

Non-perturbative Renormalization

Rainer Sommer

NIC @ DESY, Zeuthen



Natal, March 2013

M. Lüscher, *Advanced lattice QCD*

P. Weisz: Les Houches lectures (especially for my first lecture)

RS: Nara lectures

M. Testa hep-th/9803147

A. Vladikas: Les Houches lectures (RI-MOM)

Reviews at various lattice conferences

Recent papers on Gradient Flow

...

NP renormalization of an effective theory:

RS: Les Houches lectures

What are we here interested in?

QCD without CP-violating term, quark masses are real

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{2g_0^2} \text{tr} \{F_{\mu\nu} F_{\mu\nu}\} + \sum_f \bar{\psi}_f \{D + m_f\} \psi_f$$

$$\mathcal{L}_{\text{QCD}}(g_0, m_f) \leftrightarrow \overbrace{\begin{bmatrix} m_{\text{proton}} \\ m_{\pi} \\ m_{\text{K}} \\ m_{\text{D}} \\ m_{\text{B}} \end{bmatrix}}^{\text{Experiment}} \quad (m_{\text{u}} = m_{\text{d}}, \quad \text{ignore top})$$

bare parameters

→

masses, observables

theory parametrized in terms of observables

What are we here interested in?

QCD without CP-violating term, quark masses are real

$$\mathcal{L}_{\text{QCD}} = -\frac{1}{2g_0^2} \text{tr} \{F_{\mu\nu} F_{\mu\nu}\} + \sum_f \bar{\psi}_f \{D + m_f\} \psi_f$$

$$\mathcal{L}_{\text{QCD}}(g_0, m_f) \leftrightarrow \overbrace{\begin{bmatrix} m_{\text{proton}} \\ m_{\pi} \\ m_K \\ m_D \\ m_B \end{bmatrix}}^{\text{Experiment}} \quad (m_u = m_d, \quad \text{ignore top})$$

bare parameters

→

masses, observables

theory parametrized in terms of observables

NP renormalization

What are we interested in?

Strong interactions at large energies



LHC (and other collider physics):

$$p\bar{p} \rightarrow H \rightarrow \dots$$

SM (or MSSM) predictions depend on

renormalized perturbation theory (PT) in $\alpha_s(\mu) \equiv \alpha_R(\mu)$

$$\mu = \mathcal{O}(10\text{GeV}) \dots \mathcal{O}(300\text{GeV})$$

What is $\alpha_R(\mu)$ in a given renormalization scheme?

What is Λ_{QCD} :

$$m_{\text{proton}} = \# \times \Lambda_{\text{QCD}}$$
$$\alpha_R(\mu) \stackrel{\mu/\Lambda \gg 1}{\sim} \frac{1}{b_0 \ln(\mu/\Lambda)} \left\{ 1 - \frac{b_1}{b_0^2 \ln(\mu/\Lambda)} \ln(\ln(\mu/\Lambda)) + \mathcal{O}(\ln(\mu/\Lambda)^{-2}) \right\}$$

What are we interested in?

Weak interactions



Weak decays (search for BSM physics) of quarks:

$$\begin{array}{l} \text{effective theory} \\ \text{2-quark op's, 4-quark op's} \end{array} \leftarrow \begin{cases} \text{SM} \\ \text{BSM} \end{cases}$$

necessitates the **renormalization of composite fields**

- ▶ Renormalization in PT (repetition)
- ▶ RGE's, RGI
- ▶ NP renormalization (principle)
- ▶ Large scale ratios, step scaling functions (SSF)
- ▶ Finite volume schemes
- ▶ Gradient flow (new development)
- ▶ very incomplete covery of techniques
concentrate on concepts

Consider continuum PT, $D = 4 - 2\epsilon$ dimensions as a regularisation

gauge-invariant, physical
observable

$$G$$

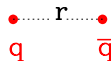
bare, regularised

$$G_0(\epsilon, q, g_0, m_{0i})$$

G_0 is singular as $\epsilon \rightarrow 0$ *at fixed* q, g_0, m_{0i}

Example
force between static quarks

$$F(r)$$



$$q \equiv 1/r$$

Renormalizability:

all observables G become **finite** after the

Renormalization:

dimensionful coupling in D dimensions

$$\begin{aligned} g_{\text{R}}^2 \equiv g^2 &= Z_g(\epsilon, g^2) \mu^{-2\epsilon} g_0^2 \\ m_{\text{R},i} \equiv m_i &= Z_m(\epsilon, g^2) m_{0i} \end{aligned}$$

$$G_{\text{R}}(\mu, q, g, m_i) = \lim_{\epsilon \rightarrow 0} G_0(\epsilon, q, \underbrace{Z_g^{-1/2} g \mu^\epsilon}_{g_0}, \underbrace{Z_m^{-1} m_i}_{m_{0i}})$$

The limit exists with

$$Z_x = 1 + g^2 z_{x,1} \epsilon^{-1} + g^4 [z_{x,2} \epsilon^{-2} + z_{x,3} \epsilon^{-1}] + \dots$$

“minimal subtraction” (of ϵ poles; only those)

Renormalizability:

all observables G become **finite** after the

Renormalization:

dimensionful coupling in D dimensions

$$\begin{aligned} g_{\text{R}}^2 &\equiv g^2 &= Z_g(\epsilon, g^2) \mu^{-2\epsilon} g_0^2 \\ m_{\text{R},i} &\equiv m_i &= Z_m(\epsilon, g^2) m_{0i} \end{aligned}$$

mass-independent renormalization scheme

$$G_{\text{R}}(\mu, q, g, m_i) = \lim_{\epsilon \rightarrow 0} G_0(\epsilon, q, \underbrace{Z_g^{-1/2} g \mu^\epsilon}_{g_0}, \underbrace{Z_m^{-1} m_i}_{m_{0i}})$$

The limit exists with

$$Z_x = 1 + g^2 z_{x,1} \epsilon^{-1} + g^4 [z_{x,2} \epsilon^{-2} + z_{x,3} \epsilon^{-1}] + \dots$$

“minimal subtraction” (of ϵ poles; only those)

on the lattice: $G_0(a, q, g_0, m_{0i})$

$$\begin{aligned} g_{\text{lat}}^2 \equiv g^2 &= Z_g(\ln(a\mu), g^2) g_0^2 \\ m_{\text{lat},i} \equiv m_i &= Z_m(\ln(a\mu), g^2) \hat{m}_{q,i} \quad (*) \\ G_{\text{R}}^{\text{lat}}(\mu, q, g_{\text{lat}}, m_i) &= \lim_{a \rightarrow 0} G_0(a, q, \underbrace{Z_g^{-1/2} g}_{g_0}, \underbrace{m_{0i}}_{Z_m^{-1} m_{\text{lat},i}}) \quad \text{when } r_m = 1 \end{aligned}$$

The limit exists (continuum limit) with

different Z_x !

$$Z_x = 1 + g^2 z_{x,1} \ln(a\mu) + g^4 [z_{x,2} (\ln(a\mu))^2 + z_{x,3} \ln(a\mu)] + \dots$$

“**lattice minimal subtraction**” (of logs $\ln(a\mu)$); only those) $g = g_{\text{lat}}, m = m_{\text{lat}}$

Proven to all orders of PT for Wilson reg'n [T. Reisz].

