Finite density QCD with a histogram method

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> New Horizons in LFT Natal 2013/3/25

Phase structure of QCD

QCD expected to have a rich phase structure



at finite T's and μ 's.

Relevant to the early evolution of the Universe, origin of the matter, structure of neutron stars and supernovae, etc.

We want to know quantitatively

- properties of the matter in each phase
- location of transition lines / critical points / ...

They are now in reach of experiments at RHIC/LHC/...

<= theoretical inputs directly from the 1st principles of QCD indispensable.

Phase structure of QCD

On the lattice, we are free to vary fundamental parameters.

Sensitive dependence on quark masses.



Lattice QCD at $\mu \neq 0$

- $\bigcirc \ LQCD \text{ at } \mu \neq 0 \qquad U_4 \longrightarrow \left\{ \begin{array}{ll} U_4 e^{\mu a} & \cdots & \text{positive } t \text{ direction} \\ U_4 e^{-\mu a} & \cdots & \text{nagative } t \text{ direction} \end{array} \right.$
 - $\Rightarrow [\det M(\mu)]^* = \det M(-\mu^*) \neq \det M(\mu)$
 - => MC based on importance sampling with det*M* not justified
- Sign problem (complex phase problem) phase-quenched simulation by det $M \rightarrow Idet MI$, and handling the phase in the measurement

$$\begin{array}{l} \text{``reweighting''} \quad \langle \mathcal{O} \rangle = \frac{\int d\Phi \ \mathcal{O} \ e^{-S}}{\int d\Phi \ e^{-S}} \\ \\ = \frac{\int d\Phi \ \mathcal{O} e^{-\Delta S} \ e^{-S + \Delta S}}{\int d\Phi \ e^{-\Delta S} \ e^{-S + \Delta S}} = \frac{\langle \mathcal{O} e^{-\Delta S} \rangle_{S - \Delta S}}{\langle e^{-\Delta S} \rangle_{S - \Delta S}} \end{array}$$

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int DU \mathcal{O} \left[\det M \right]^{N_F} e^{-S_g} = \frac{\langle \mathcal{O} e^{iN_F \theta} \rangle_{\text{p.q.}}}{\langle e^{iN_F \theta} \rangle_{\text{p.q.}}}, \quad \det M = \left| \det M \right| e^{i\theta}$$

=> Exponentially high statistic required when θ fluctuates a lot (<= large μ).

Lattice QCD at $\mu \neq 0$

 \bigcirc Techniques for small μ/T

- Taylor expansion around $\mu = 0$
- multi-parameter reweighting
- + imaginary μ (analytic continuation to real μ)
- canonical ensemble
- complex Langevin
- ✦ Lefschetz thimble etc. etc.

combination of them & other techniques to extend the range of applicability

Histogram method: → part 1 spectral density with a judicious choice of operator(s) + reweighting + cumulant expansion



part 1





Histogram of observables ("spectral density" ∝ probability distribution)

For simplicity, let us consider N_F -flavor QCD with the gauge action S_g . We also assume that detM is indep. of β (see discussions later).

$$w(\mathcal{O}_{1}, \mathcal{O}_{2}, \cdots; \beta, m, \mu) \stackrel{\text{def.}}{=} \int DU \prod_{i} \delta(\hat{\mathcal{O}}_{i}[U] - \mathcal{O}_{i}) \left[\det M(m, \mu)\right]^{N_{F}} e^{-S_{g}(\beta)}$$
$$Z_{(\beta, m, \mu)} = \int w(\mathcal{O}_{1}^{i}, \cdots; \beta, m, \mu) \prod_{i} d\mathcal{O}_{i}$$
$$\left\langle f(\hat{\mathcal{O}}_{1}, \cdots) \right\rangle_{(\beta, m, \mu)} = \frac{1}{Z_{(\beta, m, \mu)}} \int f(\mathcal{O}_{1}, \stackrel{i}{\cdots}) w(\mathcal{O}_{1}, \cdots; \beta, m, \mu) \prod_{i} d\mathcal{O}_{i}$$

Choosing \mathcal{O} which is sensitive to the phase (e.g. order parameter, energy density, ...), we can detect the phase transition through the shape of *W*.



Iwasaki et al., PR D46('92)4657

Effective potential

 $V_{\text{eff}}(\hat{\mathcal{O}}_1, \dots; \beta, m, \mu) \stackrel{\text{def.}}{=} -\ln w(\mathcal{O}_1, \dots; \beta, m, \mu)$ $w(\mathcal{O}_1, \dots; \beta, m, \mu) = e^{-V_{\text{eff}}(\hat{\mathcal{O}}_1, \dots; \beta, m, \mu)}$

peak of $W \sim \min$ of V_{eff} double peak of $W \sim \text{double well of } V_{eff}$



Critical boundary of 1st order region can be detected by V_{eff}.



To identify 1st order transition from V_{eff},
 we need precise V_{eff} in a wide range of O covering both phases.
 <= It is expensive to achieve by a single simulation: Very high statistics required due to small probability to flip.

