01  57.80  90.00  89.99  90.00  500925  b,c,a  
*  1  0.0000 0.060          -/-  8099  aP  57.80  57.78 150.03  90.01  89.99  89.99  501086  a,b,c  
-----
```

```
* = recommended solution
```

dials.refine_bravais_settings

- After indexing, look for lattice symmetry
- All compatible Bravais lattices are tested
- Metric fit score, refined RMSD and symmetry element CCs are reported
- The user chooses which solution to take further

```
$ dials.refine_bravais_settings experiments.json indexed.pickle
```

```
-----  
Solution Metric fit  rmsd  min/max cc #spots lattice                unit_cell volume  cb_op  
-----  
      5      3.7053 1.048 0.199/0.438  1792      oP  11.52  13.51  29.38  90.00  90.00  90.00  4571  a,b,c  
      4      3.7053 1.001 0.438/0.438  1755      mP  13.43  11.55  29.30  90.00  91.40  90.00  4543 -b,-a,-c  
      3      3.7038 1.033 0.287/0.287  1811      mP  11.47  29.43  13.46  90.00  88.93  90.00  4542 -a,-c,-b  
*     2      0.1091 0.065 0.199/0.199  1929      mP  11.63  13.55  30.14  90.00  93.69  90.00  4739  a,b,c  
*     1      0.0000 0.060      -/-      1890      aP  11.63  13.55  30.15  89.94  93.70  90.06  4742  a,b,c  
-----
```

```
* = recommended solution
```

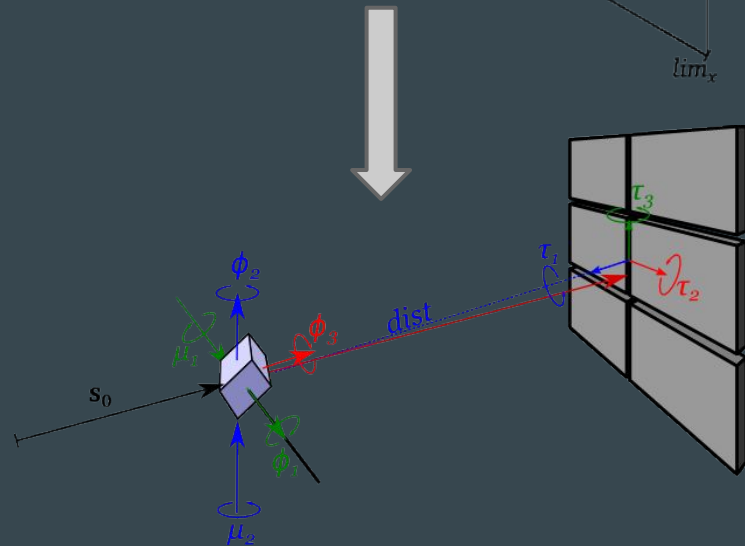
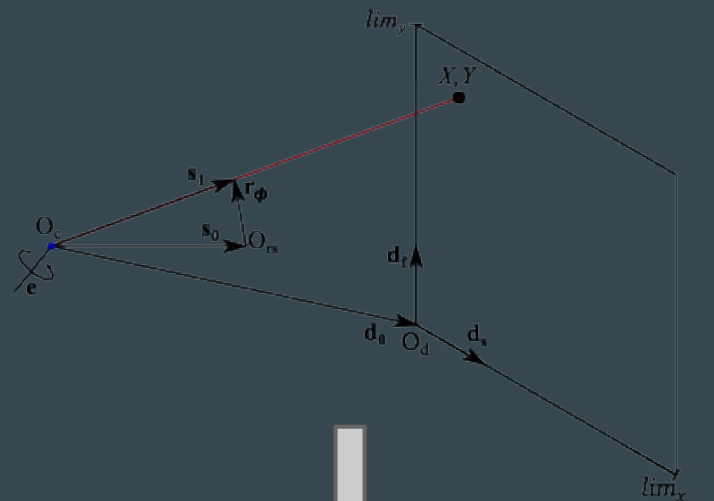
Refinement

dials.refine

Parameterise the reflection prediction equation, minimise the squared differences between predictions (X_c, Y_c, ϕ_c) and observations (X_o, Y_o, ϕ_o)

Model	State	Parameters
Beam	s_0	2 orientation angles (μ_1, μ_2) 1 length of s_0 (ν , wavenumber)
Crystal orientation	U	3 orientation angles ($\varphi_1, \varphi_2, \varphi_3$)
Crystal unit cell	B	6 elements of the metrical matrix G^*
Detector	d	3 translations (Dist, t_1, t_2) 3 rotation angles (τ_1, τ_2, τ_3)

18 parameters in the $P1$ case. Usually ν and μ_1 are fixed



dials.refine

We approach this as a traditional non-linear least squares problem

Keep simple and robust by using the positional residual only (no post-refinement)

Do refinement globally, using strong spot data from the whole scan

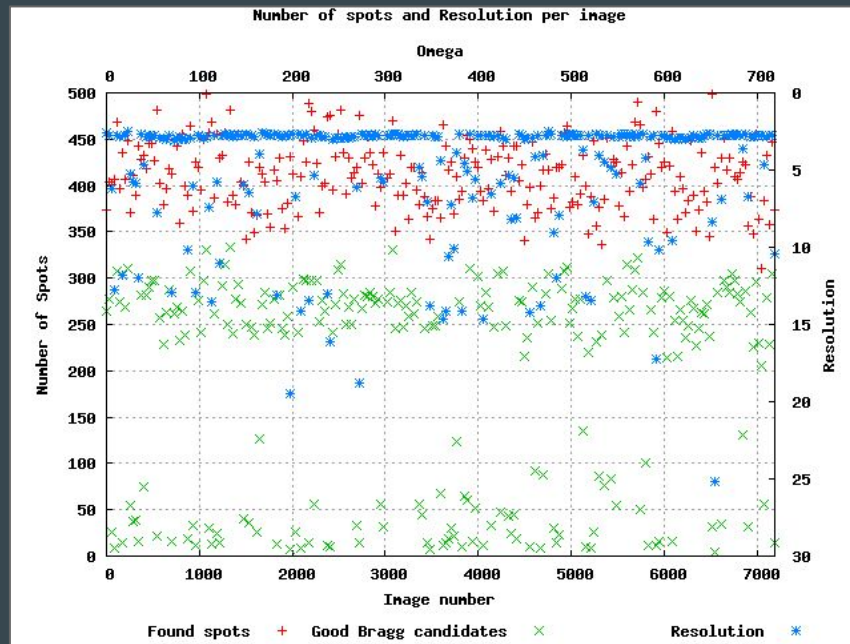
How do we model a crystal that changes during the data collection?

The crystal orientation and the unit cell may change due to

- sampling of different mosaic blocks during data collection
- crystal "slippage" (unlikely for cryo-cooled samples)
- radiation damage

dials.refine: example

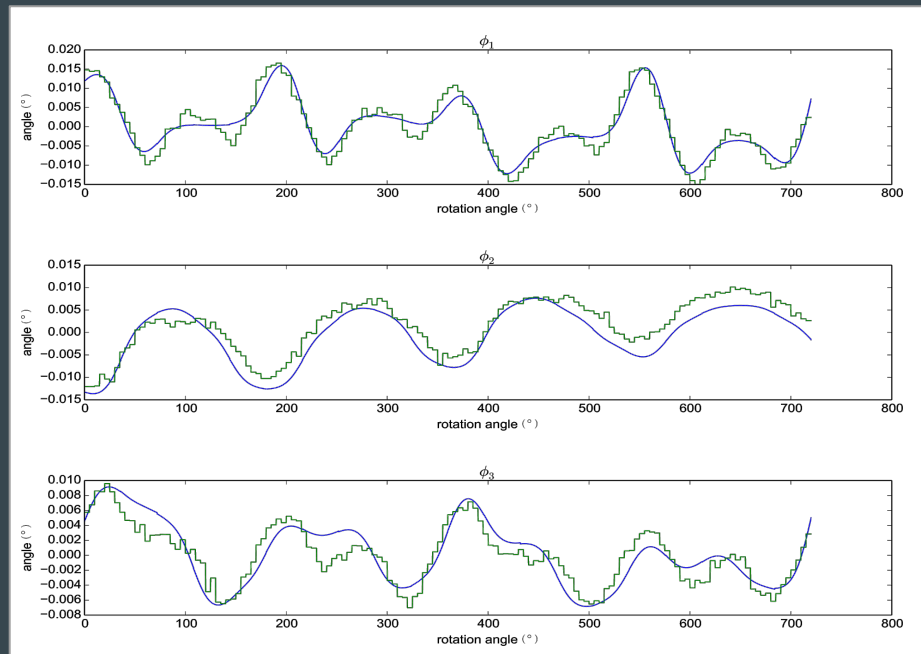
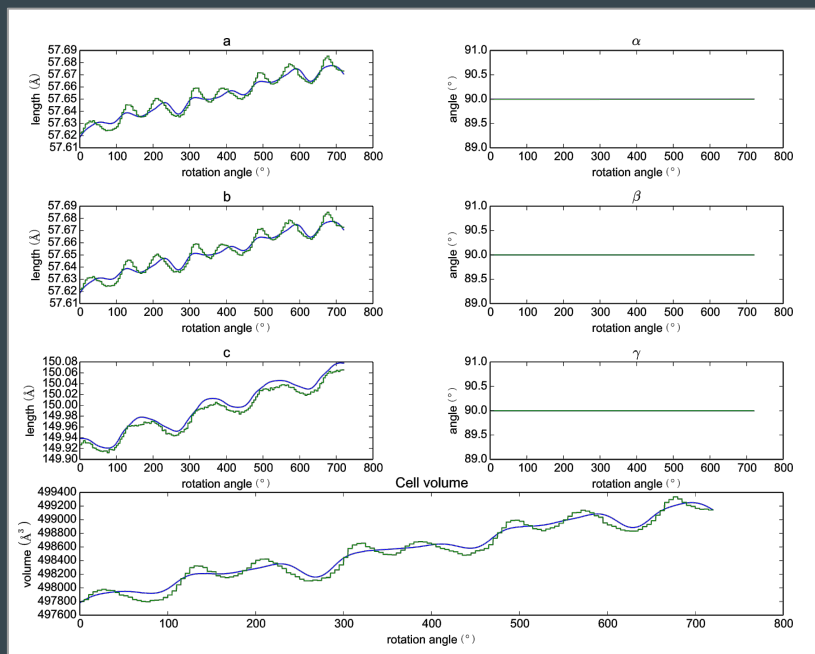
720° of tetragonal thaumatin data collected at 0.1°/image, 40Hz, 3% transmission at DLS I03



Scan-varying refinement

Global, scan-varying refinement with a Gaussian smoother inspired by AIMLESS

117 parameters in total: 6 detector, 1 beam, 22 "samples" of 3 crystal orientation and 2 unit cell parameters



dials.refine

Recently, the scan-varying refinement technique was extended to other parameters such as the beam orientation angles

This can be useful for certain difficult experiments, such as electron diffraction

More details in Clabbers *et al.* (2018) *Acta Cryst. D* **74**, 506–518.