Expected also non-perturbatively and for other regularisations (universality).

(*) $\hat{m}_{q,i}$ are bare subtracted masses,

$$\begin{aligned} \hat{m}_{q,i} &= m_{q,i} + (r_m(g_0) - 1) \frac{1}{N_f} \text{tr } M_q \\ m_{q,i} &= m_{0i} - m_c(g_0), \quad M_q = \text{diag}(m_{q,1}, m_{q,2}, \dots) \end{aligned}$$

with sufficient chiral symmetry: $r_m = 1, m_c = 0$

The limit is universal (does not depend on the regularisation) after changing the renormalization scheme: finite renormalization

$$\begin{aligned}
 g_{\text{lat}}^2 &= \chi_g(g_{\text{MS}}) g_{\text{MS}}^2, & \chi_g(g) &= 1 + \chi_g^{(1)} g^2 + \dots \\
 m_{\text{lat},i} &= \chi_m(g_{\text{MS}}) m_{\text{MS},i}, & \chi_m(g) &= 1 + \chi_m^{(1)} g^2 + \dots \\
 G_{\text{R}}(\mu, q, g_{\text{MS}}, m_{\text{MS},i}) &= G_{\text{R}}^{\text{lat}}(\mu, q, \underbrace{\chi_g(g_{\text{MS}}) g_{\text{MS}}^2}_{g_{\text{lat}}}, \underbrace{\chi_m(g_{\text{MS}}) m_{\text{MS},i}}_{m_{\text{lat},i}})
 \end{aligned}$$

Renormalized masses and coupling depend on μ :

$$\begin{aligned}\lim_{a \rightarrow 0} \mu \partial_\mu g_{\text{lat}}|_{g_0, m_{q,i}} &\equiv \beta_{\text{lat}}(g_{\text{lat}}) = -g_{\text{lat}}^3 (b_0 + b_1 g_{\text{lat}}^2 + \dots) \\ \lim_{a \rightarrow 0} \mu \partial_\mu m_{\text{lat},i}|_{g_0, m_{q,i}} &\equiv \tau_{\text{lat}}(g_{\text{lat}}) m_{\text{lat},i} \\ \tau_{\text{lat}}(g_{\text{lat}}) &= -g_{\text{lat}}^2 (d_0 + d_1^{\text{lat}} g_{\text{lat}}^2 + \dots) \\ b_0 &= \frac{1}{(4\pi)^2} \left(11 - \frac{2}{3} N_f \right), \quad d_0 = \frac{8}{(4\pi)^2} \\ b_1 &= \frac{1}{(4\pi)^4} \left(102 - \frac{38}{3} N_f \right)\end{aligned}$$

Renormalized masses and coupling depend on μ :

$$\begin{aligned} \lim_{a \rightarrow 0} \mu \partial_\mu g_{\text{lat}}|_{g_0, m_{q,i}} &\equiv \beta_{\text{lat}}(g_{\text{lat}}) = -g_{\text{lat}}^3 (b_0 + b_1 g_{\text{lat}}^2 + \dots) \\ \lim_{a \rightarrow 0} \mu \partial_\mu m_{\text{lat},i}|_{g_0, m_{q,i}} &\equiv \tau_{\text{lat}}(g_{\text{lat}}) m_{\text{lat},i} \\ \tau_{\text{lat}}(g_{\text{lat}}) &= -g_{\text{lat}}^2 (d_0 + d_1^{\text{lat}} g_{\text{lat}}^2 + \dots) \\ b_0 &= \frac{1}{(4\pi)^2} (11 - \frac{2}{3} N_f), \quad d_0 = \frac{8}{(4\pi)^2} \\ b_1 &= \frac{1}{(4\pi)^4} (102 - \frac{38}{3} N_f) \end{aligned}$$

or in the MS-scheme

$$\begin{aligned} \lim_{\epsilon \rightarrow 0} \mu \partial_\mu g_{\text{MS}}|_{g_0, m_{0,i}} &\equiv \beta_{\text{MS}}(g_{\text{MS}}) = -g_{\text{MS}}^3 (b_0 + b_1 g_{\text{MS}}^2 + \dots) \\ \lim_{\epsilon \rightarrow 0} \mu \partial_\mu m_{\text{MS},i}|_{g_0, m_{0,i}} &\equiv \tau_{\text{MS}}(g_{\text{MS}}) m_{\text{MS},i} \\ \tau_{\text{MS}}(g_{\text{MS}}) &= -g_{\text{MS}}^2 (d_0 + d_1^{\text{MS}} g_{\text{MS}}^2 + \dots) \end{aligned}$$

A physical quantity G_R does not depend on μ , since G_0 does not depend on μ :

$$\begin{aligned}\mu \frac{d}{d\mu} G_0 = 0 &\rightarrow \mu \frac{d}{d\mu} G_R(\mu, q, g, m_i) = 0 \\ (\mu \partial_\mu + \beta(g) \partial_g + \tau(g) m_i \partial_{m_i}) G_R &= 0\end{aligned}$$

The general solution of the RGE can be expressed in terms of special solutions:

1. m_i, q -independent function $\Lambda(\mu, g)$: $m_i \partial_{m_i} \Lambda = 0$

$$(\mu \partial_\mu + \beta(g) \partial_g) \Lambda = 0$$

$$\Lambda = \mu \varphi_g(g), \quad \varphi_g \text{ dimensionless}$$

$$(1 + \beta(g) \partial_g) \varphi_g = 0$$

$$\varphi_g = \exp \left\{ - \int^g dx \frac{1}{\beta(x)} \right\} \times \text{constant}$$

A physical quantity G_R does not depend on μ , since G_0 does not depend on μ :

$$\begin{aligned}\mu \frac{d}{d\mu} G_0 = 0 &\rightarrow \mu \frac{d}{d\mu} G_R(\mu, q, g, m_i) = 0 \\ (\mu \partial_\mu + \beta(g) \partial_g + \tau(g) m_i \partial_{m_i}) G_R &= 0\end{aligned}$$

The general solution of the RGE can be expressed in terms of special solutions:

1. m_i, q -independent function $\Lambda(\mu, g)$: $m_i \partial_{m_i} \Lambda = 0$

$$(\mu \partial_\mu + \beta(g) \partial_g) \Lambda = 0$$

$$\Lambda = \mu \varphi_g(g), \quad \varphi_g \text{ dimensionless}$$

$$(1 + \beta(g) \partial_g) \varphi_g = 0$$

$$\varphi_g = \exp \left\{ - \int^g dx \frac{1}{\beta(x)} \right\} \times \text{constant}$$

$$= (b_0 g^2)^{-b_1/(2b_0^2)} e^{-1/(2b_0 g^2)} \exp \left\{ - \int_0^g dx \left[\frac{1}{\beta(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\}$$