To remedy it, we take $\hat{\mathcal{O}}_1 = \hat{P}[U] \equiv -S_g/(6N_{\text{site}}\beta)$:(generalized) plaquette

With P fixed, reweighting in β is simple:

$$w(P,\dots;\beta,m,\mu) = \int DU\,\delta(\hat{P}[U] - P)\dots \left[\det M(m,\mu)\right]^{N_F} e^{6\beta N_{\rm site}P}$$
$$w(P,\dots;\beta,m,\mu) = w(P,\dots;\beta,m,\mu) e^{6(\beta'-\beta)N_{\rm site}P}$$

Assumed: detM is indep. of β .

When c_{SW} has dependency on β , its effect should be taken into account. Alternatively, we may take a scheme in which c_{SW} is kept fixed. Final physics should be scheme-independent.



Each β has different support of \mathcal{O} 's.

We can combine data at different β 's to cover a wide range of \mathcal{O} 's.

H. Saito et al., PRD 84, 054502 ('11)



We also reweight in *m* and μ to further extend the ranges etc.

$$w(P;\beta,m,\mu) = \int DU \,\delta(\hat{P}[U] - P) \left[\det M(m,\mu)\right]^{N_F} e^{-S_g(\beta)}$$
$$= w(P;\beta_0,m_0,0) \times R(P)$$

$$R(P) = \frac{w(P;\beta,m,\mu)}{w(P;\beta_0,m_0,0)} = e^{6N_{\text{site}}(\beta-\beta_0)P} \frac{\left\langle \delta(\hat{P}-P) \left[\frac{\det M(m,\mu)}{\det M(m_0,0)} \right]^{N_F} \right\rangle_{(\beta_0,m_0,0)}}{\left\langle \delta(\hat{P}-P) \right\rangle_{(\beta_0,m_0,0)}}$$
$$= e^{6N_{\text{site}}(\beta-\beta_0)P} \frac{\left\langle \left[\frac{\det M(m,\mu)}{\det M(m_0,0)} \right]^{N_F} \right\rangle_{P;m_0}}{P_{\text{constrained average: indep. of for large states}} \right\rangle_{P;m_0}$$

Reweighting formula for V_{eff} $V_{\text{eff}}(P;\beta,m,\mu) = V_{\text{eff}}(P,\beta_0,m_0,0) - 6N_{\text{site}}(\beta - \beta_0) P - \ln \left\langle \left[\frac{\det M(m,\mu)}{\det M(m_0,0)} \right]^{N_F} \right\rangle_{P;m_0}$

 $-\ln R$







Heavy quark QCD

To test the method, we first study the case of heavy quark QCD.

H. Saito et al., PRD 84, 054502 ('11); paper in preparation.

Plaquette gauge action + Wilson quark action
 Simulation at m₀ = ∞ (κ₀ = 0): quenched QCD 24³×4, β=5.68-5.70 (5 points around βc)
 1st order deconfining transition

Reweight from $m_0 = \infty$

The 1st order trans. expected to turn into crossover at some *m*.

<= hopping parameter expansion $\kappa \sim 1/m_q a$



Polyakov loop histogram at $m_0 = \infty$ Iwasaki et al., PR D46('92)4657

We study the fate of the 1st order transition in the lowest order at $N_t=4$.

H. Saito et al., PRD 84, 054502 ('11)



With decreasing m_q from ∞ , the 1st order deconf. transition weakens and turns into crossover. **Critical point** can be identified by the disappearance of the double-well shape of V_{eff} .

Polyakov loop (by multi-point reweighting using 5 ß's and adjusted to $\beta_c(\kappa)$ from χ_{Ω})



Polyakov loop



For $N_F = 2+1$ $\left[\frac{\det M(\kappa,0)}{\det M(0,0)}\right]^{N_F} = \exp\left[N_F\left\{288N_{\text{site}}\kappa^4\hat{P} + 12\cdot 2^{N_t}N_s^3\kappa^{N_t}\hat{\Omega}_R + \cdots\right\}\right]$ We just replace $N_F\kappa^{N_t} \implies 2\kappa_{ud}^{N_t} + \kappa_s^{N_t}$, besides the shift of β to β° . $2(\kappa_{ud})^{N_t} + (\kappa_s)^{N_t} = 2(\kappa_{cp}^{N_F=2})^{N_t}$ $m_s \neq^{\circ} 0.05$ Ist order $\gamma^{\circ} \gamma^{\circ} \gamma^{$



H. Saito et al., PoS Lattice2011; WHOT-QCD, in preparation.

- /]

°°CP

 $\langle e^{i\theta} \rangle$ may cause the sign problem when θ fluctuates largely <= large μ

Cumulant expansion S. Ejiri, PRD 77, 014508 ('08); WHOT, PRD 82, 014508 ('10)

