## The Theory of X-ray Diffraction

Glaucius Oliva Instituto de Física de São Carlos Universidade de São Paulo Form and Function at the Roots of Biology

## Visible light in the description of nature

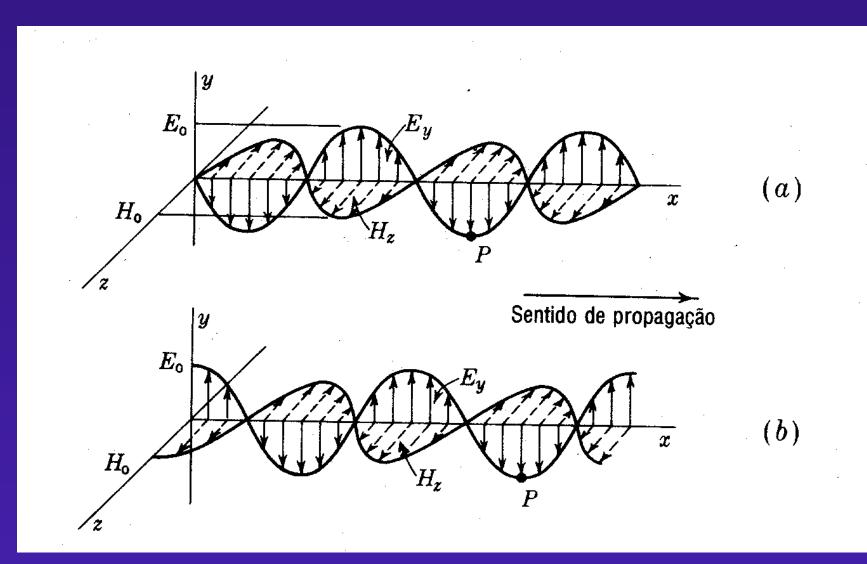






#### Taxonomy

#### Interaction of Electromagnetic Radiation with matter



#### Interaction of Electromagnetic Radiation with matter

ii

iii

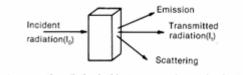
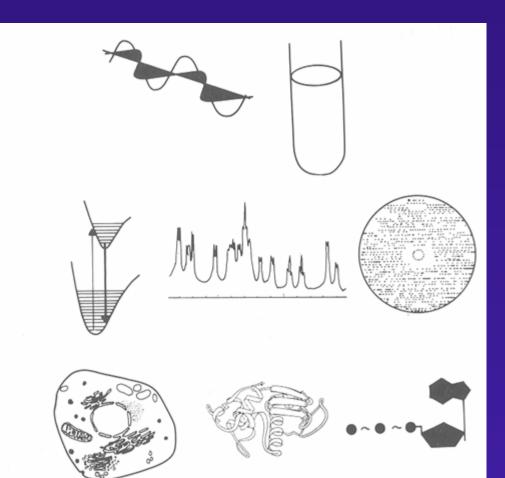


Figure 2.9 Electromagnetic radiation incident on a sample can give rise to absorption, emission, and scattering.

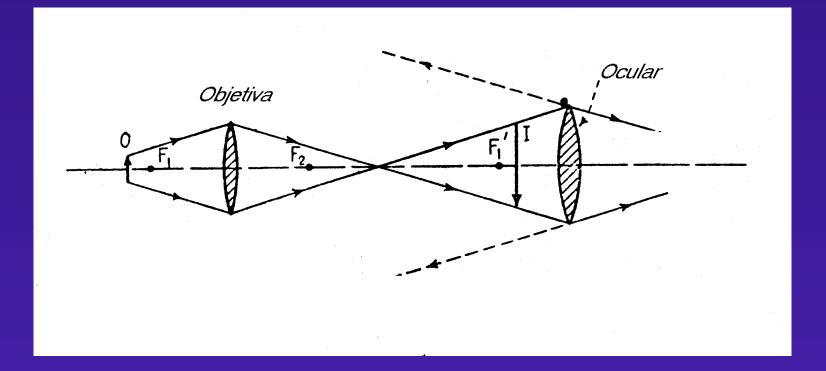
## Biological information that can de obtained:

- Structure
- Dynamics
- Energetics
- Analytical

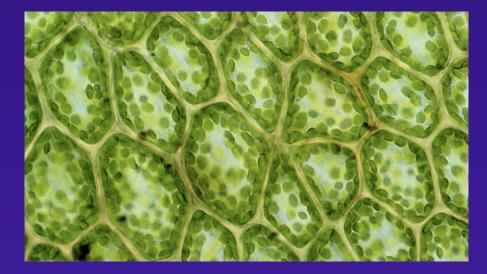


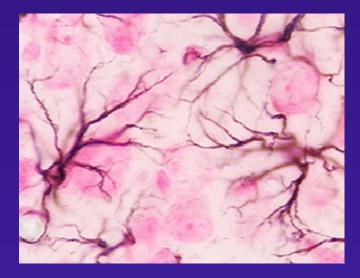
**Figure 1.1** Spectroscopy involves (i) irradiation of the sample with some form of electromagnetic radiation. This results in scattering, absorption, and emission. In (ii) are shown the basic process of fluorescence (*emission*), a section of an NMR (*absorption*) spectrum of a protein, and a diffraction pattern from a crystal of a macromolecule, which arises from *scattering*. (iii) The interpretation of measurements of absorption, emission, and scattering leads to biological information on a wide range of systems, from cells to small molecules.

## **Optical Microscope**

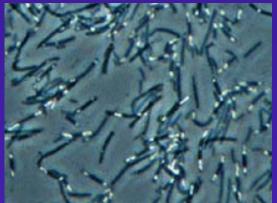


With the optical microscope it was possible to elucidate the cellular structure of living organisms

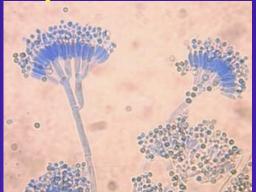




# and to identify microbes as bacteria, fungi and

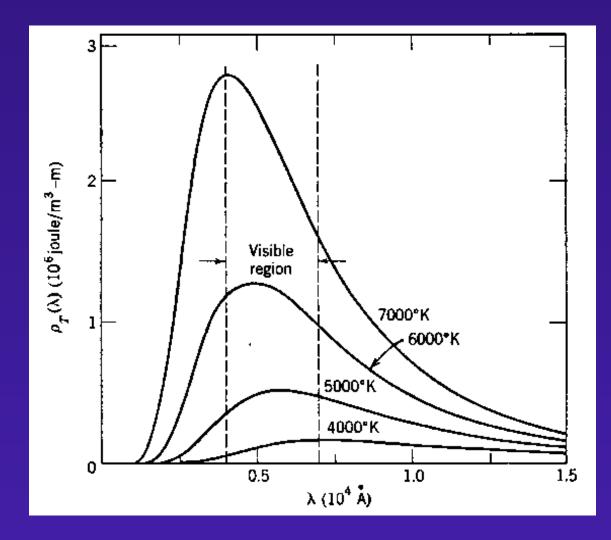


parasites





#### **The transtion from Classical to Modern Physics**



Max Planck: the black-body radiation

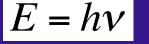
Classical Mechanics  $\rightarrow$  Newton's Laws  $F = m \cdot a = m \frac{dx^2}{dt^2}$ 

Quantum Mechanics  $\rightarrow$  Schröedinger's Equation

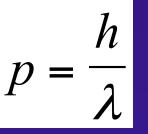
$$\frac{\hbar^2}{2m} \cdot \frac{\partial^2 \psi(x,t)}{\partial x^2} + V(x,t)\psi(x,t) = i\hbar \frac{\partial \psi(x,t)}{\partial t}$$

#### **Particle-Wave Duality**

Einstein: E = hv

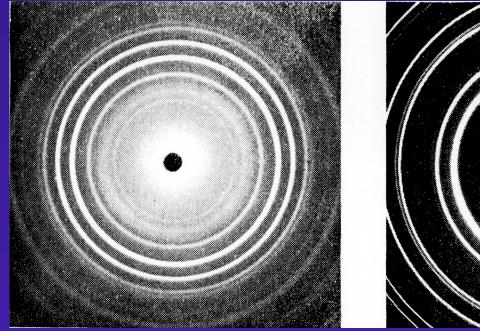


#### de Broglie:



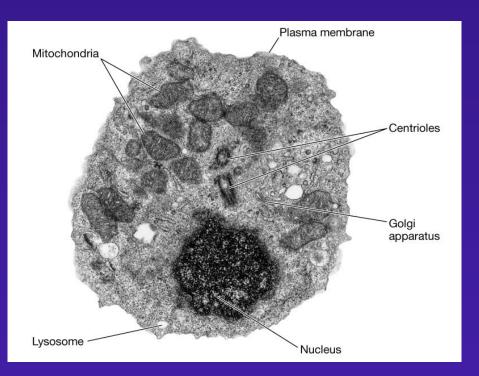
X-rays

#### Electrons





#### Electron microscopes (scanning and transmission) showed the subcellular structure of cells and also the structure of viruses