A physical quantity G_R does not depend on an arbitrarily introduced μ :

$$\begin{aligned}\frac{d}{d\mu} G_R &= 0 \\ (\mu \partial_\mu + \beta(g) \partial_g + \tau(g) m_i \partial_{m_i}) G_R &= 0\end{aligned}$$

The general solution can be expressed in terms of special solutions:

2. m_i dependent function, independent of μ and m_j , $j \neq i$, $M_i(m_i, g)$:

$$\begin{aligned}(m_i \partial_{m_i} + \beta(g) \partial_g) M_i &= 0 \\ M_i &= m_i \varphi_m(g), \quad \varphi_m \text{ dimensionless} \\ (\tau(g) + \beta(g) \partial_g) \varphi_m &= 0 \\ \varphi_m &= \exp \left\{ - \int^g dx \frac{\tau(x)}{\beta(x)} \right\} \times \text{constant}\end{aligned}$$

A physical quantity G_R does not depend on an arbitrarily introduced μ :

$$\begin{aligned}\frac{d}{d\mu} G_R &= 0 \\ (\mu \partial_\mu + \beta(g) \partial_g + \tau(g) m_i \partial_{m_i}) G_R &= 0\end{aligned}$$

The general solution can be expressed in terms of special solutions:

2. m_i dependent function, independent of μ and m_j , $j \neq i$, $M_i(m_i, g)$:

$$\begin{aligned}(m_i \partial_{m_i} + \beta(g) \partial_g) M_i &= 0 \\ M_i &= m_i \varphi_m(g), \quad \varphi_m \text{ dimensionless} \\ (\tau(g) + \beta(g) \partial_g) \varphi_m &= 0 \\ \varphi_m &= \exp \left\{ - \int^g dx \frac{\tau(x)}{\beta(x)} \right\} \times \text{constant} \\ &= (2b_0 g^2)^{-d_0/(2b_0)} \exp \left\{ - \int_0^g dx \left[\frac{\tau(x)}{\beta(x)} - \frac{d_0}{b_0 x} \right] \right\}\end{aligned}$$

Now take G_R independent of q ; example: $G_R = m_{\text{hadron}}$

$$\begin{aligned}
 G_R &= G_R(\mu, g(\mu), m_i(\mu)) \\
 \mu \text{ independent : } &= G_R(k\Lambda, \underbrace{g(k\Lambda)}_{\varphi_g^{-1}(1/k)}, M_i / \underbrace{\varphi_m(g(k\Lambda))}_{\varphi_m(\varphi_g^{-1}(1/k))}) \\
 \rightarrow G_R &= G^{\text{RGI}}(\Lambda, M_i) \quad \text{any } k, \text{ e.g. } k = 1
 \end{aligned}$$

with mass dimension 1: $[G_R] = 1$, e.g. m_{hadron}

$$m_{\text{hadron}} = \Lambda \bar{f}_h(M_i/\Lambda)$$

Λ, M_i : fundamental parameters of QCD ($N_f + 1$ parameters)

Renormalization Group Invariants (RGI)

Renormalization Group Invariants (RGI)

- ▶ non-perturbatively defined
with the standard (undoubted) assumptions:
NP “corrections” to RG functions vanish as $\mu^{-\eta}$, $\eta > 0$
e.g. renormalons, instantons
- ▶ our job is to determine them

in the chiral limit $M_i = 0$

$$\begin{aligned} m_{\text{hadron}} &= \Lambda \bar{f}_h(0) = \bar{f}_h(0) \mu e^{-1/(2b_0g(\mu)^2)} \times \dots \\ \partial_g^n m_{\text{hadron}} \big|_{g=0} &= 0 \\ \rightarrow m_{\text{hadron}} &= 0 \text{ to all orders of PT} \end{aligned}$$

$m_{\text{hadron}}, \Lambda, M_i$ are non-perturbative quantities

Exercises

- ▶ Show that

$$M_i^s = M_i^{s'}$$

where s, s' are different schemes.

- ▶ Show that

$$\Lambda^s = k \Lambda^{s'}$$

Determine k in terms of $\chi_g^{(1)}$, b_0 .

- ▶ What is needed to determine $\chi_g^{(1)}$?

$q = 1/r$ large: short (Euclidean) distances

$$\begin{aligned} G_R &= G_R(\mu, q, g(\mu), m_i(\mu)) && \text{dimensionless (e.g. } r^2 F(r)) \\ &= P(q/\mu, g(\mu), m_i(\mu)/q) \\ &= P(1, g(q), m_i(q)/q), \quad \varphi_g(g(q)) = \Lambda/q \\ &\quad m_i(q) = M_i/\varphi_m(g) \end{aligned}$$

- ▶ yields the RG improved prediction for P
- ▶ becomes more and more accurate for $q \rightarrow \infty$

$$\begin{aligned} g^2(q) &= \frac{1}{b_0 t} \left\{ 1 - \frac{b_1}{b_0^2 t} \ln(t) + O(t^{-2}) \right\} \\ &\rightarrow 0 \text{ as } t \rightarrow \infty \quad t = 2 \ln(q/\Lambda) \\ m_i(q) &= M_i \left(\frac{2}{t} \right)^{d_0/2b_0} \{1 + \dots\} \end{aligned}$$

- ▶ unphysical μ -dependence of the coupling turned into physical q dependence

$q = 1/r$ large: short (Euclidean) distances
we also see that

$$G_R = P(1, g(q), m_i(q)/q) \stackrel{q \gg \Lambda, M_i}{\sim} P(1, g(q), 0)$$

mass effects disappear at short distances

For weak interactions, chiral symmetry breaking order parameter, ...

Local composite fields (“operators”)

$$S^{rs}(x) = \bar{\psi}_r(x)\psi_s(x), \quad P^{rs}(x) = \bar{\psi}_r(x)\gamma_5\psi_s(x) \quad r \neq s \text{ flavor indices}$$

$$S(x) = S^{rr}(x) \equiv \sum_{r=1}^{N_f} S^{rr}(x), \quad P(x) = P^{rr}(x)$$

$$A_\mu^{rs}(x) = \bar{\psi}_r(x)\gamma_\mu\gamma_5\psi_s(x) \dots$$

$$O_{LL}^{rs}(x) = \bar{\psi}_r(x)\gamma_\mu(1 - \gamma_5)\psi_s(x)\bar{\psi}_r(x)\gamma_\mu(1 - \gamma_5)\psi_s(x)$$

