

# Non-perturbative Renormalization

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finite volume coupling  $\alpha_{\text{SF}}(\mu), \mu = 1/L$   
defined at zero quark mass

$$L_{\text{max}} = \text{const.}/m_{\text{prot}} = \mathcal{O}(\tfrac{1}{2}\text{fm}) : \quad \longrightarrow$$

$$\alpha_{\text{SF}}(\mu = 1/L_{\text{max}})$$

↓

$$\alpha_{\text{SF}}(\mu = 2/L_{\text{max}})$$

↓

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$$\alpha_{\text{SF}}(\mu = 2^n/L_{\text{max}} = 1/L_{\text{min}})$$

PT: ↓

$$\Lambda_{\text{SF}} L_{\text{max}} = \#$$

always  $a/L \ll 1$

Result is a value for  $\Lambda_{\text{SF}}/m_{\text{prot}} = \#$

We leave the discussion of a finite volume coupling for later.  
Discuss first the

## Step scaling function

- ▶ It is a discrete  $\beta$  function:

$$\sigma(s, \bar{g}^2(L)) = \bar{g}^2(sL) \quad \text{mostly } s = 2$$

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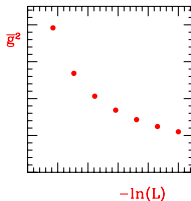
## Step scaling function

- ▶ It is a discrete  $\beta$  function:

$$\sigma(s, \bar{g}^2(L)) = \bar{g}^2(sL) \quad \text{mostly } s = 2$$

- ▶ determines the non-perturbative running:

$$\begin{aligned} u_0 &= \bar{g}^2(L_{\max}) \\ &\downarrow \\ \sigma(2, u_{k+1}) &= u_k \\ &\downarrow \\ u_k &= \bar{g}^2(2^{-k} L_{\max}) \end{aligned}$$



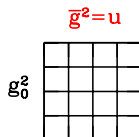
# The step scaling function

$$\sigma(s, u) = \bar{g}^2(sL) \text{ with } u = \bar{g}^2(L)$$

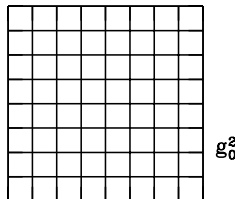
On the lattice:  
additional dependence on the resolution  
 $a/L$

$g_0$  fixed,  $L/a$  fixed:

$$\begin{aligned} \bar{g}^2(L) &= u, & \bar{g}^2(sL) &= u', \\ \Sigma(s, u, a/L) &= u' \end{aligned}$$



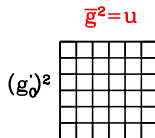
$$\Sigma(2, u, 1/4)$$



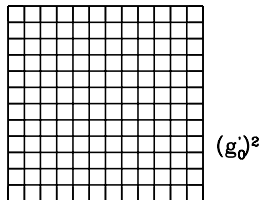
continuum limit:

$$\Sigma(s, u, a/L) = \sigma(s, u) + O(a/L)$$

in the following always  $s = 2$



$$\Sigma(2, u, 1/6)$$

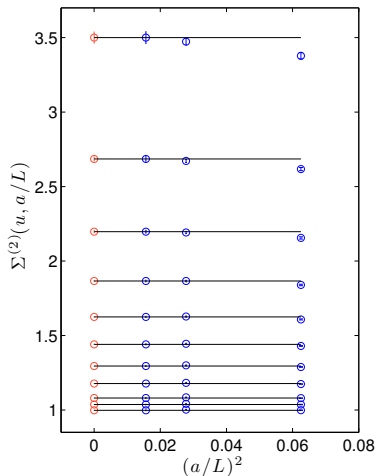


everywhere:  $m = 0$  (PCAC mass defined in  $(L/a)^4$  lattice)

(Table from  $N_f = 2$ , )

| $L/a$        | $\beta$               | $\kappa$  | $\bar{g}^2$    | $d\bar{g}^2$ | $m$      | $dm$    |
|--------------|-----------------------|-----------|----------------|--------------|----------|---------|
| $u = 1.1814$ |                       |           |                |              |          |         |
| 4            | 8.2373                | 0.1327957 | 1.1814         | 0.0005       | 0.00100  | 0.00011 |
| 5            | 8.3900                | 0.1325800 | 1.1807         | 0.0012       | -0.00018 | 0.00009 |
| 6            | 8.5000                | 0.1325094 | 1.1814         | 0.0015       | -0.00036 | 0.00003 |
| 8            | 8.7223                | 0.1322907 | 1.1818         | 0.0029       | -0.00115 | 0.00004 |
| 8            | 8.2373                | 0.1327957 | 1.3154         | 0.0055       | 0.00020  | 0.00005 |
| 10           | 8.3900                | 0.1325800 | 1.3287         | 0.0059       | 0.00097  | 0.00007 |
| 12           | 8.5000                | 0.1325094 | 1.3253         | 0.0067       | -0.00102 | 0.00002 |
| 16           | 8.7223                | 0.1322907 | 1.3347         | 0.0061       | -0.00194 | 0.00002 |
| $L/a$        | $\Sigma(1.1814, a/L)$ |           | $\delta\Sigma$ |              |          |         |
| 4            | 1.3154                |           | 0.0055         |              |          |         |
| 5            | 1.3296                |           | 0.0061         |              |          |         |
| 6            | 1.3253                |           | 0.0070         |              |          |         |
| 8            | 1.3342                |           | 0.0071         |              |          |         |

- ▶ tune  $\kappa, g_0$  to have desired  $m \approx 0$ , fixed  $\bar{g}^2(L)$
- ▶ propagate errors from  $\bar{g}^2(L)$ , shift means if necessary  
 $\rightarrow \Sigma, \delta\Sigma$