Electron diffraction data processing with *DIALS*

Max T. B. Clabbers,^a Tim Gruene,^b James M. Parkhurst,^c Jan Pieter Abrahams^{a,b} and David G. Waterman^{d,e*}

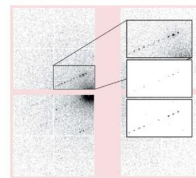
^aCenter for Cellular Imaging and NanoAnalytics (C-CINA), Biozentrum, University of Basel, Mattenstrasse 26, 4058 Basel, Switzerland, ^bPaul Scherrer Institute, 5232 Villigen PSI, Switzerland, ^cDiamond Light Source Ltd, Harwell Science and Innovation Campus, Didcot OX11 0DE, England, ^dSTFC, Rutherford Appleton Laboratory, Didcot OX11 0FA, England, and ^eCCP4, Research Complex at Harwell, Rutherford Appleton Laboratory, Didcot OX11 0FA, England.

*Correspondence e-mail: david.waterman@stfc.ac.uk

Electron diffraction is a relatively novel alternative to X-ray crystallography for the structure determination of macromolecules from three-dimensional nanometre-sized crystals. The continuous-rotation method of data collection has been adapted for the electron microscope. However, there are important differences in geometry that must be considered for successful data integration. The wavelength of electrons in a TEM is typically around 40 times shorter than that of X-rays, implying a nearly flat Ewald sphere, and consequently low diffraction angles and a high effective sample-to-detector distance. Nevertheless, the *DIALS* software package can, with specific adaptations, successfully process continuous-rotation electron diffraction data. Pathologies encountered specifically in electron diffraction make data integration more challenging. Errors can arise from instrumentation, such as beam drift or distorted diffraction patterns from lens imperfections. The diffraction geometry brings additional challenges such as strong correlation between lattice parameters and detector distance. These issues are compounded if calibration is incomplete, leading to uncertainty in experimental geometry, such as the effective detector distance and the rotation rate or direction. Dynamic scattering, absorption, radiation damage and incomplete wedges of data are additional factors that complicate data processing. Here, recent features of *DIALS* as adapted to electron diffraction processing are shown, including diagnostics for problematic diffraction geometry refinement, refinement of a smoothly varying beam model and corrections for distorted diffraction images. These novel features, combined with the existing tools in *DIALS*, make data integration and refinement feasible for electron crystallography, even in difficult cases.