In contrast to non-local composite fields

- ▶ Wilson loop
- ▶ smeared fields

$$S_t^{rs}(x) \quad t \text{ a proper smearing parameter}$$

→ see the final lecture

$$\langle \phi_{R1}(x_1) \phi_{R2}(x_2) \phi_{R3}(x_3) \phi_{R4}(x_4) \dots \rangle_{\text{path integral average}}$$

is finite for $x_i \neq x_j$ for $i \neq j$ with

dimensional regularisation, MS

$$\phi_{R,i}^{(D)} = \sum_j Z_{ij}(\epsilon, g^2) \Phi_j^{(D)}, \quad [\Phi_j^{(D)}] = [\Phi_i^{(D)}] = D$$

$$\text{e.g. } [S] = [P^{rs}] = 3$$

lattice MS

$$\phi_{R,i}^{(D)} = \sum_j Z_{ij}(\ln(a\mu), g^2) \Phi_{\text{sub},j}^{(D)}, \quad [\Phi_{\text{sub},j}^{(D)}] = [\Phi_i^{(D)}] = D$$

$$\Phi_{\text{sub},j}^{(D)} = \Phi_j^{(D)} + \sum_{n \geq 1} \mathbf{a}^{-n} \sum_k d_{jk}(g_0) \Phi_k^{(D-n)}$$

Subtraction coefficients d_{jk} can be chosen purely as functions of g_0 , not $\ln(a\mu)$ [M. Testa, hep-th/9803147, Sect. 2]

Exercise: Go through the argument in hep-th/9803147. Does it hold beyond PT?

$$\begin{aligned}\phi_{\text{R},i}^{(D)} &= \sum_j Z_{ij}(\ln(a\mu), g^2) \Phi_{\text{sub},j}^{(D)}, \quad [\Phi_{\text{sub},j}^{(D)}] = [\Phi_i^{(D)}] = D \\ \Phi_{\text{sub},j}^{(D)} &= \Phi_j^{(D)} + \sum_{n \geq 1} \mathbf{a}^{-n} \sum_k d_{jk}(g_0) \Phi_k^{(D-n)}\end{aligned}$$

An example

$$S_{\text{R}}(x) = Z_{\text{S}}^{\text{sing}}(\ln(a\mu), g^2) [\overline{\psi}(x)\psi(x) + \mathbf{a}^{-3}d_1(g_0)]$$

- ▶ “mixing with the unit-operator”
- ▶ in theories without exact chiral symmetry
- ▶ in the chiral limit
otherwise: $\frac{m^n}{a^{3-n}}$ terms

Just work with a simple example:

$$G_0(a, x, g_0) = \langle P^{rs}(x) P^{sr}(0) \rangle$$

$$G_R^{\text{cont}}(\mu, x, g) = \lim_{a \rightarrow 0} G_R^{\text{lat}}(\mu, x, g, a\mu)$$

$$\begin{aligned} G_R^{\text{lat}}(\mu, x, g, a\mu) &= \langle P_R^{rs}(x) P_R^{sr}(0) \rangle \\ &= Z_P^2(a\mu, g_0) G(a, x, g_0) \end{aligned}$$



RGE:

$$\mu \frac{d}{d\mu} G_0(a, x, g_0) = 0 = \mu \frac{d}{d\mu} Z_P^{-2} G_R$$

$$\rightarrow Z_P^2 \mu \frac{d}{d\mu} [Z_P^{-2} G_R] = 0$$

$$(\mu \partial_\mu + \beta(g) \partial_g + \tau(g) m_i \partial_{m_i} - 2\gamma) G_R = 0$$

$$\gamma = Z_P^{-1} \mu \partial_\mu Z_P(\mu a, g_0)$$

Now turn to a renormalization group invariant form:

$$P_{\text{RGI}}^{rs} = \varphi_{\text{P}}(\mu, g) P_{\text{R}}^{rs}$$

with

$$(\mu \partial_{\mu} + \beta \partial_g) \varphi_{\text{P}} = -\gamma \varphi_{\text{P}}$$

then

$$G_{\text{RGI}} = \langle P_{\text{RGI}}^{rs}(x) P_{\text{RGI}}^{sr}(0) \rangle = \varphi_{\text{P}}^2 G_{\text{R}}$$
$$\varphi_{\text{P}} = \exp \left\{ - \int^g dx \frac{\gamma(g)}{\beta(x)} \right\} \times \text{constant} \dots$$

Then we get the RGE for a renormalization group invariant (without an anomalous dimension term).

$$(\mu \partial_{\mu} + \beta(g) \partial_g + \tau(g) m_i \partial_{m_i}) G_{\text{RGI}} = 0$$

- ▶ We have the prediction for the short distance behavior as before.
- ▶ $G_{\text{RGI}}(x, \Lambda, M_i)$: scheme-independent functions, uniquely given by QCD.

Now turn to a renormalization group invariant form:

$$P_{\text{RGI}}^{rs} = \varphi_{\text{P}}(\mu, g) P_{\text{R}}^{rs}$$

with $(\mu \partial_{\mu} + \beta \partial_g) \varphi_{\text{P}} = -\gamma \varphi_{\text{P}}$

then

$$G_{\text{RGI}} = \langle P_{\text{RGI}}^{rs}(x) P_{\text{RGI}}^{sr}(0) \rangle = \varphi_{\text{P}}^2 G_{\text{R}}$$

$$\varphi_{\text{P}} = \exp \left\{ - \int^g dx \frac{\gamma(g)}{\beta(x)} \right\} \times \text{constant} \dots$$

$$= \left(2b_0 g^2 \right)^{-\gamma_0 / (2b_0)} \exp \left\{ - \int_0^g dx \left[\frac{\gamma(x)}{\beta(x)} - \frac{\gamma_0}{b_0 x} \right] \right\}$$

Then we get the RGE for a renormalization group invariant (without an anomalous dimension term).

$$(\mu \partial_{\mu} + \beta(g) \partial_g + \tau(g) m_i \partial_{m_i}) G_{\text{RGI}} = 0$$

- ▶ We have the prediction for the short distance behavior as before.
- ▶ $G_{\text{RGI}}(x, \Lambda, M_i)$: scheme-independent functions, uniquely given by QCD.
- ▶ **It is the job of lattice QCD to determine them.**

The general principle (lot's of evidence)

- ▶ Mixing with all local operators of same and lower dimensions, allowed by the symmetries
in renormalizable theories (normal propagators, no couplings with negative mass dimension)

The general principle (lot's of evidence)

- ▶ Mixing with all local operators of same and lower dimensions, allowed by the symmetries

$$S = a^4 \sum_{\text{space-time}} \sum_{n=1}^4 \sum_i g_{in} \Phi_i^{(n)}(x) + a^3 \sum_{\text{boundary}} \sum_{n=1}^3 \sum_i c_{in} \Phi_i^{(n)}(x)$$

$[g_{in}] = 4 - n, \quad [c_{in}] = 3 - n$ bare couplings and masses

- ▶ adjust (= tune = renormalize) all coefficients g_{in}, c_{in} such that the continuum limit exists
- ▶ no couplings with negative mass dimensions!
- ▶ Including theories with boundaries (Schrödinger functional, Gradient Flow)
all-order proof for GF but not for SF.
- ▶ $O(a)$ effects: go higher in powers of a and include $[g_{in}] = 5 - n, \quad [c_{in}] = 4 - n$
Symanzik *effective* theory $\rightarrow$ Steve Sharpe

$$S = a^4 \sum_{\text{space-time}} \sum_{n=1}^5 \sum_i g_{in} \Phi_i^{(n)}(x) + a^3 \sum_{\text{boundary}} \sum_{n=1}^4 \sum_i c_{in} \Phi_i^{(n)}(x)$$

$g_{i5} \sim a \quad c_{i4} \sim a$

Wilson fermions

We had:

$$\begin{aligned}m_{\text{lat},i} &= Z_m(\ln(a\mu), g^2) \hat{m}_{q,i} \\ \hat{m}_{q,i} &= m_{q,i} + (r_m(g_0) - 1) \frac{1}{N_f} \text{tr} M_q \\ m_{q,i} &= m_{0i} - m_c(g_0), \quad M_q = \text{diag}(m_{q,1}, m_{q,2}, \dots)\end{aligned}$$

Why is that?