 $\langle e^{i\hat{\theta}} \rangle = \exp\left[i\langle\hat{\theta}\rangle_c - \frac{1}{2!}\langle\hat{\theta}^2\rangle_c - \frac{i}{3!}\langle\hat{\theta}^3\rangle_c + \frac{1}{4!}\langle\hat{\theta}^4\rangle_c + \cdots\right]$ $\langle \hat{\theta}\rangle_c = \langle \hat{\theta}\rangle, \ \langle \hat{\theta}^2\rangle_c = \langle \hat{\theta}^2\rangle - \langle \hat{\theta}\rangle^2, \ \langle \hat{\theta}^3\rangle_c = \langle \hat{\theta}^3\rangle - 3\langle \hat{\theta}^2\rangle\langle \hat{\theta}\rangle + 2\langle \hat{\theta}\rangle^3, \cdots$

Remarks:

- Odd terms are the origin of the complex phase.
- Odd terms vanish due to the symmetry under $\mu \leftrightarrow -\mu$.
- W/o odd terms, $\langle e^{i\hat{\theta}} \rangle$ is positive definite!
- Sign problem resolved *if* the expansion converges. The sign problem is transformed into a convergence problem of the cumulant expansion.

$$\langle e^{i\hat{\theta}} \rangle = \exp\left[-\frac{1}{2!}\langle \hat{\theta}^2 \rangle_c + \frac{1}{4!}\langle \hat{\theta}^4 \rangle_c + \cdots\right]$$

 $\hat{\theta} = 12 \cdot 2^{N_t} N_F N_s^3 \kappa^{N_t} \sinh(\mu/T) \hat{\Omega}_{\mathrm{I}}$

Convergence of the cumulant expansion

When a cumulant expansion converges? The most convergent case: $\langle e^{i\hat{\theta}} \rangle = \exp\left[-\frac{1}{2!}\langle \hat{\theta}^2 \rangle_c\right]$ i.e., Gaussian distribution

We note that $\hat{\theta} = \sum_{\mathbf{x}} \hat{\theta}_{\mathbf{x}} \Rightarrow$ distribution of $\theta \approx$ Gaussian for $V \gg \theta$ (correlated range). Therefore, if the correlation length is finite as in the case of massive QCD we do expect Gaussian distribution of θ on large lattices. A delicate point is the V-dependence, because θ is O(V), θ^n may diverge as $O(V^n)$. However, because $\langle e^{i\hat{\theta}} \rangle = \langle \prod_{\mathbf{x}} e^{i\hat{\theta}_{\mathbf{x}}} \rangle \approx \langle \prod_{\mathbf{x} \in v} e^{i\hat{\theta}_{\mathbf{x}}} \rangle^{V/v}$, we find $\langle \hat{\theta}^n \rangle_c = O(V)$ for $\forall n$ i.e., convergence is independent of V!

$$\langle e^{i\hat{\theta}} \rangle = \exp\left[-\frac{1}{2!} \langle \hat{\theta}^2 \rangle_c + \frac{1}{4!} \langle \hat{\theta}^4 \rangle_c + \cdots\right]$$

 $\hat{\theta} = 12 \cdot 2^{N_t} N_F N_s^3 \kappa^{N_t} \sinh(\mu/T) \hat{\Omega}_{\mathrm{I}}$

Dominance of the Gaussian term around $\kappa_{cp}^{p.q.}(\mu)$

(important in the discussions below)



We can now reliably evaluate $\langle e^{i\hat{ heta}}
angle$ by the cumulant expansion



Solid line: phase-quenched Dashed line: with maximal μ [sinh(μ/T)=cosh(μ/T)]

around $\kappa_{\rm cp}^{\rm p.q.}(\mu)$

=> The effects of the phase is quite small in the range of Ω_R relevant to the transition.

=> The critical point well close to the phase-quenched critical point.

 $\kappa_{\rm cp}(\mu) \approx \kappa_{\rm cp}^{\rm p.q.}(\mu) = \kappa_{\rm cp}(\mu=0) \cdot [\cosh(\mu/T)]^{-1/N_t}$

For $N_F = 2+1$, using $\kappa_{cp}(\mu) \approx \kappa_{cp}^{p.q.}(\mu)$ the critical surface is given by

 $2(\kappa_{ud}(\mu))^{N_t} \cosh(\mu_{ud}/T) + (\kappa_s(\mu))^{N_t} \cosh(\mu_s/T) = 2(\kappa_{cp}^{N_F=2}(0))^{N_t}$

μ

$$\mu_u = \mu_d = \mu_s \equiv \mu \qquad \qquad \mu_u = \mu_d \equiv \mu_{ud}, \ \mu_s = 0$$



Heavy: summary & notes

Histogram method

= spectral density + reweighting technique + cumulant expansion

useful to determine the phase diagram



- leading order of the hopping parameter expansion
 - effects of the next leading order small around κ_{cp} .

WHOT-QCD in preparation

★ κ⁶ loops ≈> renormalization of ß
 ★ κ^{Nt+2} eared Polyakov loops => competitive to the leading order only at κ >≈ 0.18 on Nt=4 lattices shifts κ_{cp} only ≈ 3%.