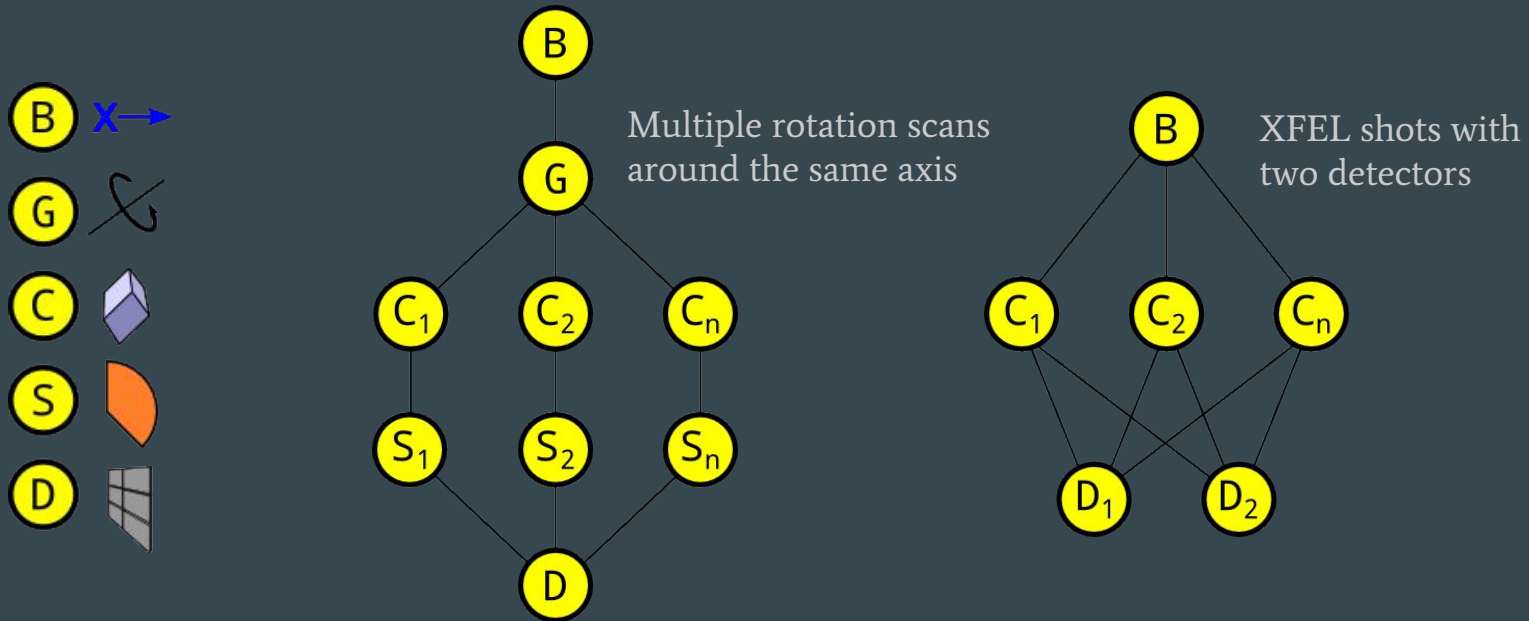
1. Introduction

Electron diffraction (ED) allows the structural analysis of nanometre-sized samples of crystalline material. Since the maximal radiation dose is proportional to the sample volume, electron diffraction of organic and macromolecular compounds was long limited to two-dimensional samples (Unwin & Henderson, 1975; Hovmöller, 2017). In contrast to X-ray crystallography, the three domains, inorganic, organic and macromolecular electron crystallography, developed rather independently of each other (Vainshtein, 1964; Dorset, 1995; Kolb *et al.*, 2007; Glaeser *et al.*, 2007; Zou *et al.*, 2011). Physical and instrumental limitations, such as miniature sample size or dynamic scattering effects and lens distortions, affect data precision. However, several studies show that the model accuracy compares with that of X-ray structures (Weirich *et al.*, 1996; Mugnaioli & Kolb, 2014; Dorset, 1995; Palatinus *et al.*, 2017). Only about one and a half decades ago, electron diffraction of three-dimensional crystals was pioneered with automated diffraction tomography (ADT) and was further refined with rotation electron diffraction (RED;



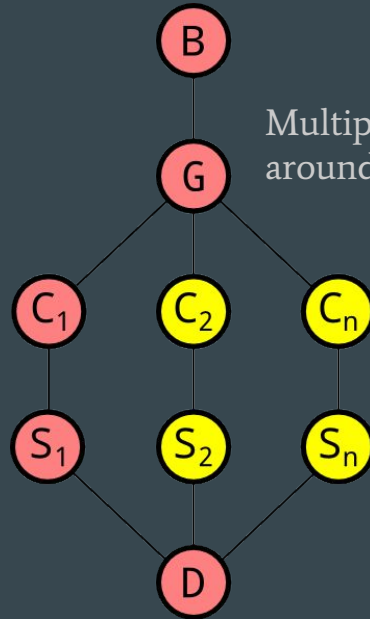
Multiple experiments

- Global refinement across datasets that share some models
- Typical use cases involve multiple crystals

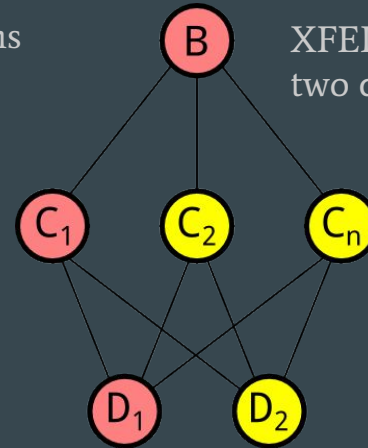


Multiple experiments

- Global refinement across datasets that share some models
- Typical use cases involve multiple crystals



Multiple rotation scans
around the same axis

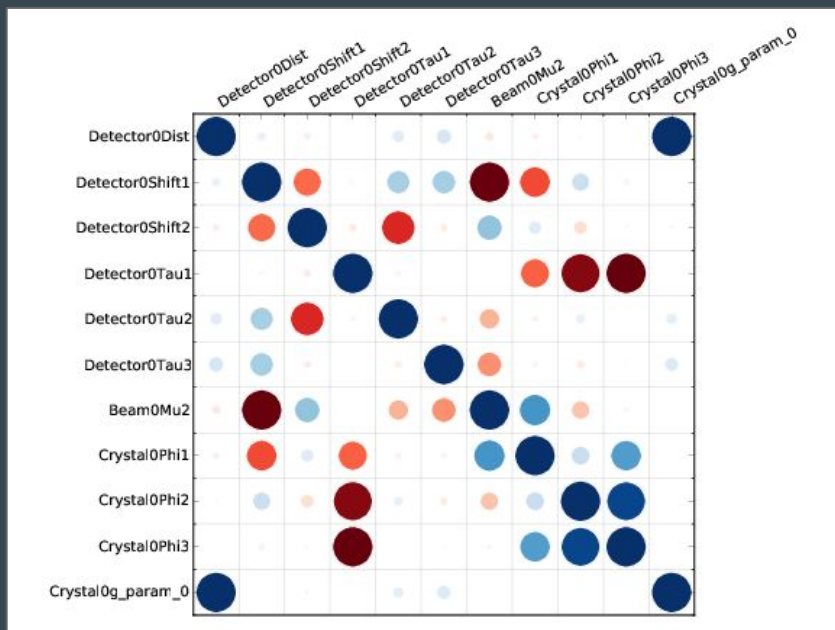


XFEL shots with
two detectors

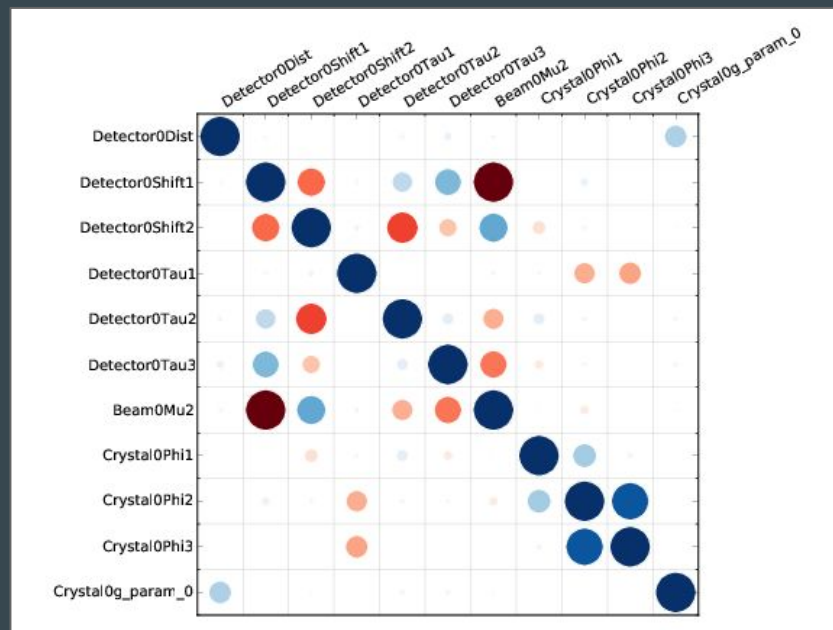
Multiple experiments

Cubic polyhedrin crystals, 1° scans

One lattice



5 sweeps (16 lattices)



Integration

Tasks in dials.integrate

Calculate the bounding box parameters from strong reflections



Predict the positions of reflections on the images

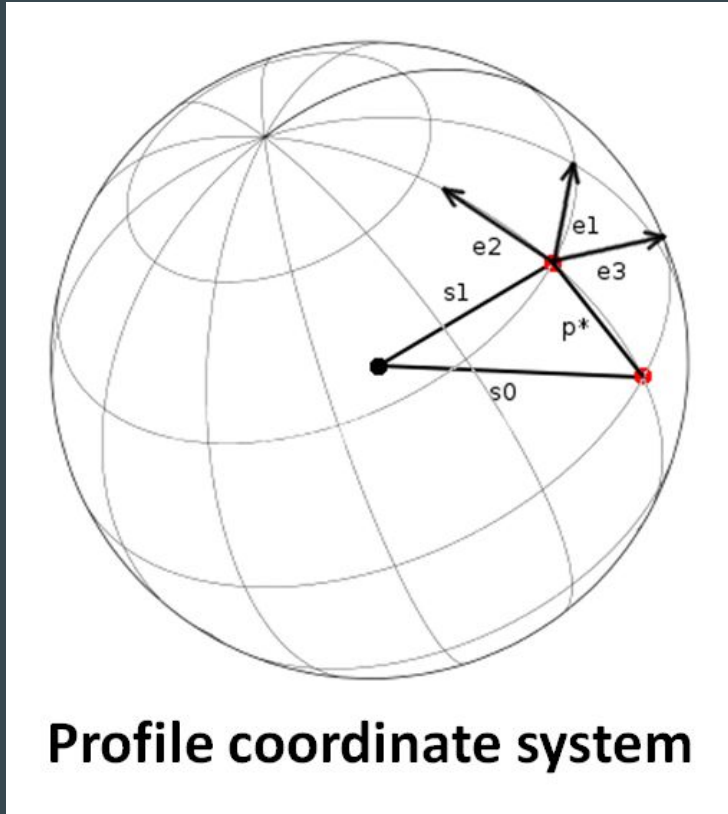


Build reference profiles across all images



Integrate the reflections and save output

Computing reflection shoeboxes



Use the kabsch model of a normal distribution on the surface of the Ewald sphere