► *Constant fit:*

$$\Sigma^{(2)}(u, a/L) = \sigma(u)$$

for  $L/a = 6, 8$

► *Global fit:*

$$\Sigma^{(2)}(u, a/L) = \sigma(u) + \rho u^4 (a/L)^2$$

for  $L/a = 6, 8$

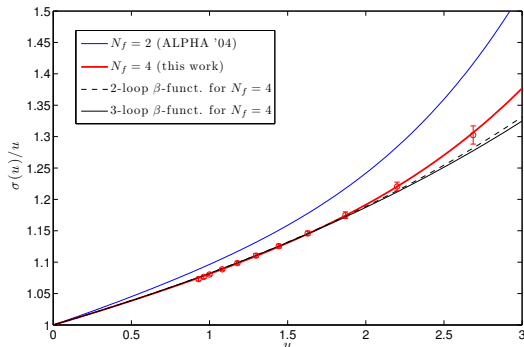
$$\rightarrow \rho = 0.007(85)$$

►  $L/a = 8$  data:

$$\sigma(u) = \Sigma^{(2)}(u, 1/8)$$

[ALPHA Collaboration (S., Tekin & Wolff, 2010); update: M. Marinkovic, 2013]

compare it to perturbation theory and other flavour numbers



[ALPHA Collaboration, 2010; update: M. Marinkovic, 2013]

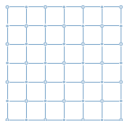
Excellent agreement with PT over a large range of couplings in this particular scheme.

$$u_i \equiv \bar{g}^2(L_{\text{max}}/2^i)$$

$$u_i = \sigma(u_{i+1}), \quad i = 0, \dots, n, \quad u_0 = u_{\text{max}} = \bar{g}^2(L_{\text{max}}),$$

solve for  $u_{i+1}$ ,  $i = 0 \dots n = 10$

$$\frac{\Lambda_{\overline{\text{MS}}}}{m_{\text{proton}}} = \frac{1}{m_{\text{proton}} L_{\text{max}}} \times \frac{L_{\text{max}}}{L_k} \times L_k \Lambda_{\text{SF}} \times \frac{\Lambda_{\overline{\text{MS}}}}{\Lambda_{\text{SF}}}$$

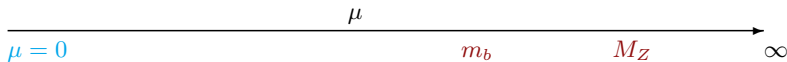


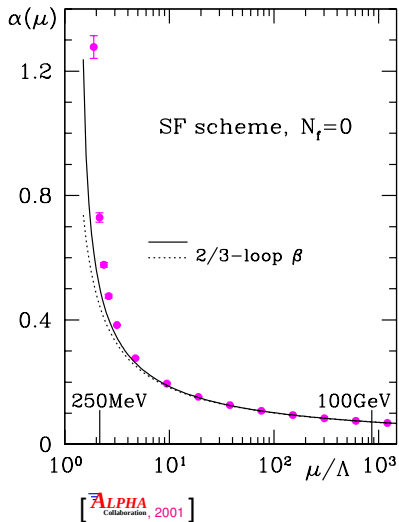
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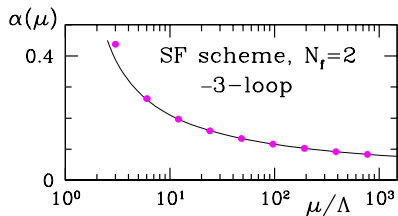
$a m_{\text{prot}}$   $L_{\text{max}}/a$

$\bar{g}^2(L_{\text{max}})$   $\xrightarrow[\text{massless theory}]{\text{non-perturbative SSF's}}$

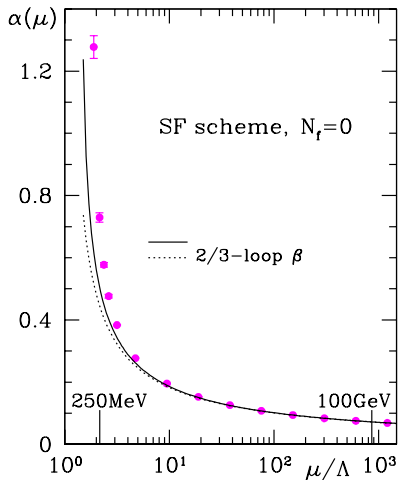
$\bar{g}^2(L_k) \xrightarrow{3\text{-lp}} \Lambda_{\text{SF}} \xrightarrow{1\text{-lp (exact)}} \Lambda_{\overline{\text{MS}}}$



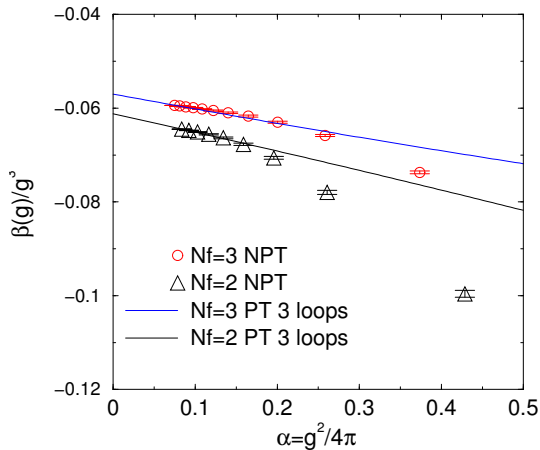




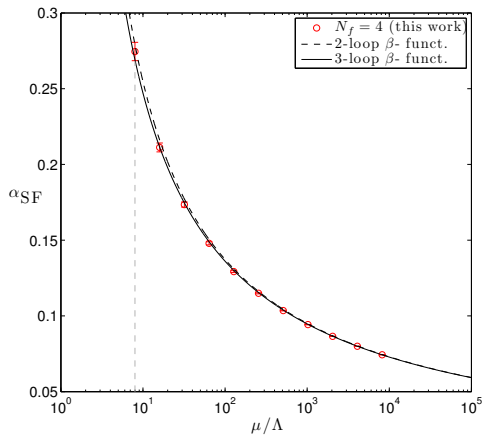
[ALPHA Collaboration, 2005]



[ALPHA Collaboration, 2001]



$$N_f = 3 \left[ \text{PACS-CS, 2009} \right]$$
$$N_f = 2 \left[ \text{ALPHA Collaboration, 2004} \right]$$



[ Collaboration, 2010; update: M. Marinkovic, 2013]

energies  $\mu$

0.9 GeV

.