• $\kappa_{cp}(\mu) \rightarrow 0$ towards large μ : safe to use the κ expansion at all μ to study κ_{cp} .



With dynamical light quarks

- *Ω* no more plays an decisive role in the reweighting.
 We have to handle det*M* itself instead.
 Because det*M* corresponds to the quark energy, this should be sensitive to the phase too.
- $\theta = \arg[\det M]^{N_f}$ has an ambiguity mod. 2π Note that $\hat{\theta} = 12 \cdot 2^{N_t} N_F N_s^3 \kappa^{N_t} \sinh(\mu/T) \hat{\Omega}_I$ in heavy quark QCD is not restricted in $(-\pi,\pi)$. We show that a good choice of θ (as an integral of local operator) leads to Gaussian distributions.



$$Z(\beta,\mu) = \int \mathscr{D}U \, e^{i\theta(\mu)} \left|\det M(\mu)\right|^{N_{\rm f}} e^{6\beta N_{\rm site}P}$$

Solution Strategy:

Y. Nakagawa et al., PoS Lattice 2012, 092

- Phase-quenched simulation with $|\det M|^{N_f} e^{-Sg}$
- Reweight to incorporate the effects of the phase $e^{i\theta}$
- To cover a wide range of $V_{\rm eff}$ by another reweighting, we choose

$$P = -S_g/6\beta N$$
site

 $F = N_{\rm f} \ln |\det M(\mu)/\det M(0)|$

- : generalized plaquette ≈ glue energy
- : abs. value of det*M* ≈ quark energy

as O's for V_{eff}

$$\frac{Z(\beta,\mu)}{Z(\beta,0)} = \int dPdF \, w_0(P,F;\beta,\mu) \, \left\langle e^{i\theta} \right\rangle = \int dPdF \, e^{-V(P,F;\beta,\mu)},$$

where

$$w_{0}(P,F;\beta,\mu) = \frac{1}{Z(\beta,0)} \int \mathcal{D}U\delta(P-\hat{P})\delta(F-\hat{F}(\mu)) \left|\det M(\mu)\right|^{N_{f}} e^{6\beta N_{site}P}$$

: phase-quenched histogram of (P,F)
normalized at $\mu=0$

$$\begin{split} \left\langle e^{i\theta} \right\rangle (P,F;\mu) &= \frac{\int \mathscr{D}U e^{i\theta(\mu)} \delta(P-\hat{P}) \delta(F-\hat{F}(\mu)) \left| \det M(\mu) \right|^{N_{\rm f}} e^{6\beta N_{\rm site}P}}{\int \mathscr{D}U \delta(P-\hat{P}) \delta(F-\hat{F}(\mu)) \left| \det M(\mu) \right|^{N_{\rm f}} e^{6\beta N_{\rm site}P}} \\ &= \frac{\left\langle \left\langle e^{i\theta(\mu)} \delta(P-\hat{P}) \delta(F-\hat{F}(\mu)) \right\rangle \right\rangle_{(\beta,\mu)}}{\left\langle \left\langle \delta(P-\hat{P}) \delta(F-\hat{F}(\mu)) \right\rangle \right\rangle_{(\beta,\mu)}} \\ &: \text{phase factor at fixed } P \text{ and } F \end{split}$$

Reweighting factors

 $w_0(P, F; \beta, \mu) = R(P, F; \beta, \beta_0, \mu, \mu_0) \ w_0(P, F; \beta_0, \mu_0)$

$$R(P, F, \beta, \beta_0, \mu, \mu_0) = e^{6(\beta - \beta_0)N_{\text{site}}P} \frac{\left\langle \left\langle \delta(P - \hat{P})\delta(F - \hat{F}) \left| \frac{\det M(\mu)}{\det M(\mu_0)} \right|^{N_{\text{f}}} \right\rangle_{(\beta_0, \mu_0)}}{\left\langle \left\langle \delta(P - \hat{P})\delta(F - \hat{F}) \right\rangle \right\rangle_{(\beta_0, \mu_0)}}$$

$$\left\langle e^{i\theta} \right\rangle (P,F;\mu) = \frac{\left\langle e^{i\theta} \left| \frac{\det M(\mu)}{\det M(\mu_0)} \right|^{N_{\rm f}} \delta(P-\hat{P}) \delta(F-\hat{F}) \right\rangle_{(\beta_0,\mu_0)}}{\left\langle \left| \frac{\det M(\mu)}{\det M(\mu_0)} \right|^{N_{\rm f}} \delta(P-\hat{P}) \delta(F-\hat{F}) \right\rangle_{(\beta_0,\mu_0)}}$$

With P fixed, the ratios in r.h.s. are actually indep of β .