- Corrects for geometrical distortions
- Makes spots appear to have taken shortest path through Ewald sphere
- Model assumes a Gaussian profile in Kabsch coordinate system

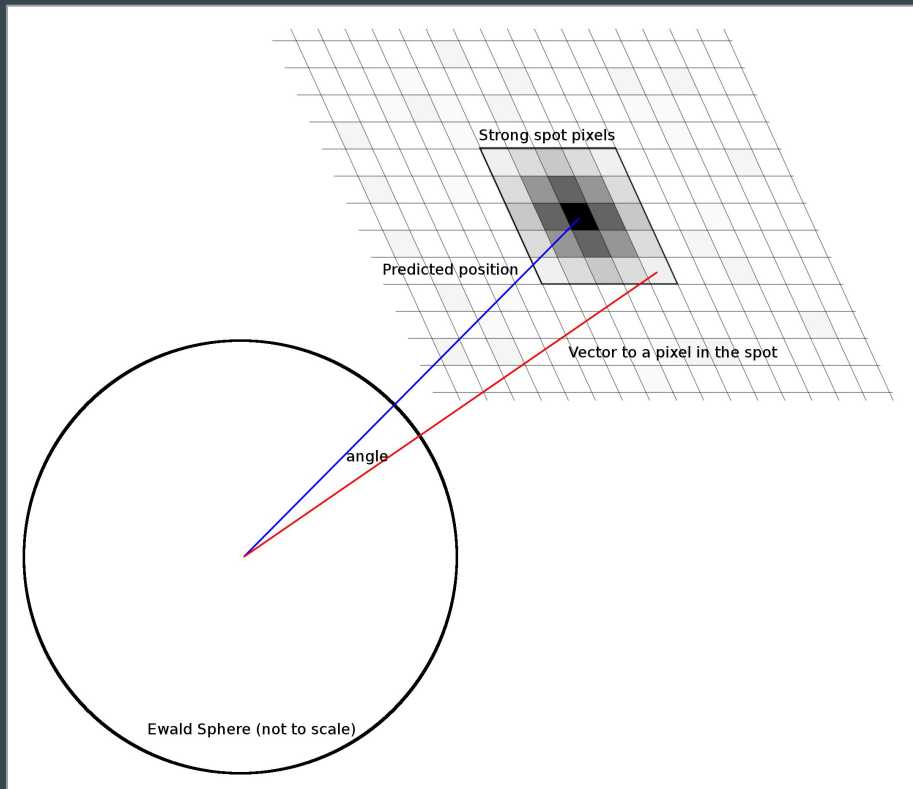
$$\exp\left(\frac{-\epsilon_1^2}{2\sigma_D^2}\right) \exp\left(\frac{-\epsilon_2^2}{2\sigma_D^2}\right) \exp\left(\frac{-\epsilon_3^2}{2\sigma_M^2}\right)$$

2 parameters:

σ_D - roughly "beam divergence"

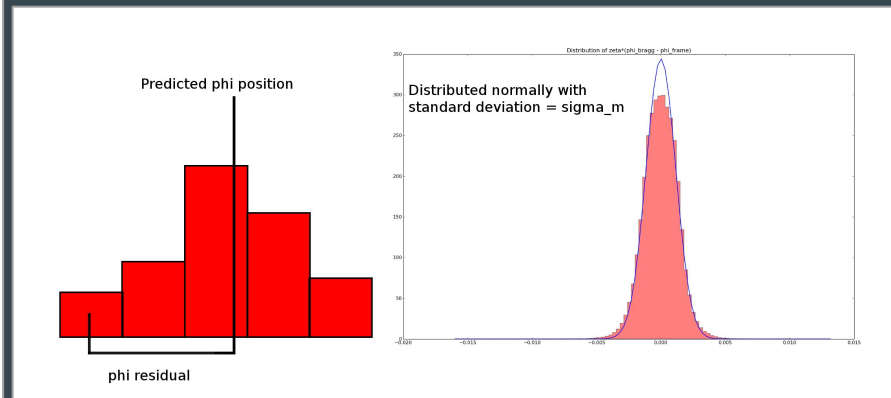
σ_M - roughly "mosaicity"

Computing reflection shoeboxes

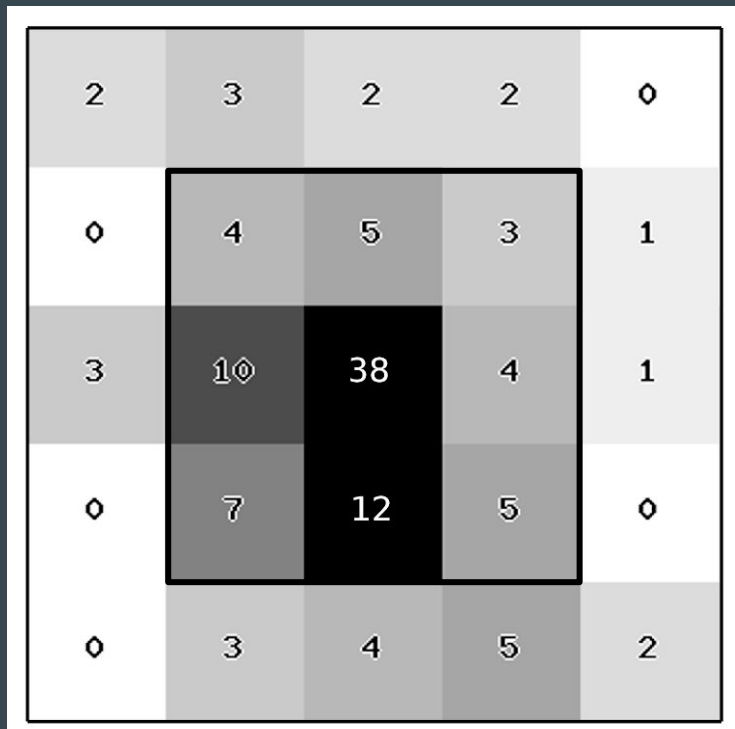


σ_D is calculated from the spread of angles between the predicted diffracted beam vector and the vector for each strong pixel in the spot

σ_M is calculated by maximum likelihood method assuming a normal distribution of phi residuals for each strong pixel in the spot



Background determination



Summation integration: estimate the reflection intensity by summing the counts contributing to the reflection and subtracting the background

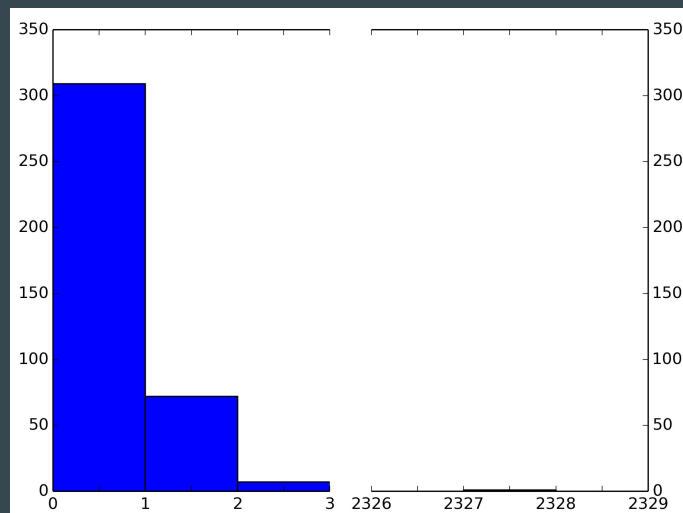
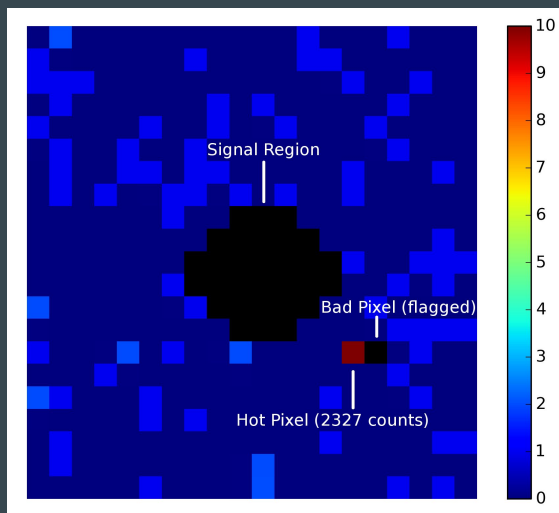
$$I = \text{SUM}(\text{Counts} - \text{Background})$$

Profile fitting: fit a known profile shape to the reflection to estimate the intensity

Need to estimate background under reflection peak

Don't know background in signal region so estimate from the surrounding pixels

Background outlier pixels



	With Hot Pixel	Without Hot Pixel
<i>Mean</i>	6.20	0.22
<i>Variance/Mean</i>	2237.90	0.926

~ 1 for Poisson
distribution

Background modelling with outlier pixels

DIALS has multiple options for outlier pixel handling

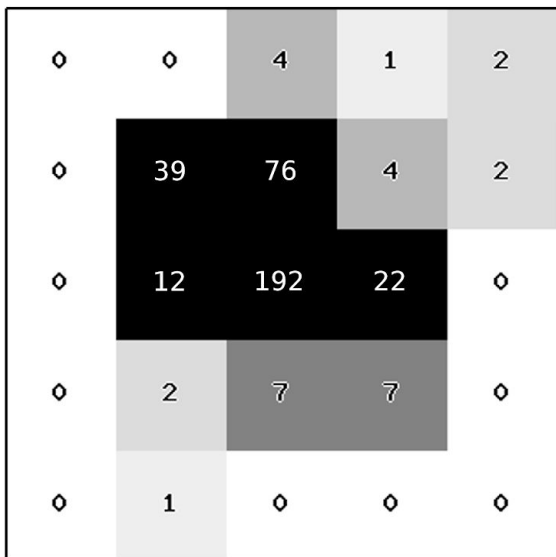
- Truncated - removed percentage of high and low valued pixels
- Normal - remove pixels above and below 3 STD around the mean
- Tukey - remove pixels based on interquartile range
- Plane - compute a plane and remove pixels based on deviation from plane (based on published mosflm algorithm)
- Normal - iteratively remove high valued pixels until they are approximately normally distributed (based on published XDS algorithm)

However, these methods assume a normal distribution and result in biases intensity estimates (particularly for low background)

Default algorithm in DIALS used a GLM algorithm

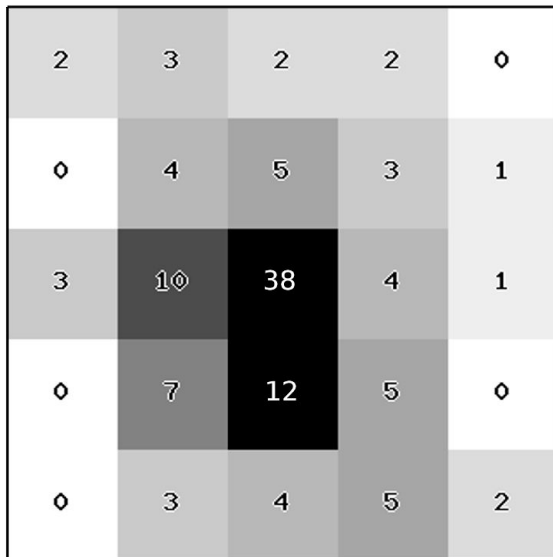
- assumes a Poisson distribution of pixel counts.