Write the mass-term as

$$\begin{aligned}\mathcal{L}_{\text{mass}} &= \sum_i \bar{\psi}_i m_{0i} \psi_i \\ &= \sum_{a \in 3, 8, \dots} \mu_0^a \underbrace{\bar{\psi} T^a \psi}_{S^a, \text{ nonsinglet}} + \underbrace{\bar{\psi} \psi}_{S_0 \text{ singlet}} \frac{1}{N_f} \text{tr} M_0\end{aligned}$$

Therefore there is (in general) $Z_m = (Z_S^{\text{NS}})^{-1}$ and $(r_m - 1)Z_m = (Z_S^{\text{sing}})^{-1}$

In general, for $N_f > 2$, any regularisation

There is a **non**-anomalous chiral Ward identity: PCAC-relation

$$\langle [\partial_\mu A_\mu^{rs}(x) - (m_r + m_s)P^{rs}(x)] [\text{fields not at } x] \rangle = 0$$

- ▶ Can be obtained formally, performing a chiral rotation $\longrightarrow$ Gregorio Herdoiza
- ▶ Can be obtained with lattice exact chiral symmetry (overlap)
- ▶ Is therefore (universality) a property of QCD in the continuum limit after renormalization

see also Gregorio Herdoiza's tutorial

In general, for $N_f > 2$, any regularisation

Renormalized relation

$$\langle [Z_A \partial_\mu A_\mu^{rs}(x) - (m_r + m_s)_R Z_P P^{rs}(x)] \text{ [fields not at } x] \rangle = 0$$

$$(m_r + m_s)_R = \frac{Z_A}{Z_P} (m_r + m_s)$$

- ▶ defines $(m_r + m_s)_R \longrightarrow$ with $N_f > 2$ enough combinations to define/determine m_r , $r = 1 \dots N_f$ with $N_f = 2$ use also PCVC
- ▶ Z_A, Z_P standard problem which we will discuss
- ▶ RGI masses from μ -dependent masses as discussed. Unambiguous.
- ▶ NB: Z_A is actually more simple

In general, for $N_f > 2$, any regularisation

Renormalized relation

$$\langle [Z_A \partial_\mu A_\mu^{rs}(x) - (m_r + m_s)_R Z_P P^{rs}(x)] \text{ [fields not at } x] \rangle = 0$$

$$(m_r + m_s)_R = \frac{Z_A}{Z_P} (m_r + m_s)$$

- ▶ defines $(m_r + m_s)_R \longrightarrow$ with $N_f > 2$ enough combinations to define/determine m_r , $r = 1 \dots N_f$ with $N_f = 2$ use also PCVC
- ▶ Z_A, Z_P standard problem which we will discuss
- ▶ RGI masses from μ -dependent masses as discussed. Unambiguous.
- ▶ NB: Z_A is actually more simple
- ▶ This defines $M_u = 0$ independent of the regularization and conventions. The only convention was to use Z_A, Z_P with a regular perturbation theory: $P_R^{rs} = P^{rs} + O(g^2)$.

In general, for $N_f > 2$, any regularisation

Renormalized relation

$$\langle [Z_A \partial_\mu A_\mu^{rs}(x) - (m_r + m_s)_R Z_P P^{rs}(x)] \text{ [fields not at } x] \rangle = 0$$

$$(m_r + m_s)_R = \frac{Z_A}{Z_P} (m_r + m_s)$$

- ▶ defines $(m_r + m_s)_R \longrightarrow$ with $N_f > 2$ enough combinations to define/determine m_r , $r = 1 \dots N_f$ with $N_f = 2$ use also PCVC
- ▶ Z_A, Z_P standard problem which we will discuss
- ▶ RGI masses from μ -dependent masses as discussed. Unambiguous.
- ▶ NB: Z_A is actually more simple
- ▶ This defines $M_u = 0$ independent of the regularization and conventions. The only convention was to use Z_A, Z_P with a regular perturbation theory: $P_R^{rs} = P^{rs} + O(g^2)$.
- ▶ This does not say that anything special happens at $M_u = 0$. There is no symmetry enhancement as explained by Mike Creutz.

First consider just the renormalization of the coupling, set

$$m_i = 0.$$

Properties of a renormalised coupling

a finite: $g = f(g_0, \mu a)$, such that $\lim_{a \rightarrow 0} G_0(qa, g_0)|_g$ exists

b gauge invariant (physical)

most natural

$$\begin{aligned} G_0 &= G_0(qa, g_0) \\ \rightarrow G_R &= G_R(q/m_{\text{proton}}, qa), \quad am_{\text{proton}} = f(g_0) \\ G_R^{\text{cont}} &= G_R(xm_{\text{proton}}, 0) \end{aligned}$$

“hadronic scheme”

- ▶ but we want a **coupling**, i.e. the relation to the Λ - parameter, the relation to perturbative QCD

First consider just the renormalization of the coupling, set

$$m_i = 0.$$

Properties of a renormalised coupling

a finite: $g = f(g_0, \mu a)$, such that $\lim_{a \rightarrow 0} G_0(qa, g_0)|_g$ exists

b gauge invariant (physical)

c

$$g_{\text{NP}}^2 \stackrel{g_{\text{lat}} \rightarrow 0}{\sim} g_{\text{lat}}^2 \chi_g^{\text{NP, lat}}(g_{\text{lat}})$$
$$\chi_g^{x,y}(g) = 1 + \chi_{g,1}^{x,y} g^2 + \dots$$

↑

convention, good idea

d It depends on a single scale μ → RGE!

For $\mu \rightarrow \infty$ it is purely short distance

Take $G_0(\mu a, g_0)$ dimensionless (in the massless theory) satisfying **a,b,d**.