• Our definition of θ

$$\theta(\mu) = N_{\rm f} \int_0^{\mu/T} \Im \left[\frac{\partial (\ln \det M(\mu))}{\partial (\mu/T)} \right]_{\bar{\mu}} d\left(\frac{\bar{\mu}}{T}\right)$$

 $\frac{\partial (\ln \det M)}{\partial \mu'} = \operatorname{tr}[M^{-1}(\partial M/\partial \mu')] \quad i.e., \int d^4x \text{ of a local op.}$ => uniquely defined in $(-\infty, +\infty)$.

Conventional θ in $(-\pi, +\pi)$ recovered by taking the modulus.



F and the reweighting factor can be evaluated similarly using the same measurement

$$F(\mu) = N_{\rm f} \ln \left| \frac{\det M(\mu)}{\det M(0)} \right| = N_{\rm f} \int_{0}^{\mu/T} \Re \left[\frac{\partial (\ln \det M(\mu))}{\partial (\mu/T)} \right]_{\bar{\mu}} d\left(\frac{\bar{\mu}}{T}\right)$$
$$C(\mu) = N_{\rm f} \ln \left| \frac{\det M(\mu)}{\det M(\mu_0)} \right| = N_{\rm f} \int_{\mu_0/T}^{\mu/T} \Re \left[\frac{\partial (\ln \det M(\mu))}{\partial (\mu/T)} \right]_{\bar{\mu}} d\left(\frac{\bar{\mu}}{T}\right)$$

Curvatures of V

to the lowest order of the cumulant expansion (Gaussian approx.)

 $\frac{\partial^2 V}{\partial P^2}(P,F;\beta,\mu) = \frac{\partial^2 (-\ln w_0)}{\partial P^2}(P,F;\beta_0,\mu_0) - \frac{\partial^2 \ln R}{\partial P^2}(P,F;\beta,\beta_0,\mu,\mu_0) + \frac{1}{2}\frac{\partial^2 \langle \theta^2 \rangle_c}{\partial P^2}(P,F;\mu)$ $\frac{\partial^2 V}{\partial F^2}(P,F;\beta,\mu) = \frac{\partial^2 (-\ln w_0)}{\partial F^2}(P,F;\beta_0,\mu_0) - \frac{\partial^2 \ln R}{\partial F^2}(P,F;\beta,\beta_0,\mu,\mu_0) + \frac{1}{2}\frac{\partial^2 \langle \theta^2 \rangle_c}{\partial F^2}(P,F;\mu)$



Negative curvature signals 1st order transitions.

- Simulation of 2-flavor QCD on clusters
- RG-improved Iwasaki gauge

- 2-flavor non-perturbatively O(a)-improved clover quarks
- $8^3 x 4$ lattice at $m_{\pi}/m_{\rho} \approx 0.8 \ (\kappa = 0.141139)$
- phase-quenched simulations at $\beta_0=1.2-2.0$, $\mu_0/T=2.0-4.0$





 $\frac{\partial^2 V}{\partial P^2}(P,F;\beta,\mu) = \frac{\partial^2 (-\ln w_0)}{\partial P^2}(P,F;\beta_0,\mu_0) - \frac{\partial^2 \ln R}{\partial P^2}(P,F;\beta,\beta_0,\mu,\mu_0) + \frac{1}{2}\frac{\partial^2 \langle \theta^2 \rangle_c}{\partial P^2}(P,F;\mu)$ $\frac{\partial^2 V}{\partial F^2}(P,F;\beta,\mu) = \frac{\partial^2 (-\ln w_0)}{\partial F^2}(P,F;\beta_0,\mu_0) - \frac{\partial^2 \ln R}{\partial F^2}(P,F;\beta,\beta_0,\mu,\mu_0) + \frac{1}{2}\frac{\partial^2 \langle \theta^2 \rangle_c}{\partial F^2}(P,F;\mu)$

See Y. Nakagawa et al., PoS Lattice 2012, 092 for details of the calculation.





Summary

- Histogram method to detect 1st order transitions
- Sign problem avoided by the cumulant expansion up to $\mu \sim \mu_c$
- Validity of the method confirmed in heavy quark QCD
- Light quark QCD studied by phase-quenched simulations + reweighting
- Critical point terminating the 1st order deconfining transition line suggested numerically
- Simulations/analyses on-going

thank you

Backup slides from recent talks

New results are not included.

PROSPECTED PHASE STRUCTURE AT $\mu = 0$

QCD transition around the chiral limit

Effective 3d σ model with the same flavor-chiral symmetry of massless QCD (continuum) Pisarski-Wilczek, PRD('84); Wilczek, IJMP('92); Rajagopal-Wilczek NPB('93)