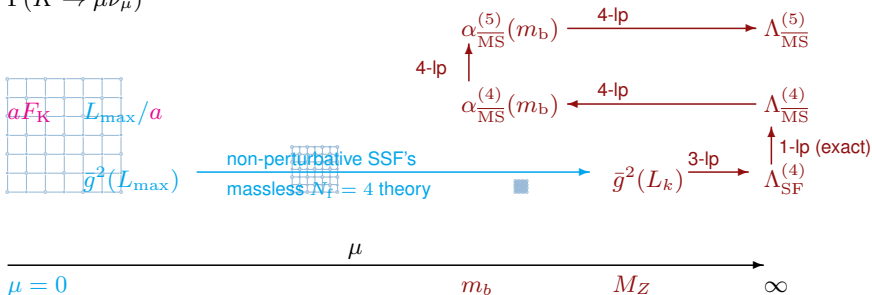
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900 GeV

$$\frac{\Lambda_{\overline{\text{MS}}}^{(5)}}{F_K} = \frac{1}{F_K L_{\text{max}}} \times \frac{L_{\text{max}}}{L_k} \times L_k \Lambda_{\overline{\text{MS}}}^{(4)} \times \frac{\Lambda_{\overline{\text{MS}}}^{(5)}}{\Lambda_{\overline{\text{MS}}}^{(4)}}$$

$\Gamma(K \rightarrow \mu \nu_\mu)$



- ▶ for  $N_f = 4$  missing completely
- ▶ for  $N_f = 3$ , CP-PACS  $m_\rho L_{\max}$  (with 1-loop  $c_t$ )
- ▶ for  $N_f = 2$

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issues:

- autocorrelations
- chiral extrapolation in  $u/d$
- continuum extrapolation

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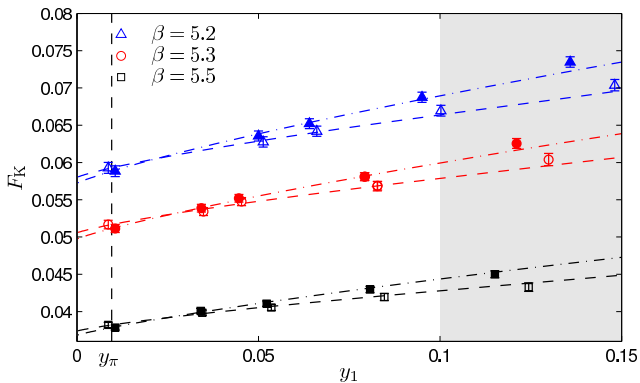
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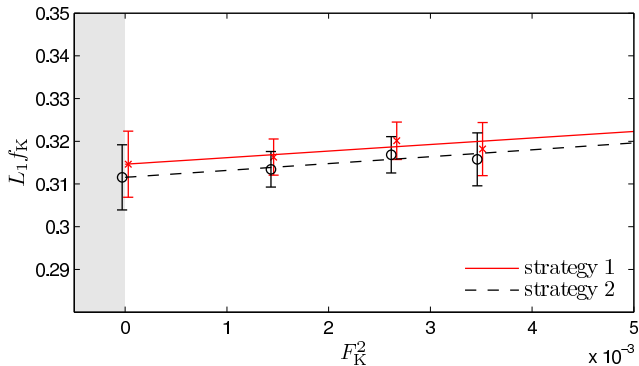


$$F_K L_{\max} = f(\beta) \cdot l_{\max}(\beta) + O(a^2 F_K^2)$$
$$f(\beta) = F_K a, \quad l_{\max}(\beta) = L_{\max}/a$$

Interpolate  $l_{\max}(\beta)$  to CLS (used in large volume)  $\beta$ 's



$$y_1 = m_\pi^2 / (4\pi f_K)^2$$



$$F_K = a f_K$$

# $N_f$ dependence of $\Lambda_{\overline{\text{MS}}}$ and comparison to phenomenology

| $\Lambda_{\overline{\text{MS}}}[\text{MeV}]$                                                      |                                                                                                    | status 2011 |         |         |             |         |                     |
|---------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------|-------------|---------|---------|-------------|---------|---------------------|
|                                                                                                   |                                                                                                    | $N_f$ :     | 0       | 2       | 3           | 4       | 5                   |
| Experiment                                                                                        | Theory                                                                                             |             |         |         |             |         |                     |
| $M_K, K \rightarrow l2, l3$                                                                       | SF [  ]           |             | 238(19) | 310(20) |             |         |                     |
| $M_K, M_\rho$                                                                                     | SF [  ]           |             |         |         | 362(23)(25) |         | 239(10)<br>(6)(-22) |
| DIS, HERA                                                                                         | PT, PDF-fits [  ] |             |         |         |             | 234(14) | 160(11)             |
| DIS, HERA                                                                                         | PT, PDF-fits [  ] |             |         |         |             | 285(23) | 198(16)             |
| “world av. ”[  ] | PT                                                                                                 |             |         |         |             |         | 212(12)             |
| $e^+e^- \rightarrow \text{had}$ (LEP)                                                             | 4-loop PT                                                                                          |             |         |         |             |         | 275(57)             |

- ▶ Non-trivial, non-perturbative  $N_f$ -dependence.
- ▶ Small errors are cited, but overall consistency is not that great.
- ▶ More precision and rigor (PT only at high energy) will be very useful.

Boundary conditions matter in finite volume. Which ones?