G_0 has a regular PT

$$G_0(qa, g_0) = G_0^{(0)}(qa) + G_0^{(1)}(qa)g_0^2 + G_0^{(2)}(qa)g_0^4 + \dots$$

lat scheme: $g_0^2 = g_{\text{lat}}^2 + 2b_0 \ln(a\mu)g_{\text{lat}}^4 + \dots$

$$\rightarrow G_0 = G_R = G_R^{(0)}(qa) + G_R^{(1)}(qa)g_{\text{lat}}^2 + G_R^{(2)}(q/\mu, qa)g_{\text{lat}}^4 + \dots$$

$$i = 0, 1 : \quad G_R^{(i)}(qa) = G_0^{(i)}(qa) = C^{(i)} + O(a^2 q^2) \quad (*)$$

$$G_R^{(2)}(q/\mu, qa) = G_0^{(2)}(qa) + 2b_0 \ln(a\mu)G_0^{(1)}(qa) \\ = H(qa) + 2b_0 \ln(\mu/q)G_0^{(1)}(qa) \quad (*)$$

$$H(qa) = C^{(2)} + O(a^2 q^2) \quad (*)$$

(*): the continuum limit exists

Set $\mu = q$:

$$\begin{aligned} G_0(\mu a, g_0^2) &= G_R^{(0)}(\mu a) + G_R^{(1)}(\mu a) g_{\text{lat}}^2(\mu) + G_R^{(2)}(1, \mu a) g_{\text{lat}}^4(\mu) + \dots \\ &= G_0^{(0)}(\mu a) + G_0^{(1)}(\mu a) g_{\text{lat}}^2(\mu) + \underbrace{G_R^{(2)}(1, \mu a)}_{C^{(2)} + O(a^2 q^2)} g_{\text{lat}}^4(\mu) + \dots \end{aligned}$$

then

$$\bar{g}_G^2(\mu) \equiv \frac{G_0(\mu a, g_0^2) - G_0^{(0)}(\mu a)}{G_0^{(1)}(\mu a)}$$

satisfies **a,b,c,d**

Set $\mu = q$:

$$\begin{aligned} G_0(\mu a, g_0^2) &= G_R^{(0)}(\mu a) + G_R^{(1)}(\mu a) g_{\text{lat}}^2(\mu) + G_R^{(2)}(1, \mu a) g_{\text{lat}}^4(\mu) + \dots \\ &= G_0^{(0)}(\mu a) + G_0^{(1)}(\mu a) g_{\text{lat}}^2(\mu) + \underbrace{G_R^{(2)}(1, \mu a)}_{C^{(2)} + O(a^2 q^2)} g_{\text{lat}}^4(\mu) + \dots \end{aligned}$$

then

$$\bar{g}_G^2(\mu) \equiv \frac{G_0(\mu a, g_0^2) - G_0^{(0)}(\mu a)}{G_0^{(1)}(\mu a)}$$

satisfies a,b,c,d

“physical” coupling

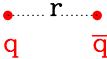
note

$$\begin{aligned} \bar{g}_G^2(\mu) &= 1 \times g_{\text{lat}}^2 + O(g_{\text{lat}}^4) \\ &\quad \uparrow \\ &\quad \text{no } a^2 \text{ effects here} \end{aligned}$$

$Q\bar{Q}$ potential, force:

$$G_0(\mu a, g_0^2) = r^2 F_{\text{impr}}(r), \quad \mu = 1/r$$

$$G_0^{(0)} = 0, \quad G_0^{(1)} = \frac{C_F}{4\pi}$$



A diagram showing a quark-antiquark pair. Two red dots are connected by a horizontal dotted line. Below the left dot is a red 'q' and below the right dot is a red 'q-bar'. To the right of the diagram is the equation $C_F = \frac{N^2 - 1}{6N}$.

$$C_F = \frac{N^2 - 1}{6N}$$

def. of F_{impr} later

$$\rightarrow \bar{g}_{\text{qq}}^2(\mu) = \frac{4\pi}{C_F} r^2 F_{\text{impr}}(r)$$

[there is a little caveat with this ... not 100% short distance ... but ok]

Example 2

Two-point function of multiplicatively renormalisable field

$$G_0(\mu a, g_0^2) = \frac{\langle P^{rs}(x) P^{sr}(0) \rangle_{x=(0,0,0,1/\mu)}}{\langle P^{rs}(x) P^{sr}(0) \rangle_{x=(0,0,0,2/\mu)}}$$

- ▶ Factors Z_P cancel
- ▶ Theoretically fine, but not really recommended in practise

$$\langle P^{rs}(x) P^{sr}(0) \rangle \stackrel{x \rightarrow 0}{\sim} x^{-6}$$

steep function, large $(a/x)^n$ effects

[Martinelli, Rossi, Sachrajda, Sharpe, talevi, Testa, 1997; ..., Cichy, Jansen, Korcyl, 2012]



- ▶ case by case
- ▶ eg. (in principle)



$$Z_P^2(a\mu, g_0) \langle P^{rs}(x) P^{sr}(0) \rangle_{x=(0,0,0,1/\mu)} = \langle P^{rs}(x) P^{sr}(0) \rangle_{x=(0,0,0,1/\mu), g_0=0}$$

this defines $Z_P(a\mu, g_0)$

- ▶ many correlation functions of P^{rs} can be used, as long as they are sufficiently short distance dominated
- ▶ but be careful with integrals, e.g.

$$\int d^4x \langle P^{rs}(x) P^{sr}(0) \rangle$$

does not exist, since $\langle P^{rs}(x) P^{sr}(0) \rangle \stackrel{x \rightarrow 0}{\sim} x^{-6}$

drop gauge invariance requirement (b): fix a gauge (e.g. Landau gauge)
numerical evidence that this can be done non-perturbatively

then

$$S(p) = a^4 \sum_x \exp(-ipx) \langle \psi_r(x_1) \bar{\psi}_r(x_2) \rangle$$

$$G_P(p_1, p_2) = a^8 \sum_{x_1, x_2} \exp(-ip_1 x_1 + ip_2 x_2) \langle \psi_r(x_1) P^{rs}(0) \bar{\psi}_s(x_2) \rangle$$

$$\Lambda_P(p_1, p_2) = S^{-1}(p_1) G_P(p_1, p_2) S^{-1}(p_2)$$

$$\Gamma_P(p_1, p_2) = \frac{1}{12} \text{Tr} [\gamma_5 \Lambda_P(p, p)] , \quad \Gamma_V(p_1, p_2) = \dots$$

Define $\Gamma_V(p)$ similarly from the conserved vector current, then

$$Z_P \Gamma_P(p_1, p_2) / \Gamma_V(p_1, p_2) = [\Gamma_P(p_1, p_2) / \Gamma_V(p_1, p_2)]_{g_0=0}$$

defines $Z_P(\mu)$ [or use a similar condition for the quark propagator to define the quark field renormalization constant and divide it out in Λ_P]

symmetric point $p^2 = p_1^2 = p_2^2 = (p_1 - p_2)^2 = \mu^2$

→ short distance dominated “RI-sMOM”