 $\mathcal{L} = \operatorname{Tr}\partial_{i}M^{\dagger}\partial_{i}M + \mu^{2}\operatorname{Tr}M^{\dagger}M + \lambda_{1}\operatorname{Tr}\left(M^{\dagger}M\right)^{2} + \lambda_{2}\left(\operatorname{Tr}M^{\dagger}M\right)^{2} + c_{\mathrm{U}(1)_{A}}\left(\det M + \det M^{\dagger}\right) \iff \mathrm{U}(1)_{A} \text{ anomaly}$

$$M_{ab} \sim \left\langle \bar{q}_a \frac{1+\gamma_5}{2} q_b \right\rangle$$

$N_F \geq 3$: Ist order

 $N_F = 2$: depends on the magnitude of the anomaly

• when anomaly strong: the σ model \approx O(4) Heisenberg model

=> 2nd order with established critical properties

 $\begin{array}{ll} M/h^{1/\delta} = f(t/h^{1/\beta\delta}) & \begin{array}{l} 1/\beta\delta = 0.537(7) \\ 1/\delta = 0.2061(9) \end{array} \\ h \sim m_q & <= \mbox{chiral violating coupling (external mag. field)} \\ t \sim (T - T_c)/T_c & <= \mbox{chiral symmetric coupling (reduced temperature)} \end{array}$

• when anomaly negligible around T_c => fluctuation-induced (weakly) **Ist order** In case anomaly negligible around *Tc*, $N_F = 2$: Ist order chiral trans. with Ising crit. end point $N_F = 3$: smaller 1st order region <= anomaly was a source of the M³ term $N_F = 2 \text{ QCD}$ SU(3) YM

To discriminate the pictures, non-perturbative test on the lattice needed.

O(4) scaling is a powerful guide here.

Flavor-chiral sym. on the lattice

No-go theorem (Nielesen-Ninomiya): one flavor lattice fermion cannot be local and chiral simultaneously.

Chiral symmetry cannot be simply realized on the lattice.

=> several options for the quark action with different flavor-chiral properties:

Wilson-type / staggered-type / domain-wall / overlap / ...

Wilson-type quarks: violate the chiral symmetry at a > 0.

Many studies are being made.

- **Pros:** \checkmark Describes a single flavor. => Flavor symmetry exact.
 - \checkmark Continuum limit exists. <= The chiral sym. is restored in the cont. limit.
- - Light quarks expensive.

Lattice chiral quarks: domain-wall / overlap

Still quite expensive to simulate. Real applications to T > 0 have just started! => HotQCD, JLQCD So far, most large-scale simulations at finite T and μ have been made with

Staggered-type quarks

- **Pros:** \checkmark Relatively cheap to simulate.
 - ✓ A modified chiral sym. preserved: U(I) [=O(2)] taste-chiral sym.

Cons: ◆ 4 copies of identical fermions ("tastes") in the cont. lim. for each flavor. => "4th root trick" to remove unwanted 3 : detM => [detM]^{1/4} ↓ ◆ Non-local => Universality arguments fragile.

? continuum limit?

<= Empirically OK *if* the continuum limit is taken first.

? chiral scaling on finite lattices? ???

Taste violation problem at a>0 => errors in flavor identifications.

(e.g.) many π 's in the taste space, one is light due to the taste-chiral sym.

Lightest π (pNG π) usually treated as "physical".

Other π 's do contribute in dynamical effects.

=> lattice artifacts.

 m_q is effectively much heavier.

OL

0.6

7.30

Recently, it was noted that a good control of the taste-violation is essential to obtain physical results with staggered-type quarks.

Improved staggered quarks

Various actions proposed to milden lattice artifacts including the taste violation:

asqtad / p4 / HYP / stout / HISQ / ...

The extent of improvement differs depending on the action.

10 $(m_{\pi}^{2}-m_{G}^{2})/(200 \text{ MeV})^{2}$ 8 YoY5 **HISO** 6 stout, YiY5 Pathtad 4 stout, y_iy_i unimproved asqtad 2 The magnitude of the taste violation a^2 [fm²] 0 HISQ < stout < asqtad < p40.04 0.02 0.06 0 Bazavov-Petreczky heavy " π " masses (at T~170MeV with m_{π}^{pNG} ~135 MeV) (HotQCD) Nt~8 ~ 400-600 asqtad < p4arXiv:1012.1257 $\sim 300-500$ stout ~ 200-400 HISO Nt~12 ~ 200-350 stout

Orginos et al,

hep-lat/9909087

 $m_{\pi}/m_{\rho} = 0.55$

O(4) scaling tests on the lattice Wilson-type quarks ($N_F=2$)

Proper renormalization needed to recover the chiral symmetry in the continuum limit.

$$\Lambda \sim \langle \bar{\Psi}\Psi \rangle_{sub} = 2m_q a Z \sum \langle \pi(x)\pi(0) \rangle$$
 via axial W.I Bochicchio et al.('85)

QCD data vs. O(4) scaling function and exponents

O(4) scaling fit for Tc

Bornyakov et al. (QCDSF) PRD82('10)

- plaquette gauge + Clover
 Nt = 8,10,12, m_π ≈ 420-1300 MeV

No indication of 1st order chiral transition.

QCD data well described by the O(4) scaling function with O(4) exponents.

Consistent with the O(4) scaling, though quarks are heavy.