A most relevant criterion is zero modes

- ▶ Zero modes of gauge fields  
→ perturbative expansion (+ MC)
- ▶ Zero modes of Dirac operator  
→ HMC stability

### Path integral w.o. fermions

$$\begin{aligned}\langle O(U) \rangle &= \frac{1}{Z} \int D[U] e^{-\beta \bar{S}(U)} O(U) \\ \bar{S}(U) &= \sum_p \text{tr} (1 - U(p)), \quad \beta = \frac{6}{g_0^2}\end{aligned}$$

### PT, sketchy

$$\begin{aligned}\beta \rightarrow \infty \quad U \approx U_{\min} \equiv V \quad &\text{dominates (classical solution)} \\ U(x, \mu) = V(x, \mu) e^{\bar{q}_\mu^b(x) T^b}, \quad \bar{q}_\mu^b(x) \ll 1, \quad &\int D[U] \rightarrow \int D[\bar{q}] \\ \bar{S}(U) &= \bar{S}(V) + \sum_{n,m} q_m K_{mn} q_n + O(q^3), \quad q_n = \bar{q}_\mu^b(x), \quad n = (\frac{x}{a}, \mu, b) \\ O(U) &= O(V) + \dots\end{aligned}$$

Gauss integrals  $\rightarrow$  Wick contractions ... **IFF**  $K$  has no zero modes ( $Kv = \lambda v, \lambda > 0$ )

Generically there are zero modes

- ▶ gauge modes  $\rightarrow$  gauge fixing
- ▶ finite volume modes (gauge invariant)

“Ground state metamorphosis”[[Gonzales Arroyo, Jurkiewicz, Korthals-Altes](#)] with periodic BC's

Toy example:  $SU(2)$ ,  $L^4$ ,  $L = a$  lattice, PBC,  $d = 2$ , single point

- ▶  $\bar{S} = 2 - \text{tr}(U_2 U_1 U_2^\dagger U_1^\dagger)$
- ▶  $\text{tr} U_i$  is gauge invariant,  $U_i$  can't be gauged away
- ▶ minima:  $U_1 = U_2 = V \dots$  pick  $U_1 = U_2 = 1$ .
- ▶ fluctuations  $U_i = e^{i\sigma^b q_i^b}$

$$\bar{S} = 2 - \text{tr} e^{i\sigma^b q_2^b} e^{i\sigma^b q_1^b} e^{-i\sigma^b q_2^b} e^{-i\sigma^b q_1^b} = O(q^4) \rightarrow K = 0$$

- ▶  $q = O(\beta^{-1/4}) = O(g_0^{1/2})$   
PT in powers of  $g_0$ , not  $g_0^2$  NOT regular
- ▶ In general: mixture of gaussian and non-gaussian modes  
integrate over non-gaussian ones exactly ...  
complicated, non-universal  $\beta$ -function  
it can be worse, divergent behavior,  $1/\log(g)$  terms, see [Nogradi et al., 2012]
- ▶ think of these  $U_i$  as Polyakov loops  $\rightarrow$  relevant for 4-d gauge theory.  
“Ground state metamorphosis” [Gonzales Arroyo, Jurkiewicz, Korthals-Altes]

$$V(x, \mu) = 1, \quad \text{PBC: } \psi(x + L\hat{\mu}) = \psi(x)$$

massless Dirac operator has a zero mode (constant mode,  $p = 0$ )  
easily fixed by

$$\psi(x + L\hat{\mu}) = e^{i\alpha} \psi(x)$$

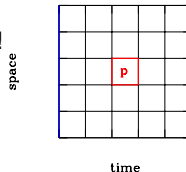
e.g.  $\alpha = \pi/2$  in SU(2),  $\alpha = \pi/3$  in SU(3)

**Exercise: why these values of  $\alpha$ ?**

### Boundary conditions

- ▶ Space: PBC
- ▶ Time: Dirichlet, breaks translation invariance!

Yang Mills theory [[Lüscher, Narayanan, Weisz & Wolff](#)]:



$$\mathcal{Z}(V, V') = \int D(U)_{\text{inside}} e^{-S_{\text{SF}}(U)}$$

$$S_{\text{SF}}(U) = \sum_{p \text{ inside}} \beta \text{tr}(1 - U(p)), \quad U(x, k) = \begin{cases} V(\mathbf{x}, k) & x_0 = 0 \\ V'(\mathbf{x}, k) & x_0 = T \end{cases}$$

Standard introduction of Hilbert space, transfer matrix:

$$\mathcal{Z}(V, V') = \langle V' | \underbrace{e^{-\hat{H}T}}_{\mathbb{T}^{T/a}} \underbrace{\mathbb{P}_0}_{\substack{\uparrow \\ \text{projector onto gauge invariant states}}} | V \rangle, \quad \hat{U}(\mathbf{x}, k) | U \rangle = U(\mathbf{x}, k) | U \rangle$$

$\mathcal{Z}(V, V') =$  Euclidean time propagation kernel by time  $T =$  Schrödinger functional

Wilson Dirac operator (also others are possible)

$$D_W = \frac{1}{2} \{ \gamma_\mu (\nabla_\mu + \nabla_\mu^*) - a \nabla_\mu^* \nabla_\mu \}$$

$$\nabla_\mu \psi(x) = \frac{1}{a} [U(x, \mu) \psi(x + a\hat{\mu}) - \psi(x)]$$

$$\nabla_\mu^* \psi(x) = \frac{1}{a} [\psi(x) - U(x - a\hat{\mu}, \mu)^{-1} \psi(x - a\hat{\mu})]$$

Schrödinger functional action

$$S_F = a^4 \sum_x \bar{\psi}(x) [m_0 + D_W] \psi(x),$$

$$\text{with} \quad \psi(x) = 0, \quad \bar{\psi}(x) = 0 \quad \text{for } x_0 \leq 0, \text{ and } x_0 \geq T$$

In the continuum theory this corresponds to BC's [Sint, 1994]

$$P_+ \psi(x)|_{x_0=0} = 0 \quad \bar{\psi}(x) P_- \Big|_{x_0=0} = 0 \quad P_\pm = \frac{1}{2} (1 \pm \gamma_0)$$

$$P_- \psi(x)|_{x_0=T} = 0 \quad \bar{\psi}(x) P_+ \Big|_{x_0=T} = 0$$

These BC's are stable: emerge in the cont. limit without fine-tuning. Universality! [Lüscher, 2006]  
The Universality class is characterised by Parity invariance, discrete rot. invariance (not chiral symm).