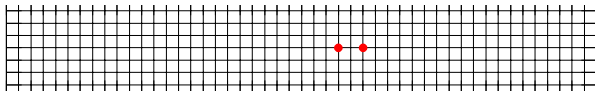
[C. Sturm, Y. Aoki, N. H. Christ, T. Izubuchi, C. T. C. Sachrajda & A. Soni, ARXIV:0901.2599]

Scale Problem

(α_{qq} as an example)

We need to reach large μ where perturbation theory is reliable to be able to use the perturbative relation (perturbative β -function) in

$$\frac{\Lambda}{\mu} = \varphi_g(g(\mu))$$

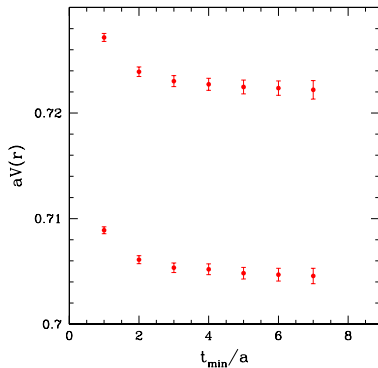


$$\begin{array}{ccccccc} L & \gg & \frac{1}{0.2\text{GeV}} & \gg & \frac{1}{\mu} \sim \frac{1}{10\text{GeV}} & \gg & a \\ \uparrow & & \uparrow & & & & \uparrow \\ \text{box size} & & \text{QCD scale, } m_\pi & & & & \text{spacing} \end{array}$$

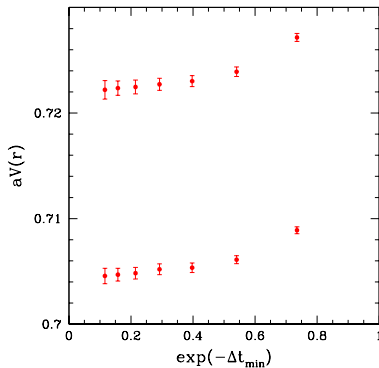
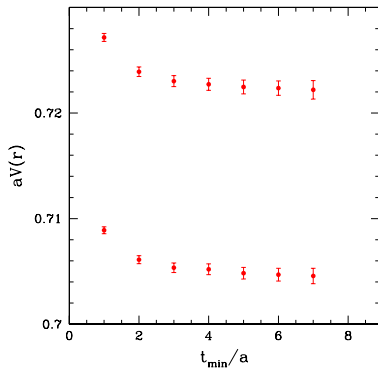
$\Downarrow$
 $L/a \gg 50$

Let us see this in more detail

Potential $V(r)$ from single exponential fits with $t \geq t_{\min}$, SU(3) pure gauge theory.
 $\beta = 6/g_0^2 = 6.4$, $L/a = T/a = 32$, Δ from variational method.
 $r/a = 12, 13$ from [M. Guagnelli, R. Sommer, H. Wittig, 1998]



Potential $V(r)$ from single exponential fits with $t \geq t_{\min}$, SU(3) pure gauge theory.
 $\beta = 6/g_0^2 = 6.4$, $L/a = T/a = 32$, Δ from variational method.
 $r/a = 12, 13$ from [M. Guagnelli, R. Sommer, H. Wittig, 1998]

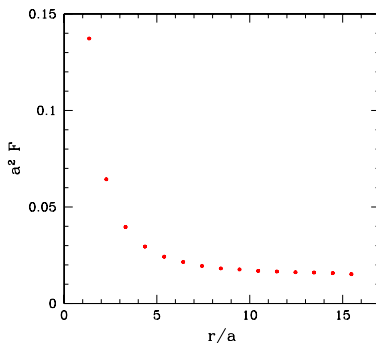


Define

$$F_{\text{impr}}(\mathbf{r}_I) = \frac{1}{a} [V(r) - V(r-a)] \quad r \text{ along an axis}$$

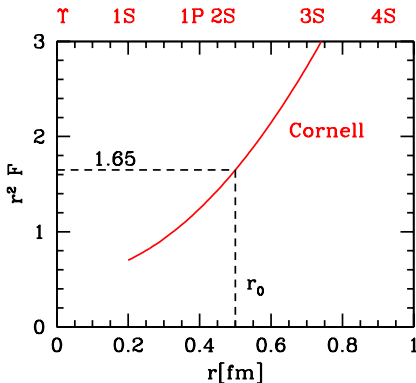
with

$$\frac{C_F}{4\pi \mathbf{r}_I^2} = \frac{1}{a} [D(r, 0, 0) - D(r-a, 0, 0)] = [V(r) - V(r-a)]_{\text{TL}} / g_0^2$$

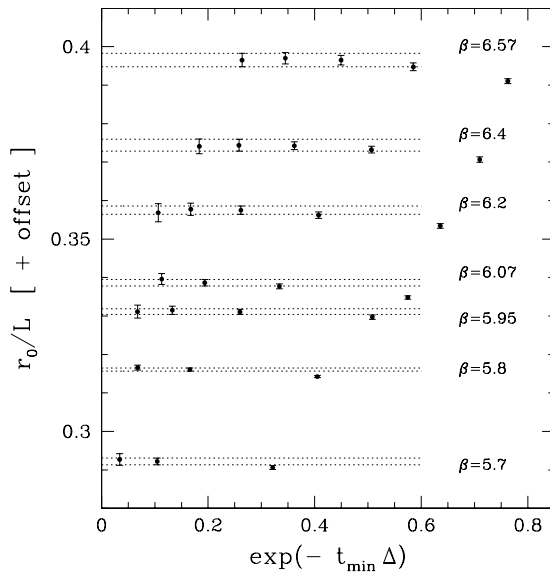


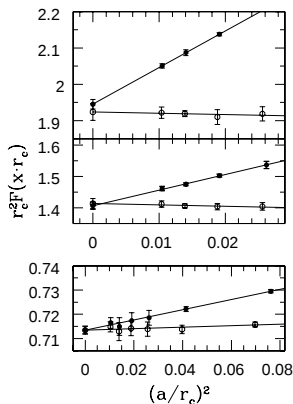
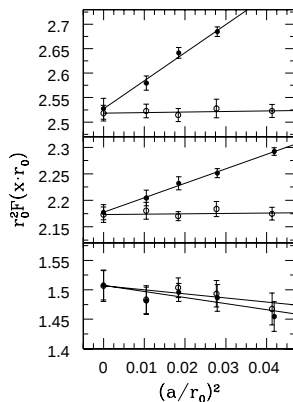
- ▶ replace g_0 $\longrightarrow$ physical quantity
- ▶ pure gauge theory: length scale from potential instead of a hadron mass

- ▶ replace g_0 $\longrightarrow$ physical quantity
- ▶ pure gauge theory: length scale from potential instead of a hadron mass