- Provides an unbiased estimate of the reflection background

Pixel array detectors: low background



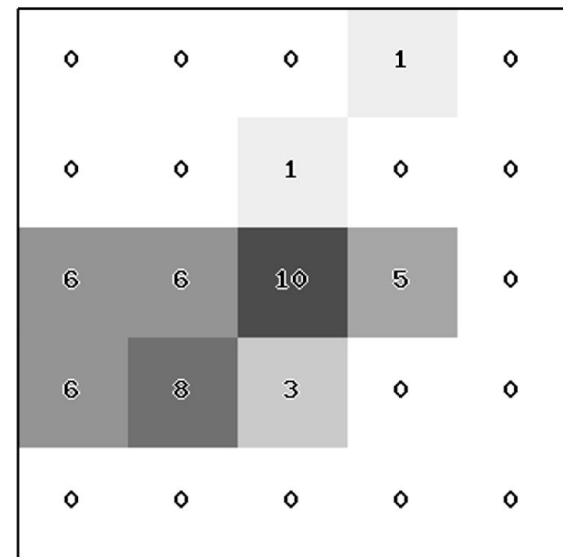
(a)

Thaumatin



(b)

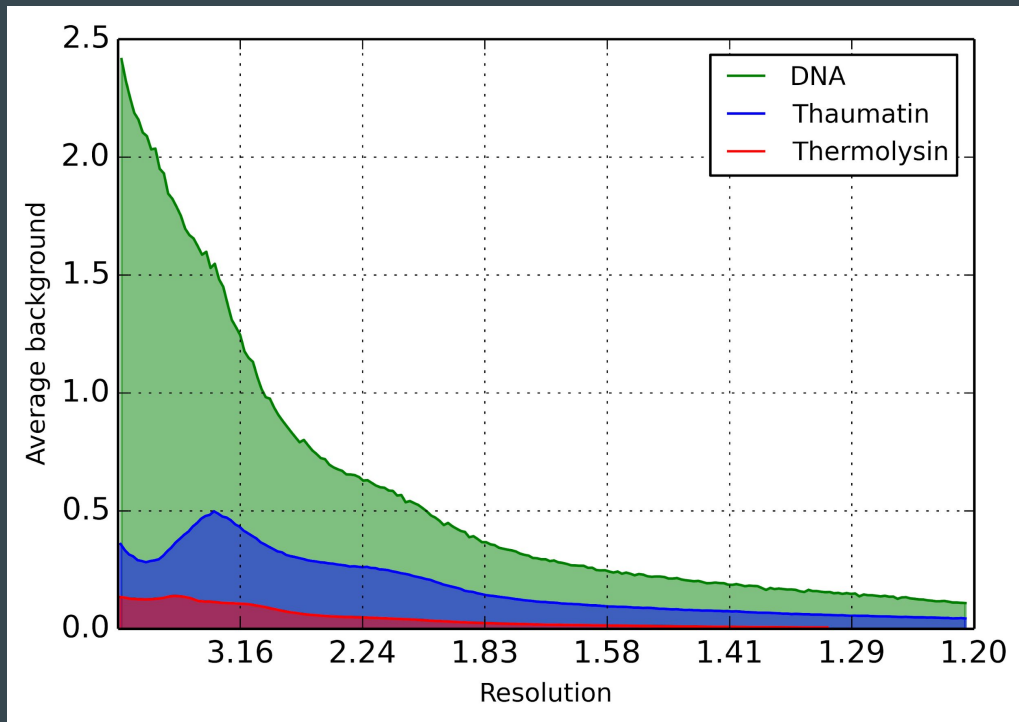
DNA



(c)

Thermolysin

Pixel array detectors: low background

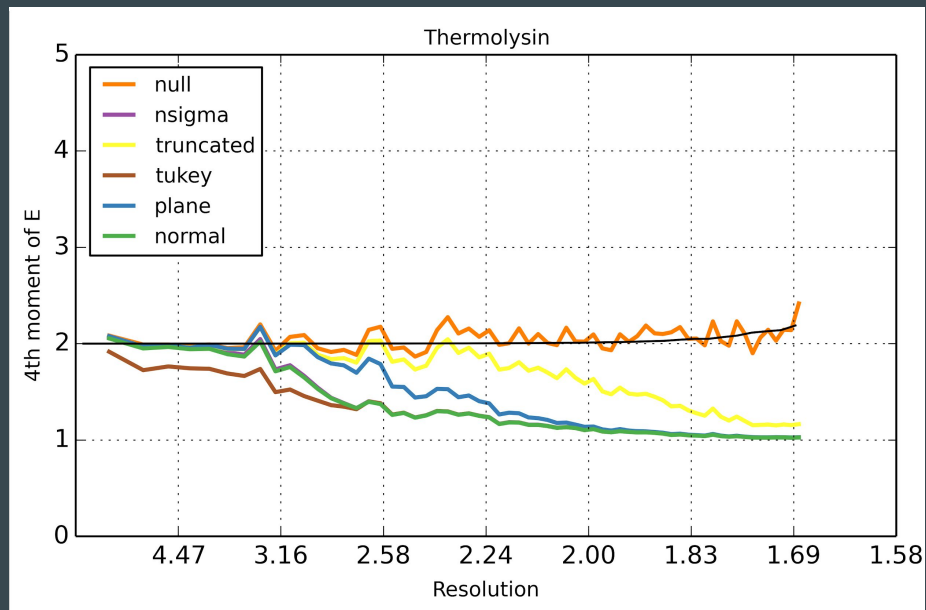
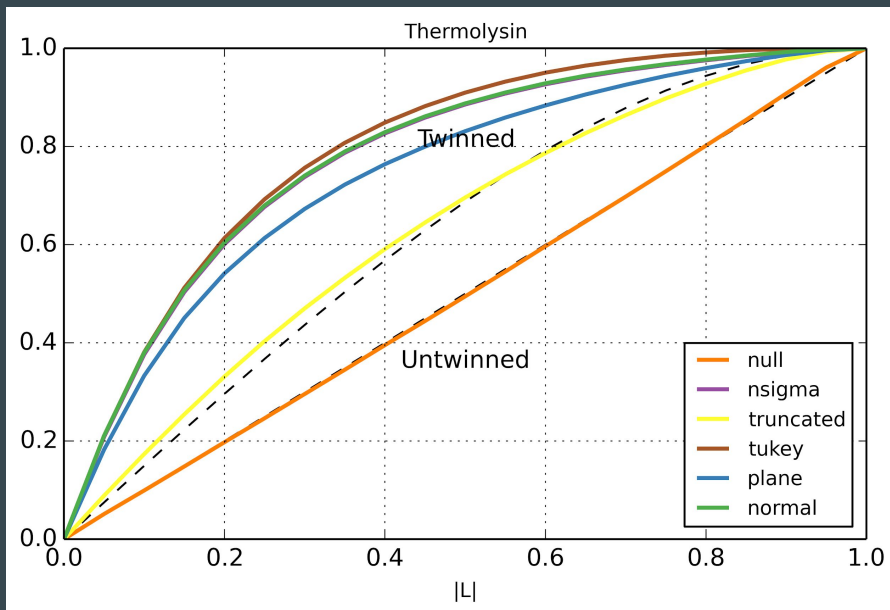


Each dataset has low background over entire resolution range.

Thaumatin and Thermolysin datasets have background less than 1 count per pixel over the whole resolution range

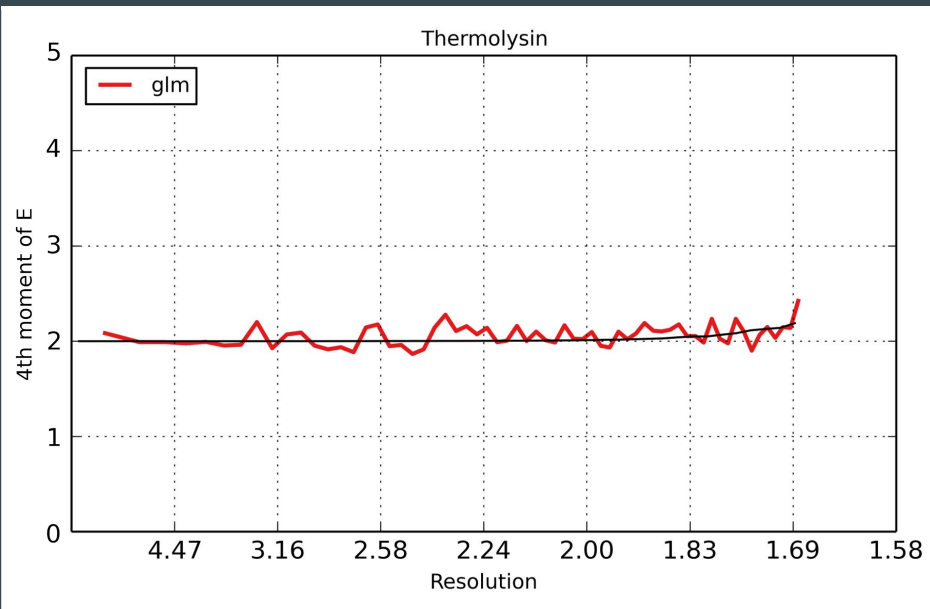
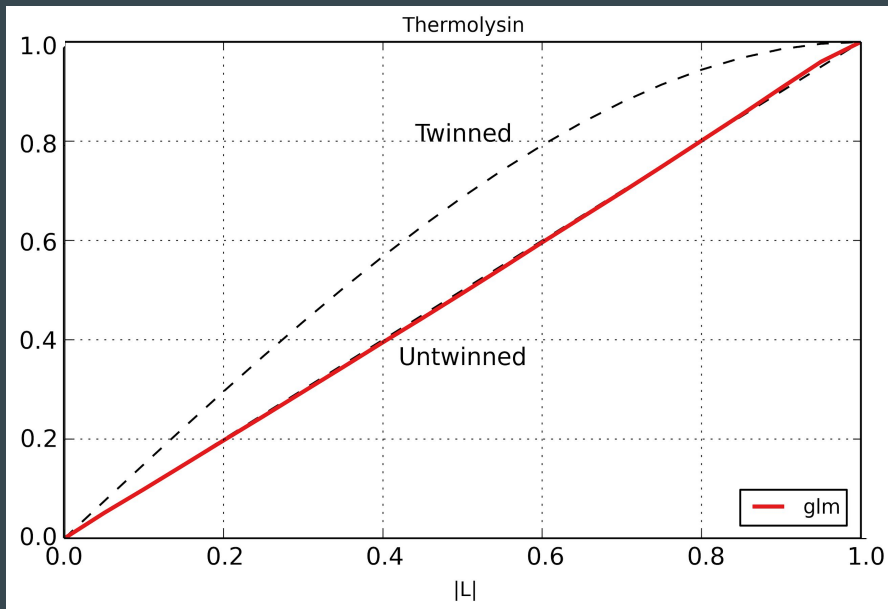
DNA dataset has background less than 1 count per pixel at high resolution

Bias in background determination



These methods all introduce bias. Background is *underestimated*. Integrated intensities are *overestimated*.