Correlation functions can be formed with the usual fields in the interior (bulk) **and the boundary quark fields**

$$\zeta(\mathbf{x}) = P_- U(x, 0) \psi(x + a\hat{0})|_{x_0=0}$$

$$\bar{\zeta}(\mathbf{x}) = \bar{\psi}(x + a\hat{0}) P_+ U(x, 0)^{-1}|_{x_0=0}$$

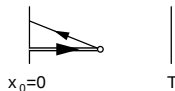
$$\zeta'(\mathbf{x}) = P_+ U(x - a\hat{0}, 0)^{-1} \psi(x - a\hat{0})|_{x_0=T}$$

$$\bar{\zeta}'(\mathbf{x}) = \bar{\psi}(x - a\hat{0}) P_- U(x - a\hat{0}, 0)|_{x_0=T}$$

A very interesting feature of these is that one can form correlation functions where the **quark** fields are projected to  $\mathbf{p} = 0$ . (Note that the gauge fields at the boundaries are fixed).

$$f_P^{rs}(x_0) = a^6 \sum_{\mathbf{v}, \mathbf{y}} \langle \bar{\zeta}_s(\mathbf{v}) \gamma_5 \zeta_r(\mathbf{y}) P^{rs}(x) \rangle$$

$$P^{rs}(x) = \bar{\psi}_r(x) \gamma_5 \psi_s(x)$$



## boundary quark fields

$$\zeta(\mathbf{x}) = P_- U(x, 0) \psi(x + a\hat{0}) \big|_{x_0=0} \quad \bar{\zeta}(\mathbf{x}) = \bar{\psi}(x + a\hat{0}) P_+ U(x, 0)^{-1} \big|_{x_0=0}$$

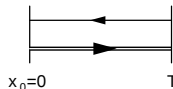
$$\zeta'(\mathbf{x}) = P_+ U(x - a\hat{0}, 0)^{-1} \psi(x - a\hat{0}) \big|_{x_0=T} \quad \bar{\zeta}'(\mathbf{x}) = \bar{\psi}(x - a\hat{0}) P_- U(x - a\hat{0}, 0) \big|_{x_0=T}$$

These boundary quark fields renormalize multiplicatively.

$$\zeta_R(\mathbf{x}) = Z_\zeta \zeta(\mathbf{x}), \dots, \bar{\zeta}'_R = Z_{\bar{\zeta}} \bar{\zeta}'(\mathbf{x})$$

Define also boundary-to-boundary correlation functions

$$f_1^{rs} = \frac{a^{12}}{L^6} \sum_{\mathbf{v}, \mathbf{y}, \mathbf{u}, \mathbf{x}} \langle \bar{\zeta}_s(\mathbf{v}) \gamma_5 \zeta_r(\mathbf{y}) \bar{\zeta}'_r(\mathbf{u}) \gamma_5 \zeta'_s(\mathbf{x}) \rangle$$



Then

$$(f_1^{rs})_R = Z_\zeta^4 (f_1^{rs}), \quad (f_P^{rs}(x_0))_R = Z_\zeta^2 Z_P (f_P^{rs}(x_0))$$

- ▶ Regular PT (no gauge field zero modes)
- ▶ Gap for Dirac operators
- ▶ Momentum zero boundary quark fields  
(spatially one takes pbc up to a phase, cf “flavor twisted bc”)
- ▶ Schrödinger functional coupling defined with non-trivial  $V, V'$   
 $\beta$ -function known to 3-loops [LNWW; LW; Bode, Weisz, Wolff]

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- ▶ We can define  $Z$ -factors (schemes) for composite fields, e.g.

$$Z_P = \frac{1}{c(a/L)} \frac{\sqrt{f_1^{rs}}}{f_P^{rs}(T/2)}, \quad c(a/L) = \frac{\sqrt{f_1^{rs}}}{f_P^{rs}(T/2)} \Big|_{g_0=0}$$

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- ▶ There is also a new SF coupling ...

Consider the free Schrödinger functional , i.e.  $U(x, \mu) = 1$  with pbc in space for the fermions.

- ▶ Show that  $f_P(x_0) = \text{constant}$  for mass-less quarks.

hints:

- write down the Wick-contraction in terms of the Schrödinger functional propagator
  - note that it is appropriate to go to momentum space concerning the space components, but to remain in coordinate space concerning the time coordinates
  - what is the equation for the spatial  $\mathbf{p} = 0$  contribution to the propagator? note how it splits into  $P_{\pm}$  pieces
  - solve the equation by “inspection”, iteration
  - obtain the result for arbitrary quark mass
- ▶ Could this result be guessed by dimensional reasoning?

- ▶ Gradient flow [Lüscher, 2010; Lüscher & Weisz, 2011]  
new observables
  - UV finite (proven to all orders of PT)
  - excellent numerical precision
  - renormalized coupling in finite volume with pbc [BMW, 2012]
- ▶ Flow in finite volume, SF [P. Fritzsche & Ramos, arXiv:1301.4388]
  - lowest order PT to define a new coupling
  - numerical investigation shows excellent precision
- ▶ Flow with gauge fields AND quark fields [Lüscher, arXiv:1302.5246]
- ▶ General idea

$x = (x_0, \mathbf{x})$ ,  $t =$  flow time

$A_\mu(x) =$  quantum gauge fields :  $\mathcal{Z} = \int D[A_\mu(x)] \dots$

$B_\mu(x, t) =$  smoothed gauge fields ,  $B_\mu(x, 0) = A_\mu(x)$

$$\begin{aligned} \frac{dB_\mu(x, t)}{dt} &= D_\nu G_{\nu\mu}(x, t) + \text{gauge fixing} \\ &\sim - \frac{\delta S_{YM}[B]}{\delta B_\mu} \end{aligned}$$

correlation functions of  $B$ -fields at arbitrary points are finite

$$\frac{dB_\mu(x,t)}{dt} \equiv \dot{B}_\mu(x,t) = \underbrace{D_\nu G_{\nu\mu}(x,t)}_{\uparrow} + \underbrace{D_\mu \partial_\nu B_\nu(x,t)}_{\uparrow} \quad (*)$$

$$\sim -\frac{\delta S_{YM}[B]}{\delta B_\mu}$$

eliminate by a  $t$ -dependent gauge trafo

► it is a continuous form of stout smearing [[Morningstar & Peardon](#)]