▶
$$r_0^2 F(r_0) = 1.65$$

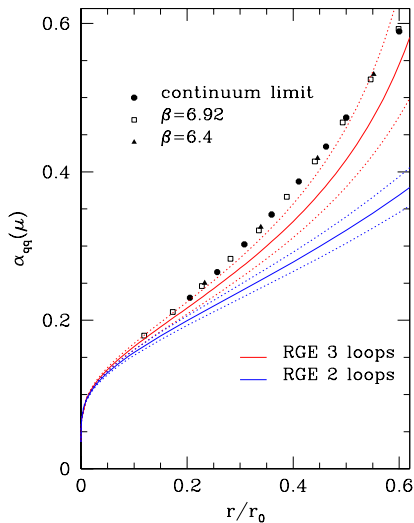




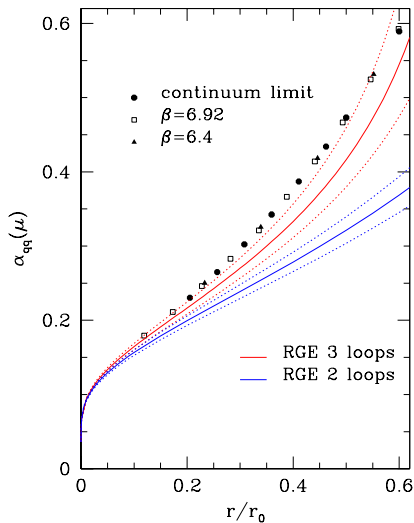
○: $r_I \rightarrow F_{\text{impr}}$

naive: ●: $r_n = r - a/2$

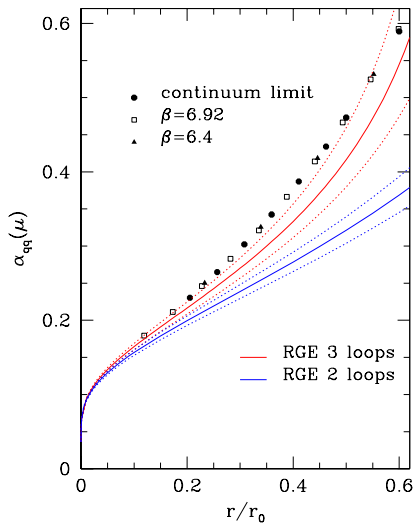
- ▶ Effect of observable improvement is substantial! (Cutoff effects are substantial)
- ▶ Do not rely too much on this improvement!



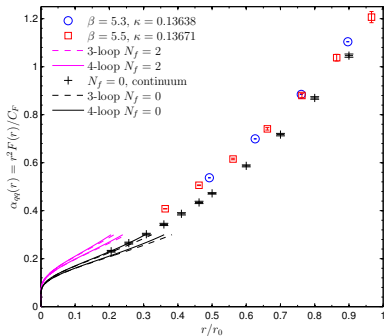
► RGE solutions with known Λ



- ▶ RGE solutions with known Λ
- ▶ Qualitative contact to PT is made



- ▶ RGE solutions with known Λ
- ▶ Qualitative contact to PT is made
- ▶ But is this safe to determine the Λ -parameter?



graph from [Leder & Knechtli, 2011]

$N_f = 0$, continuum limit

[Necco & S., 2001]

$N_f = 2$, small lattice spacing

[Leder & Knechtli, 2011]

$$\Lambda/\mu = \varphi_g(g) = (b_0 g^2)^{-b_1/(2b_0^2)} e^{-1/(2b_0 g^2)} \exp \left\{ - \int_0^g dx \left[\frac{1}{\beta(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\}$$

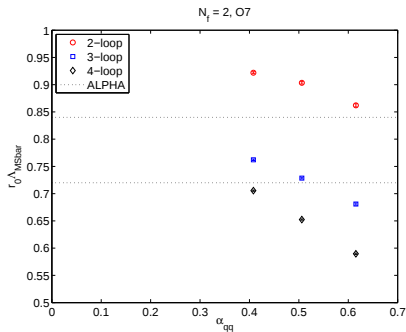
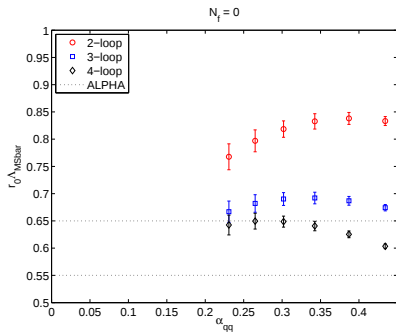
approximations:

$$\left. \frac{\Lambda}{\mu} \right|_{n\text{-loop}}^{\text{eff}} = (b_0 g^2)^{-b_1/(2b_0^2)} e^{-1/(2b_0 g^2)} \exp \left\{ - \int_0^g dx \left[\frac{1}{\beta_{n\text{-loop}}(x)} + \frac{1}{b_0 x^3} - \frac{b_1}{b_0^2 x} \right] \right\}$$

$$\left. \frac{\Lambda}{\mu} \right|_{2\text{-loop}}^{\text{eff}} = \frac{\Lambda}{\mu} + O(\alpha_{\text{qq}})$$

$$\left. \frac{\Lambda}{\mu} \right|_{n\text{-loop}}^{\text{eff}} = \frac{\Lambda}{\mu} + O(\alpha_{\text{qq}}^{n-1})$$

$$r_0 \Lambda|_{n\text{-loop}}^{\text{eff}} = \frac{r_0}{r} [r \Lambda|_{n\text{-loop}}^{\text{eff}} = r_0 \Lambda + O(\alpha_{\text{qq}}^{n-1}) \quad [r = 1/\mu]$$

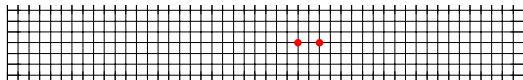


A realistic estimate of the uncertainty is impossible.

There are other opinions on this [Brambilla et al.; Jansen, Karbstein, Nagy, Wagner, 2011]

Scale Problem

(α_{qq} as an example)

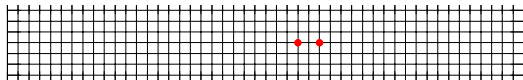


$$\begin{array}{ccccccc} L & \gg & \frac{1}{0.2\text{GeV}} & \gg & \frac{1}{\mu} \sim \frac{1}{10\text{GeV}} & \gg & a \\ \uparrow & & \uparrow & & & & \uparrow \\ \text{box size} & & \text{QCD scale, } m_\pi & & & & \text{spacing} \end{array}$$

$\Downarrow$
 $L/a \gg 50$

Scale Problem

(α_{qq} as an example)



$$\begin{array}{ccccccc} L & \gg & \frac{1}{0.2\text{GeV}} & \gg & \frac{1}{\mu} \sim \frac{1}{10\text{GeV}} & \gg & a \\ \uparrow & & \uparrow & & & & \uparrow \\ \text{box size} & & \text{QCD scale, } m_\pi & & & & \text{spacing} \end{array}$$

$\Downarrow$
 $L/a \gg 50$

Solution: $L = 1/\mu$ $\rightarrow$ left with $L/a \gg 1$

[Wilson, ... ,
Lüscher, Weisz, Wolff]

Finite size effect as a physical observable; finite size scaling!