Bias in background determination



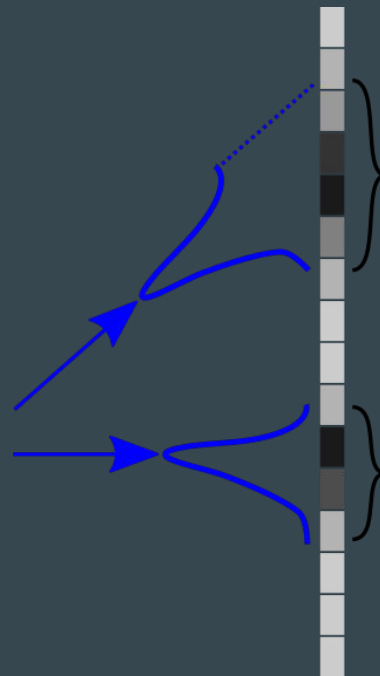
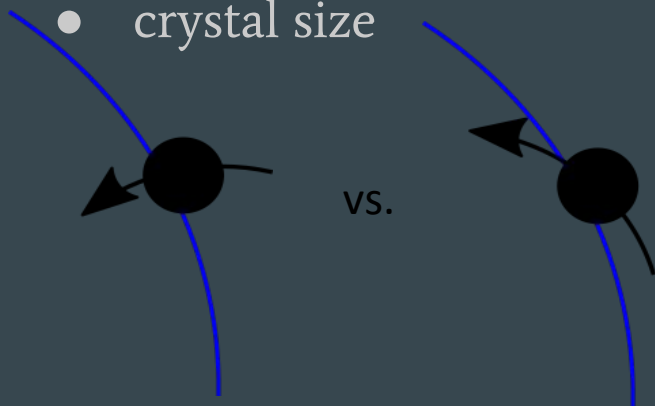
Using a Poisson GLM instead of a least-squares fit removes the bias and still allows outlier rejection

dials.integrate

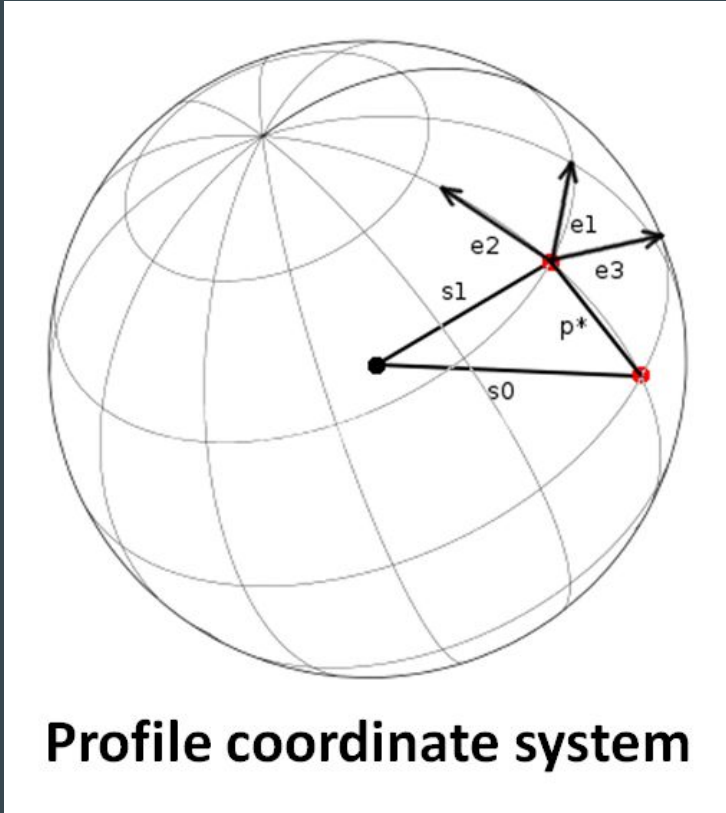
Most programs perform empirical profile modelling using local strong spots.
DIALS makes 3D profile models, like XDS.

The 3D method takes advantage of the Kabsch transform.

- **geometry**
- crystal mosaicity
- crystal shape
- crystal size
- beam size
- beam divergence
- beam spectral dispersion
- **obliquity**
- detector point spread



3D profile fitting coordinate system



Use the kabsch model of a normal distribution on the surface of the Ewald sphere

- Corrects for geometrical distortions
- Makes spots appear to have taken shortest path through Ewald sphere
- Model assumes a Gaussian profile in Kabsch coordinate system

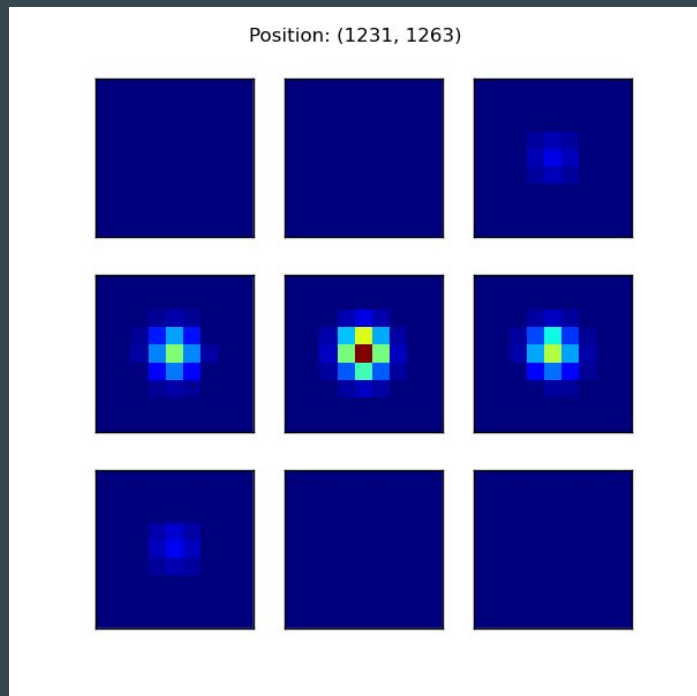
$$\exp\left(\frac{-\epsilon_1^2}{2\sigma_D^2}\right) \exp\left(\frac{-\epsilon_2^2}{2\sigma_D^2}\right) \exp\left(\frac{-\epsilon_3^2}{2\sigma_M^2}\right)$$

2 parameters:

σ_D - roughly "beam divergence"

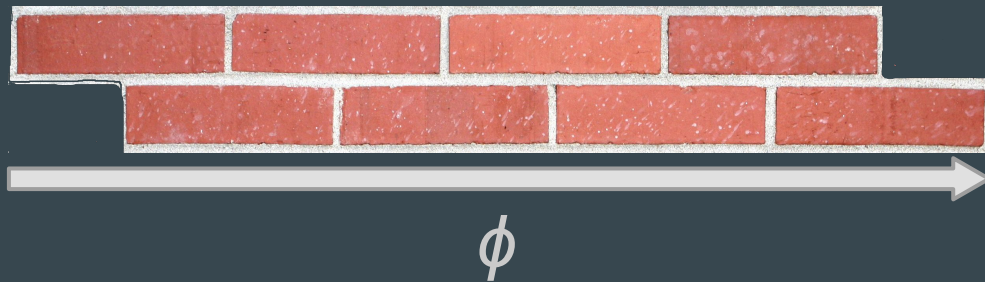
σ_M - roughly "mosaicity"

dials.integrate



3D profiles are formed for different regions of the detector and different blocks of the ϕ -scan.