► in PT:  $A_\mu(x) = g_0 \bar{A}_\mu(x)$

$$B_\mu(x,t) = B_{\mu,1}(x,t)g_0 + B_{\mu,2}(x,t)g_0^2 + \dots$$

$$G_{\nu\mu} = [\partial_\nu B_{\mu,1} - \partial_\mu B_{\nu,1}]g_0 + O(g_0^2), \quad D_\nu = \partial_\nu + O(g_0)$$

$$\rightarrow \dot{B}_{\mu,1}(x,t) = \partial_\nu \partial_\nu B_{\mu,1}(x,t)$$

► heat equation

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- ▶ heat equation

$$B_{\mu,1}(x, t) = \int d^D p e^{ipx} b_\mu(p, t)$$

$$\dot{b}_\mu = -p^2 b_\mu \rightarrow b_\mu(p, t) = b_\mu(p, 0)e^{-p^2 t}$$

$$B_{\mu,1}(x, t) = \int d^D y K_t(x - y) \bar{A}_\mu(y), \quad K_t(z) = (4\pi t)^{-D/2} e^{-z^2/(4t)}$$

- ▶ smoothing over a radius of  $\sqrt{8t}$
- ▶ gaussian damping of large momenta

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- ▶ smoothing over a radius of  $\sqrt{8t}$
- ▶ gaussian damping of large momenta
- ▶ all correlation functions of  $B_\mu$  are finite ( $t > 0$ ) [Lüscher & Weisz, 2011]

$$\text{in particular } \langle E(t) \rangle, \quad E(t) = -\frac{1}{2} \text{tr } G_{\mu\nu} G_{\mu\nu}$$

- ▶ order by order iteration:

$$B_\mu(x, t) = \sum_k B_{\mu, k}(x, t) g_0^k$$

$$\dot{B}_{\mu, k}(x, t) - \partial_\nu \partial_\nu B_{\mu, k}(x, t) = R_{\mu, k}$$

$$R_{\mu, 1} = 0, \quad B_{\mu, 1}(x, t) = \int d^D y K_t(x - y) \bar{A}_\mu(y)$$

$$R_{\mu, 2} = 2[B_{\nu, 1}, \partial_\nu B_{\mu, 1}] - [B_{\nu, 1}, \partial_\mu B_{\nu, 1}],$$

$$R_{\mu, 3} = 2[B_{\nu, 2}, \partial_\nu B_{\mu, 1}] + 2[B_{\nu, 1}, \partial_\nu B_{\mu, 2}] \\ - [B_{\nu, 2}, \partial_\mu B_{\nu, 1}] - [B_{\nu, 1}, \partial_\mu B_{\nu, 2}] + [B_{\nu, 1}, [B_{\nu, 1}, B_{\mu, 1}]],$$

...

$$B_{\mu, k}(t, x) = \int_0^t ds \int d^D y K_{t-s}(x - y) R_{\mu, k}(s, y) \quad k > 1$$

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- ▶ For  $\langle E \rangle$ ,  $E = -\frac{1}{2} \text{tr} G_{\mu\nu} G_{\mu\nu}$

$$\langle E \rangle = E_0 g_0^2 + E_0 g_0^4 + \dots$$

$$E_0 = \langle \text{tr} [\partial_\mu B_{\nu, 1} \partial_\mu B_{\nu, 1} - \partial_\mu B_{\nu, 1} \partial_\nu B_{\mu, 1}] \rangle$$

$$\sim \int_p e^{-p^2 2t} [p^2 \delta_{\mu\nu} - p_\mu p_\nu] D_{\mu\nu}(p) \quad \text{finite (also with cutoff reg'n)!}$$

use the flow in SF:  $T \times L^3$  world with Dirichlet BC in time,  $T = L$   
define

$$\begin{aligned}\langle E(t) \rangle &\equiv -\frac{1}{2} \langle \text{tr } G_{\mu\nu} G_{\mu\nu}(x, t) \rangle_{x_0=T/2} = \frac{\mathcal{N}}{t^2} \bar{g}_{\text{MS}}^2(\mu) (1 + c_1 \bar{g}_{\text{MS}}^2 + \dots) \\ \bar{g}_{\text{GF}}^2(L) &\equiv \mathcal{N}^{-1} t^2 \langle E(t) \rangle \Big|_{t=c^2 L^2/8}\end{aligned}$$

This is a family of schemes characterized by  $c$  (dimensionless)

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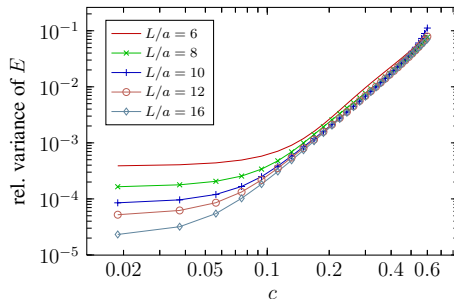
$$\begin{aligned}\mathcal{N}(c) &= \frac{c^4(N^2-1)}{128} \sum_{\mathbf{n}, n_0} e^{-c^2 \pi^2 (\mathbf{n}^2 + \frac{1}{4} n_0^2)} \\ &\times \frac{2\mathbf{n}^2 s_{n_0}^2(T/2) + (\mathbf{n}^2 + \frac{3}{4} n_0^2) c_{n_0}^2(T/2)}{\mathbf{n}^2 + \frac{1}{4} n_0^2}\end{aligned}$$

- the lattice version is known (and needed)

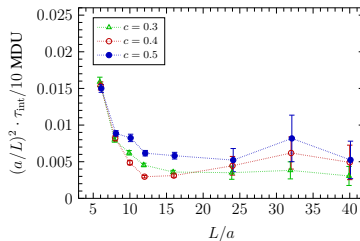
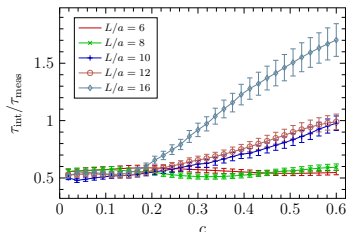
$$\text{relative variance} = \frac{\langle E^2 \rangle - \langle E \rangle^2}{\langle E \rangle^2}$$

should be finite as  $a \rightarrow 0$ ,  $L/a \rightarrow \infty$

Numerically, Fritzsche & Ramos:



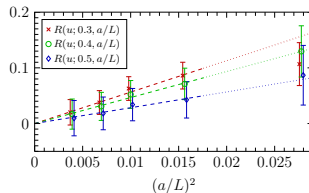
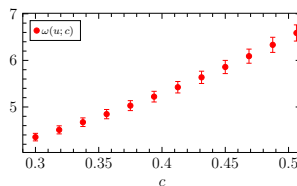
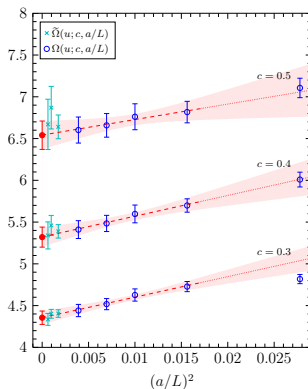
autocorrelations scale as expected:  $\tau_{\text{int}} \propto a^{-2}$



Statistical precision is good and theoretically understood.  
There will be no surprises on the way to the continuum limit.

keeping old SF-coupling  $\bar{g}_{\text{SF}}(L)$  fixed (defines  $L$ ), compute

$$\Omega(u; c, a/L) = \left[ \hat{\mathcal{N}}^{-1}(c, a/L) \cdot t^2 \langle E(t, T/2) \rangle \right]_{t=c^2 L^2/8}^{\bar{g}_{\text{SF}}^2=u, m=0}$$



- small cutoff effects  $\longrightarrow$  ready for applications  $\longrightarrow \dots$   
 $\longrightarrow$  precise  $\Lambda$ -parameter

Flow equation for the quarks  $\chi = \chi(x, t)$

$$\begin{aligned}\partial_t \chi &= \Delta \chi, & \partial_t \bar{\chi} &= \bar{\chi} \overleftarrow{\Delta}, \\ \Delta &= D_\mu D_\mu, & D_\mu &= \partial_\mu + B_\mu,\end{aligned}$$

with initial conditions

$$\chi|_{t=0} = \psi, \quad \bar{\chi}|_{t=0} = \bar{\psi},$$

This is very similar to “Gaussian smearing”, “Wuppertal smearing” [S. Güsken, U. L w, K. H. M tter, RS, A. Patel, K. Schilling, 1989] except that it is continuous and  $\Delta$  depends on  $t$  through  $B_\mu(x, t)$  and is the 4-d cov. Laplacian.

It might be useful to consider (approximate) continuity and  $B_\mu(x, t)$  also for Gaussian smearing.

For a perturbative analysis add terms which can be removed by a gauge transformation

$$\begin{aligned}\partial_t \chi &= [\Delta - \partial_\nu B_\nu] \chi, & \partial_t \bar{\chi} &= \bar{\chi} [\overleftarrow{\Delta} + \partial_\nu B_\nu], \\ \Delta &= D_\mu D_\mu, & D_\mu &= \partial_\mu + B_\mu,\end{aligned}$$

Lowest order in the coupling (remember  $B_\mu = B_{\mu,1} g_0 + \dots$ )

$$\Delta = \partial_\mu \partial_\mu$$

solved again by the heat kernel

$$\begin{aligned}\chi(x, t) &= \int d^D y K_t(x - y) \psi(y) + O(g_0) \\ K_t(z) &= \frac{e^{-z^2/4t}}{(4\pi t)^{D/2}}\end{aligned}$$

A smooth, smeared, field. But not a local field.

The “quark” propagator at leading order, at finite  $t$

$$\langle \chi(t, x) \bar{\chi}(s, y) \rangle = \int \frac{d^D p}{(2\pi)^D} e^{ip(x-y)} \frac{e^{-(t+s)p^2}}{M_0 + i\not{p}} + \mathcal{O}(g_0^2)$$

The one-loop self-energy graphs have a divergence which is cancelled by wavefunction renormalization

$$\chi = Z_\chi^{-1/2} \chi_R, \quad \bar{\chi} = \bar{\chi}_R Z_\chi^{-1/2},$$
$$Z_\chi = 1 + \frac{3C_F}{16\pi^2\epsilon} g^2 + \mathcal{O}(g^4), \quad C_F = \frac{N^2 - 1}{2N},$$

At  $t, s > 0$  the quark propagator is now **non-singular** for

$$(t, x) \rightarrow (s, y)$$

In particular

$$\Sigma_{R,t}^{rr} = Z_\chi \Sigma_t^{rr}$$

No additive renormalization!

Correlation functions of the  $t$ -dependent fields can be written in terms of a 4+1 dimensional *local* field theory [Zinn-Justin, 1986; Zinn-Justin & Zwanziger, 1988], in dim-reg.

### Action

$$S_{\text{tot}} = S_{4\text{d}} + S_{\text{G},\text{fl}} + S_{\text{FP},\text{fl}} + S_{\text{F},\text{fl}} \quad \alpha_0 = 1$$

$$S_{\text{G},\text{fl}} = -2 \int_0^\infty dt \int d^D x \operatorname{tr} \{ L_\mu(t, x) (\partial_t B_\mu - D_\nu G_{\nu\mu} - \alpha_0 D_\mu \partial_\nu B_\nu)(t, x) \},$$

$$S_{\text{F},\text{fl}} = \int_0^\infty dt \int d^D x \{ \bar{\lambda}(t, x) (\partial_t - \Delta + \alpha_0 \partial_\nu B_\nu) \chi(t, x) \\ + \bar{\chi}(t, x) (\overleftarrow{\partial}_t - \overleftarrow{\Delta} - \alpha_0 \partial_\nu B_\nu) \lambda(t, x) \}$$

- ▶  $L_\mu$ ,  $\lambda$ ,  $\bar{\lambda}$ : Lagrange multipliers fields

Variation wrt  $L_\mu$ ,  $\lambda$ ,  $\bar{\lambda}$ : flow equations for the fields  $B$ ,  $\chi$ ,  $\bar{\chi}$

- ▶  $S_{4\text{d}}$ : determines the initial conditions at  $t = 0$  to be the quantum fields of the 4d theory
- ▶ the exact equivalence is seen by considering the Wick contractions

This *local* formulation helps to identify possible additional (to the 4-d theory) counterterms.