Nógrádi (Budapest-Wuppertal) @ Lat I I

- Symanzik/tree + 6-level-stout-clover $N_F=2+1$
- fixed scale approach at 6 ß values

$$M \sim \Delta_{\bar{\Psi}\Psi} = \langle \bar{\Psi}\Psi \rangle_T - \langle \bar{\Psi}\Psi \rangle_{T=0}$$

Comparison with staggered (stout, Nt=8-12) at mπ≈545 MeV, mK≈612 MeV susceptibilities, renormalized Polyakov loop => well consistent with each other.
 Continuum thermodynamics feasible with improved Wilson.
 No O(4) scaling tests yet.

Burger (tmfT) @ Lat II, 1102.4530

- Symanzik/tree + maximally twisted Wilson $N_F=2$
- Nt=8−12 m_π≈320-480 MeV

O(4) fit for $m_{\pi} \approx 320-480$ MeV works well => $T_c = 160-270$ MeV.

Difficult to discriminate between O(4) and 1st order yet.

O(4) scaling tests on the lattice Staggered-type quarks

\star O(4) vs. O(2)

The symmetry of 4-taste staggered quark action is the O(2) taste-chiral symmetry. This is so also with the 4th root trick det $\mathcal{M} \Rightarrow [det \mathcal{M}]^{1/4}$, therefore,

Sym. of the system in the chiral limit = O(2) for any N_{F} .

=> When the chiral transition is 2nd order on the lattice, we expect O(2) scaling not O(4). O(4) may be realized when (1) continuum extrapolation, and then (2) chiral extrapolation.

In practice, $O(2) \approx O(4)$ numerically.

N	β	γ	δ
2	0.349	1.319	4.780
4	0.380	1.453	4.824

Ejiri et al. (BNL-Bielefeld) PRD80('09) P4, N_F =2+1
Nt = 4, m_{ud}/m_s ≈ 1/80-1/20

Caveat: Universality may be inapplicable on finite lattices due to the non-locality.

It turned out from intensive studies of T>0 QCD with staggered-type quarks around '09-'11, a good control of taste violation essential to extract physical conclusions with staggered-type quarks.

Improved staggered quarks $(N_F=2+1)$

Ejiri et al. (BNL-Bi) PRD80('09) ($N_t = 4$); Lat 10 ($N_t = 8$)

p4, Nt=4, m_s≈physical, m_l/m_s= 1/80 – 1/20 (m_π^{pNG} ≈ 75 – 150 MeV)

 \rightarrow Consistent with O(2)

HotQCD @ QM11, Lat11

HISQ

• $m_s \approx physical, m_l/m_s = 1/27 - 1/20$

Improved staggered quarks ($N_F=2+1$)

 $m_{\pi}^{c} \leq 45 \text{ M}$

 $m_c/m_{ud}^{phys} \leq$

Overlap

With staggered-type quarks, we should have taken the cont. limit prior to the chiral scaling studies.

If these properties remain also after taking the cont. limit, ...

- \rightarrow The phys. point dominated by the O(N) scaling
 - \rightarrow 2nd order chiral transition for $N_F=2$
 - Tricritical point locates lower than m_s^{phys}

Consistent with small
$$m_c$$
 for $N_F=3$:
 $m_{\pi}^c \le 45$ MeV HISQ Nt=6 Ding et al. @ Lat11
 $m_c/m_{ud}^{phys} \le 0.12$ stout Nt=6 Endrodi et al. @ Lat07
Consistent with broken U(1)_A at $T \approx T_c$ and above:
HISQ, DW $N_F=2+1$ HotQCD @ Lat11
Overlap $N_F=2$ Cossu (JLQCD) @ Lat11

0

 $N_{-} = 2 \text{ OCD}$

mud

 ∞

SU(3) VM

Fate of U(1)_A at T=Tc

- U(I)_A explicitly broken at all *T*, but will restore at *T*=∞.
 Is U(I)_A "effectively" restored at *Tc* ??
 <= e.g. by formation of instanton-antiinstanton molecules</p>
 - If so, the 1st order scenario becomes preferable,

though 2nd order transition not excluded.

disconnected diagrams required

If U(1)_A "restored" => π - δ , σ - η degeneracy

If $U(I)_A$ "restored" => π - δ degeneracy

$$> \chi_{\pi} = \chi_{\delta} \qquad (\text{note: } =>\text{'s are not} <=>)$$
where $\chi_{\pi} = \frac{T}{V} \langle \text{Tr} M^{-1} \gamma_5 M^{-1} \gamma_5 \rangle$
 $\chi_{\delta} = \frac{T}{V} \langle \text{Tr} M^{-1} M^{-1} \rangle$

Banks-Casher:
$$-\langle \bar{q}q \rangle \xrightarrow{V \to \infty} \int_0^\infty d\lambda \frac{2m_q \rho(\lambda)}{\lambda^2 + m_q^2} \xrightarrow{m_q \to 0} \pi \rho(0)$$

 $SU(N_F)_A$ restoration <=> $\rho(0)=0$ in the massless limit.