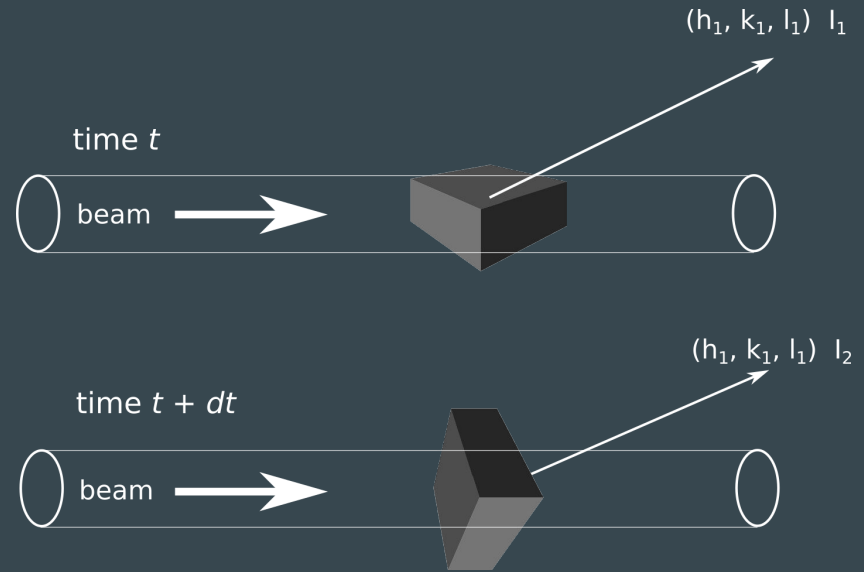
DIALS uses a rectangular grid on the detector, and overlapping blocks in ϕ , with size chosen so that each reflection is integrated fully in one block



dials.scale

Scaling diffraction data

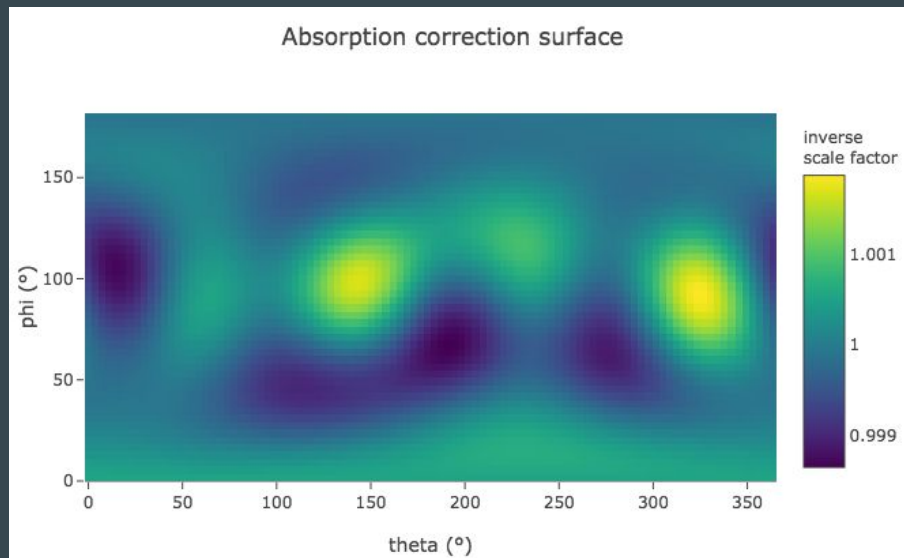
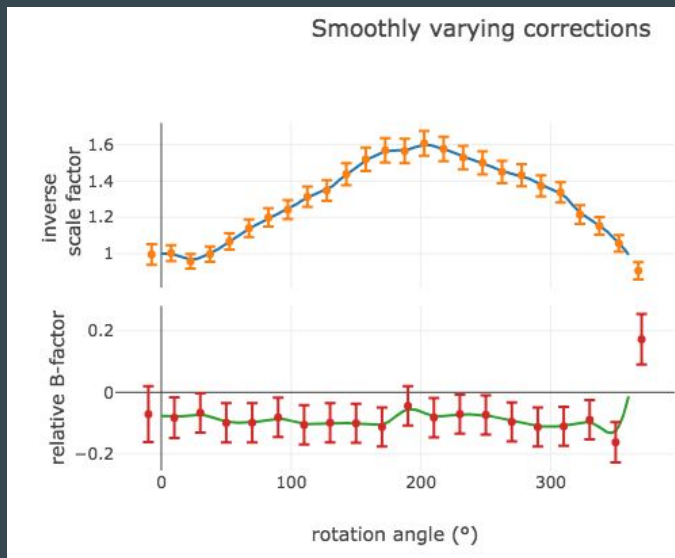
- Correct reflection intensities for experimental effects – beam illumination volume, absorption, radiation damage.
- Apply a correction factor to g_i to each reflection I_i to make symmetry-equivalent reflections most self-consistent across dataset.
- Refine a scaling model with ~ 50 free parameters. Important to have the right point group symmetry!



```
dials.symmetry integrated_experiments.json integrated.pickle
```

```
dials.scale reindexed_experiments.json reindexed_reflections.pickle
```


Example of refined scaling model



- Smoothly varying corrections of a scale factor and B -factor depending on rotation angle.
- Absorption correction based on direction of scattering for particular reflection.
- Correction factors g_i obtained by multiplying these three corrections.

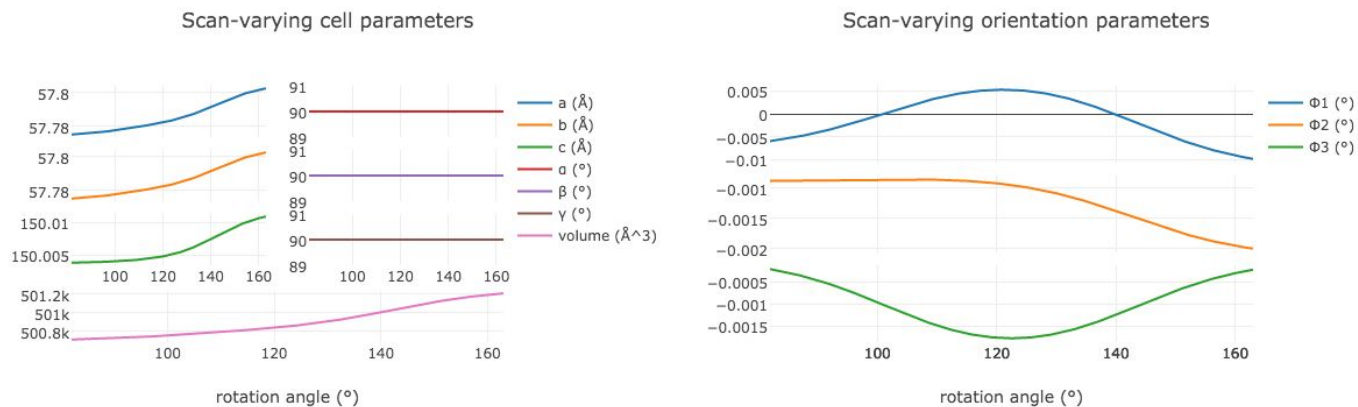
dials.report

Experiments

Crystal:	Space group:	P 4 (No. 75)	Unit cell:	(57.785 57.785 150.006 90.000 90.000 90.000)
U matrix:	$\begin{pmatrix} 0.3455 & -0.2590 & -0.9020 \\ 0.8913 & 0.3911 & 0.2292 \\ 0.2935 & -0.8831 & 0.3660 \end{pmatrix}$		B matrix:	$\begin{pmatrix} 0.0173 & 0.0000 & 0.0000 \\ -0.0000 & 0.0173 & 0.0000 \\ -0.0000 & 0.0000 & 0.0067 \end{pmatrix}$
A = UB:	$\begin{pmatrix} 0.0060 & -0.0045 & -0.0060 \\ 0.0154 & 0.0068 & 0.0015 \\ 0.0051 & -0.0153 & 0.0024 \end{pmatrix}$			
A sampled at 541 scan points			Average unit cell:	(57.786 57.786 150.006 90.000 90.000 90.000)

Experimental geometry

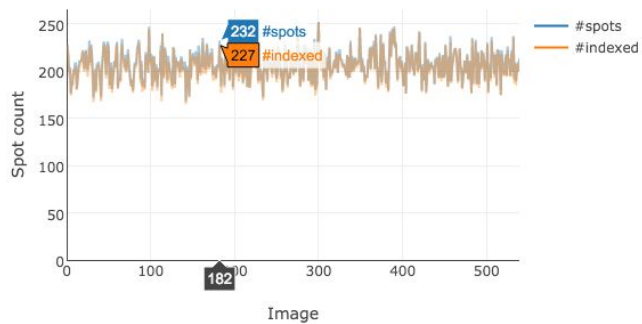
Analysis of scan-varying crystal model



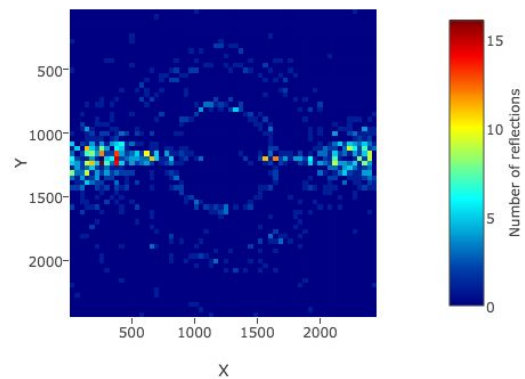
Analysis of strong reflections



Spot count per image

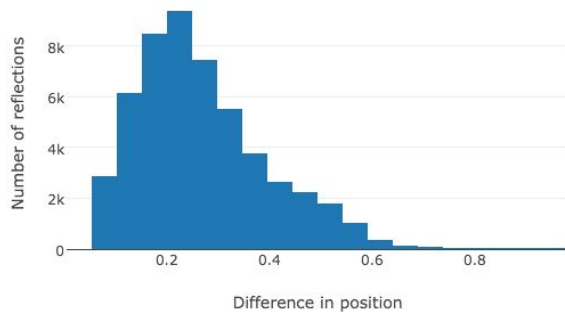


Number of unindexed reflections binned in X/Y

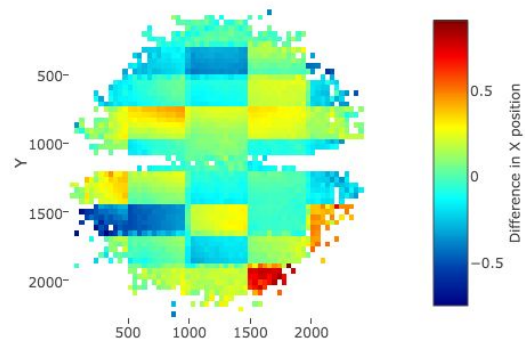


Analysis of reflection centroids

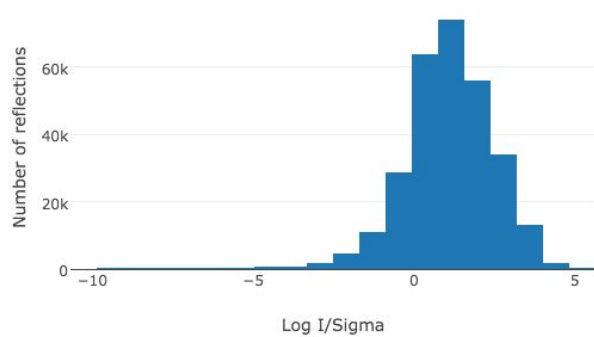
Difference between observed and calculated centroids



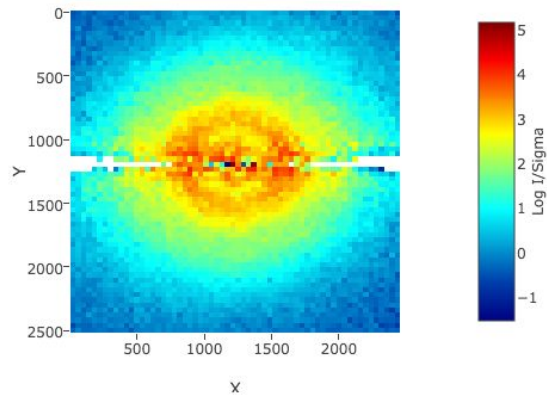
Difference between observed and calculated centroids in X



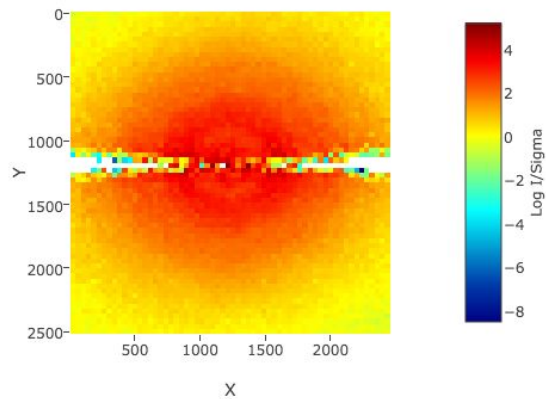
Log I/Sigma histogram



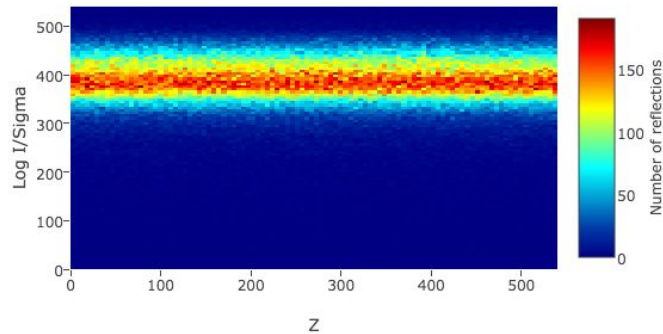
Distribution of I(sum)/Sigma vs X/Y



Distribution of I(prf)/Sigma vs X/Y



Distribution of I/Sigma vs Z



DIALS

Diffraction Integration for Advanced
Light Sources

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Processing in Detail

Introduction

DIALS processing may be performed by either running the individual tools (spot finding, indexing, refinement, integration, exporting to MTZ) or you can run `xia2 -dials`, which makes informed choices for you at each stage. In this tutorial we will run through each of the steps in turn, checking the output as we go. We will also enforce the correct lattice symmetry.

Tutorial data

The following example uses a Thaumatin dataset collected using beamline I04 at Diamond Light Source which is available for download from [DOI 10.5281/zenodo.10271](https://doi.org/10.5281/zenodo.10271)

Import

The first stage of step-by-step DIALS processing is to import the data - all that happens here is that the image headers are read, and a file describing their contents ([datablock.json](#)) is written. It's worth noting that if this file is changed subsequent processing can use this.

```
dials.import data/th_8_2_0*cbf
```

The output just describes what the software understands of the images it was passed, in this case one sweep of data containing 540 images.

The following parameters have been modified:

```
input {  
  datablock = <image files>  
}
```

```
-----  
DataBlock 0  
  format: <class 'dxtbx.format.FormatCBFMiniPilatusDLS6MSN100.FormatCBFMiniPilatusDLS6MSN100'>  
  num images: 540  
  num sweeps: 1  
  num stills: 0  
-----
```

Writing datablocks to datablock.json

Find Spots



Quick start guide

If you don't like reading manuals and just want to get started, try:

```
xia2 -2d /here/are/my/images
```

or:

```
xia2 -3d /here/are/my/images
```

or:

```
xia2 -dials /here/are/my/images
```

(remembering of course `-atom X` if you want anomalous pairs separating in scaling.) If this appears to do something sensible then you may well be home and dry. Some critical options:

Option	Usage
<code>-atom X</code>	tell xia2 to separate anomalous pairs i.e. $I(+)$ \neq $I(-)$ in scaling
<code>-2d</code>	tell xia2 to use MOSFLM and Aimless
<code>-3d</code>	tell xia2 to use XDS and XSCALE
<code>-3dii</code>	tell xia2 to use XDS and XSCALE , indexing with peaks found from all images
<code>-dials</code>	tell xia2 to use DIALS and Aimless

If this doesn't hit the spot, you'll need to read the rest of the documentation.

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[Insulin tutorial](#)

[Program output](#)

[Parameters](#)

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[Acknowledgements](#)

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Summary

- DIALS is new software for diffraction image integration, combining the best parts of older software in a modular and extensible toolkit
- New algorithms are now being developed within the DIALS toolkit
- xia2 is the “friendly” DIALS user interface for synchrotron data, and is bundled with DIALS
- DUI is the new DIALS GUI (see Luiso’s talk tomorrow)
- Get DIALS 1.10.1 for Mac and Linux or the source code from <http://dials.github.io/> under the BSD license
- DIALS is also available from CCP4 7.0 (includes Windows)

Reference

Please cite the main reference if you use DIALS in your work:
Winter *et al.* (2018) *Acta Cryst. D* **74**, 85–97.

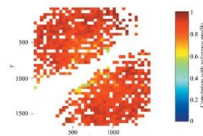


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DIALS: implementation and evaluation of a new integration package

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The *DIALS* project is a collaboration between Diamond Light Source, Lawrence Berkeley National Laboratory and CCP4 to develop a new software suite for the analysis of crystallographic X-ray diffraction data, initially encompassing spot finding, indexing, refinement and integration. The design, core algorithms and structure of the software are introduced, alongside results from the analysis of data from biological and chemical crystallography experiments.

1. Introduction

X-ray crystallography is the dominant method for the determination of the atomic structure of biological macromolecules. Macromolecular crystallography (MX) has evolved over decades into an essentially routine method for the majority of structures being investigated. Incremental improvements in detector technology, X-ray sources, beamline instrumentation (both in optics and endstation) and automation of sample handling have contributed to the success of the method. The overwhelming majority of diffraction data resulting in PDB depositions over the last 2–3 decades have been analysed using just four programs: *XDS* (Kabsch, 2010b), *MOSFLM* (Leslie, 2006), *HKL-2000/DENZO* (Otwinowski & Minor, 1997) and *d^oTREK* (Pflugrath, 1999). For chemical crystallography, *SAINT* (Bruker AXS Inc., Madison, Wisconsin, USA) and *EVAL* (Duisenberg *et al.*, 2003; Schreurs *et al.*, 2010) as well as *d^oTREK* are in common use. Significant effort by a relatively small number of developers over this time has been critical to producing the diffraction-intensity data sets that are the raw material of structure determination.

In more recent years there has been a step change in MX throughput, driven principally by the availability of new X-ray sources and data-collection methodologies (Emma *et al.*, 2010; Ishikawa *et al.*, 2012; White *et al.*, 2012; Gati *et al.*, 2014; Siellato *et al.*, 2014; Sierra *et al.*, 2016; Fuller *et al.*, 2017), high-frame-rate pixel-array detectors (Henrich *et al.*, 2009), fast sample exchange (Russi *et al.*, 2016) and automated data analysis (Winter, 2010; Winter & McAuley, 2011; Vonrhein *et al.*, 2011). This allows larger numbers of smaller samples to be used, with correspondingly more challenging data. New algorithms and approaches to data analysis are therefore required to address the novel approaches to the measurement of diffraction data sets. The initial focus of the development of

Acknowledgements

DIALS East

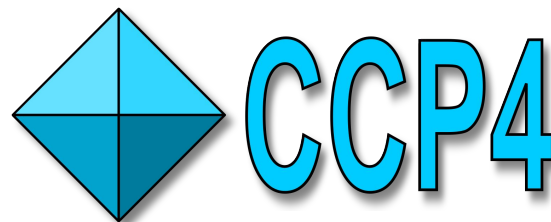
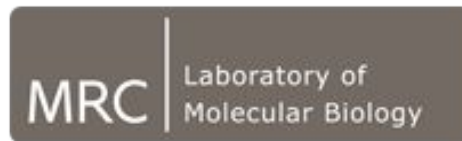
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DIALS West

Nick Sauter, Aaron Brewster, Tara Michels-Clark, Iris Young

Lots of other people

Garib Murshudov, Andrew Leslie, Phil Evans, Harry Powell, Takanori Nakane, Andrea Thorn



Thanks for listening!



<https://dials.diamond.ac.uk>