The only one is (note:  $[\lambda] = 5/2$ )

$$\int d^D x \{ \bar{\lambda}(0, x) \psi(x) + \bar{\psi}(x) \lambda(0, x) \}$$

corresponding to the field renormalizations

$$\chi = Z_\chi^{-1/2} \chi_R, \quad \lambda = Z_\chi^{1/2} \lambda_R$$

The propagators contain  $\theta$ -functions

$$\theta(s - t)$$

therefore Feynman diagrams have loops only for  $t = 0$  and trees going to  $t > 0$ . This means there are no divergencies for the fields at positive  $t$  (apart from the above?)

a tree

An all-order perturbative proof of the renormalization properties has been given by Lüscher and Weisz (pure gauge theory).

The Grassmann integrals over the fermion fields  $\bar{\lambda} \dots \psi$  yield (relatively) straightforwardly the Wick contractions

$$\overbrace{\psi(x)\bar{\psi}(y)} \det(\dots) \equiv \int_{\text{Grassmann}} \psi(x)\bar{\psi}(y)$$

$$\overbrace{\psi(x)\bar{\psi}(y)} = S(x, y), \quad (\not{D} + M_0)S(x, y) = \delta(x - y),$$

$$\overbrace{\lambda(t, x)\bar{\lambda}(s, y)} = 0,$$

$$\overbrace{\chi(t, x)\bar{\lambda}(s, y)} = \theta(t - s)K(t, x; s, y)$$

$$\{\partial_t - \Delta + \alpha_0 \partial_\nu B_\nu\}K(t, x; s, y) = 0 \quad \text{if } t \geq s,$$

$$\lim_{t \rightarrow s} K(t, x; s, y) = \delta(x - y),$$

Using the Wick contractions, not (as usual) field transformations, Lüscher shows that (generalized) Ward identities hold, e.g.

$$\begin{aligned} & \langle \{ \partial_\mu A_\mu^{rs}(x) - (m_{0,r} + m_{0,s}) P^{rs}(x) + \tilde{P}^{rs}(x) \} \phi_1(t_1, x_1) \dots \phi_n(t_n, x_n) \rangle \\ &= 0 \quad \text{if} \quad (x, 0) \neq (x_i, t_i) \end{aligned}$$

with

$$\tilde{P}^{rs}(x) = \bar{\lambda}_r(0, x) \gamma_5 \psi_s(x) + \bar{\psi}_r(x) \gamma_5 \lambda_s(0, x)$$

Leading **Low Energy Constants** of the chiral effective theory

We have the matrix elements

$$\begin{aligned}\langle \pi^{rs}(\mathbf{p}) | i \hat{P}_R^{ud}(\mathbf{x}) | 0 \rangle &= \frac{G_\pi}{\sqrt{m_\pi}} e^{-i\mathbf{p}\mathbf{x}} \\ \langle \pi^{rs}(\mathbf{p}) | \hat{A}_{R,0}^{ud}(\mathbf{x}) | 0 \rangle &= \frac{F_\pi m_\pi}{\sqrt{m_\pi}} e^{-i\mathbf{p}\mathbf{x}}\end{aligned}$$

They are obtained from correlation functions by e.g.

$$\int d^3\mathbf{x} \langle P_R^{ud}(x) P_R^{du}(0) \rangle = -\frac{G_\pi G_{\pi,t}}{m_\pi} e^{-m_\pi x_0} \{1 + O(e^{-\Delta E x_0})\}$$

The two lowest order LEC's are given by

$$F = \lim_{m_R \rightarrow 0} F_\pi \quad \Sigma = \lim_{m_R \rightarrow 0} F_\pi G_\pi$$

(remember PCAC:  $2m_R G_\pi = m_\pi^2 F_\pi$ )

## Include correlation functions at positive $t$

Properties such as

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together with the generalized WI's allow to show

$$\Sigma_{R,t} = -\frac{m_\pi^2 F_\pi}{2G_\pi} \int d^4x \langle P_R^{ud}(x) P_{R,t}^{du}(0) \rangle$$

$$\Sigma = \lim_{m_R \rightarrow 0} F_\pi G_\pi = \lim_{m_R \rightarrow 0} \frac{\Sigma_{R,t} G_\pi}{G_{\pi,t}}$$

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►  $\Sigma$  **without** contact terms,  $a^{-3}$  subtraction

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## ► On the history

- Smearing has been around for a while starting with APE smearing, Wuppertal smearing,... stout smearing
- There was always the suspicion that the smeared observables (smeared to physical smearing radii) have no continuum limit
- A first statement that there is a continuum limit of smeared Wilson loops if the smearing parameters are properly adjusted was in a paper by Narayanan & Neuberger, 2006.
- Now we have a full understanding that the flow-observables are finite
- Old and not very well known papers (1986, 1988) have been influential in the all-order proof

## ► It is remarkable that after so many years of QCD and lattice QCD we have a whole new class of finite observables.

## ► **New elements in the tool kit**, mainly for LGT?

- The flow equations are not unique, eg. for the quarks:  $-D_\mu D_\mu \rightarrow \not{D} \not{D}^\dagger$
- There is an opportunity for a new generation to develop new methods with the (and enlarge the) tool kit (see Fritzsche & Ramos)

- ▶ The Standard Model is (many feel: too) alive
- ▶ We need to push it to its limits in energy **and precision**
- ▶ Somewhat provocative but true: If we want a non-perturbative result, we need it renormalized non-perturbatively.
- ▶ The perturbative series is divergent, asymptotic (well understood! I recommend 't Hooft Erice lectures).  
When one uses it  $\alpha(\mu)$  better is small.
- ▶ For scale dependent renormalizations,  $\alpha(\mu)$   $m_R(\mu)$ ,  $Z_{LL}(\mu)$

## **step scaling with finite volume schemes**

can be used to go to very large  $\mu$  and connect to

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Can the question of NP gauge fixing be better understood?

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## **The Gradient flow**

## ▶ **A New Horizon: the Gradient flow**

- it is remarkable that after so many years of QCD and lattice QCD we have a whole new class of finite observables.
- little explored
- waiting for additional ideas
- **New elements in the tool kit** (mainly for LGT?)