$$\begin{split} \chi_{\pi} &- \chi_{\delta} \stackrel{V \to \infty}{\longrightarrow} \int_{0}^{\infty} d\lambda \frac{4m_{q}^{2} \rho(\lambda)}{(\lambda^{2} + m_{q}^{2})^{2}} \stackrel{m_{q} \to 0}{\longrightarrow} ?? \\ \rho(\lambda) &\sim m^{a} \lambda^{b} \quad (a+b>0) \implies \chi_{\pi} - \chi_{\delta} \neq 0 \quad \text{if} \quad a+b \leq 1 \\ \text{at } T > Tc & \text{Bazavov et al., arXiv: I 205.3535} \end{split}$$

Fate of U(1)_A at T>Tc

Fate of U(1)_A at T>Tc

* Ohno (HotQCD) $N_F = 2 + 1$ HISQ $N_t = 8, V = 32^3 - 48^3$

Assuming $\rho(0) = 0$ in the chiral limit, $\rho(0)$ in the small quark mass region seems to linearly approach the origin up to T = 161.6 MeV. This suggests that $U_A(1)$ symmetry remains broken in the chiral limit just above T_c .

Fate of U(1)_A at T>Tc

	SU(N)XSU(N)	U _A (I)
Staggered	Remnant U(I)	Broken
Wilson	Broken	Broken
Domain Wall	Exact (for $L \rightarrow \infty$)	Exact (for $L \rightarrow \infty$)
Overlap	Exact	Exact

* Cossu (JLQCP) NF=2 overlap + fixed-topology lwasaki gauge

* Lin (HotQCP) NF=2+1 DW + Iwasaki

Krieg (Budapest-Wuppertal)
 N_F = 2+1 overlap + fixed-topology Symanzik
 m_π=350MeV, 12³x6, 16³x8
 => good agreement with stag.

* Cossu (JLQCP) N_F=2 overlap + fixed-topology lwasaki gauge

- Im A (MeV)
- Full QCD spectrum shows a gap at high temperature even at pion masses ~250 MeV
- · Correlators show degeneracy of all channels when mass is decreased
- Results support effective restoration of $U(1)_A$ symmetry

at these T's. / How about at Tc ?? / V-dep. should be checked.

* Lin (HotQCD) $N_F=2+1$ DW + Iwasaki gauge $V=64^3$ in progress also arXiv:1205.3535

Nt=8, V=16³-32³, m_{π} =200MeV DSDR (or Ls=96) to reduce m_{res} DSDR allows topological tunnelings

Lowest 100 eigenvalues:

* S. Aoki N_F=2 Chiral WT of Gisparg-Wilson fermions

$$\rho^{A}(\lambda) \equiv \lim_{V \to \infty} \frac{1}{V} \sum_{n} \delta\left(\lambda - \sqrt{\bar{\lambda}_{n}^{A} \lambda_{n}^{A}}\right) = \sum_{k=0}^{\infty} \rho_{k}^{A} \frac{|\lambda|^{k}}{k!} \qquad D(A)\phi_{n}^{A}$$
$$\langle \rho_{0}^{A} \rangle_{m} = O(m^{4}) \qquad \langle \rho_{1}^{A} \rangle_{m} = O(m^{2}) \qquad \langle \rho_{2}^{A} \rangle_{m} = O(m^{2})$$

 $\lim_{m \to 0} \chi^{\pi - \eta} = \lim_{m \to 0} \lim_{V \to \infty} \frac{N_f^2}{m^2 V^2} \langle Q(A)^2 \rangle_m = 0$ at all *T*'s above *Tc*.

More generally, for $\mathcal{O} = \mathcal{O}_{n_1,n_2,n_3,n_4} = (P^a)^{n_1} (S^a)^{n_2} (P^0)^{n_3} (S^0)^{n_4}$

$$\begin{split} &\lim_{m \to 0} \lim_{V \to \infty} \frac{1}{V^k} \langle \delta^0 \mathcal{O} \rangle_m = 0 \\ & \delta^0 : \text{singlet rotation} \\ & \text{Breaking of U(1)_A symmetry is absent for these "bulk quantities".} \\ & V \text{-dep. important to check in the lattice results.} \end{split}$$

 $=\lambda_n^A\phi_n^A$

det*M* via *µ*-integration

Random noise method to compute

 $\frac{\partial \ln \det M}{\partial (\mu/T)}$

π-condensed phase

$$W(P,F,\beta,m,\mu) = \left\langle e^{i\theta} \right\rangle_{P,F} \times W_0(P,F,\beta,m,\mu)$$

 $\langle e^{i\theta} \rangle = 0$ is suggested in the pion condensed phase by phenomenological studies. [Han-Stephanov '08, Sakai et al. '10]

Near the phase boundary, large fluctuations in θ : expected.

$$\left\langle e^{i\theta}\right\rangle_{P,F} \rightarrow 0 \quad \left(\ln\left\langle e^{i\theta}\right\rangle_{P,F} \rightarrow -\infty\right)$$