#### Modern Biology = Molecular Biology

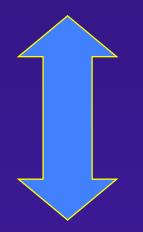
All the events associated with Life occur at the molecular level



#### **Macromolecular Structure**

- Electromagnetic radiation (X-rays, radio-frequencies, UV-Vis, infrared)
- Partícles (electrons, neutrons)
- Microscopies (visible, electrons, atomic force)

## Structural Molecular Biology



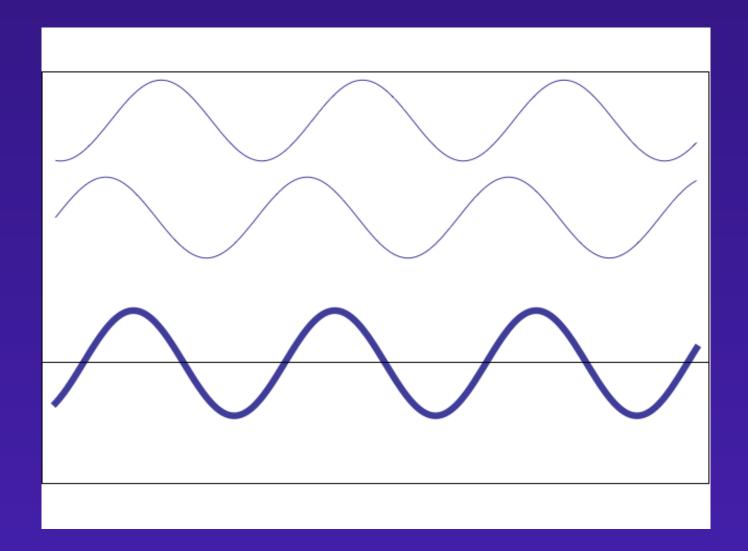
#### Structural studies of biological moleculas through phisical and chemical techniques and molecular biology

Structure, dinamycs, stability

## Structural Biology

- High resolution experimental structures:
  - Protein Crystallography
  - NMR
  - Cryo EM
- Theoretical approaches:
  - Molecular Modeling
  - Molecular dynamics simulations
- Biophysical techniques
  - CD, Fluorescence, FTIR, Raman, XAFS, SAXS, MS, EPR, ...

## Superposição de ondas

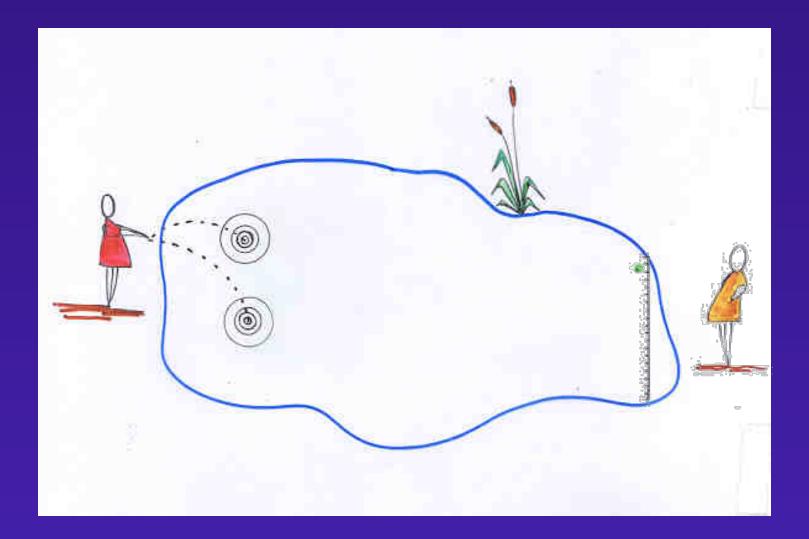


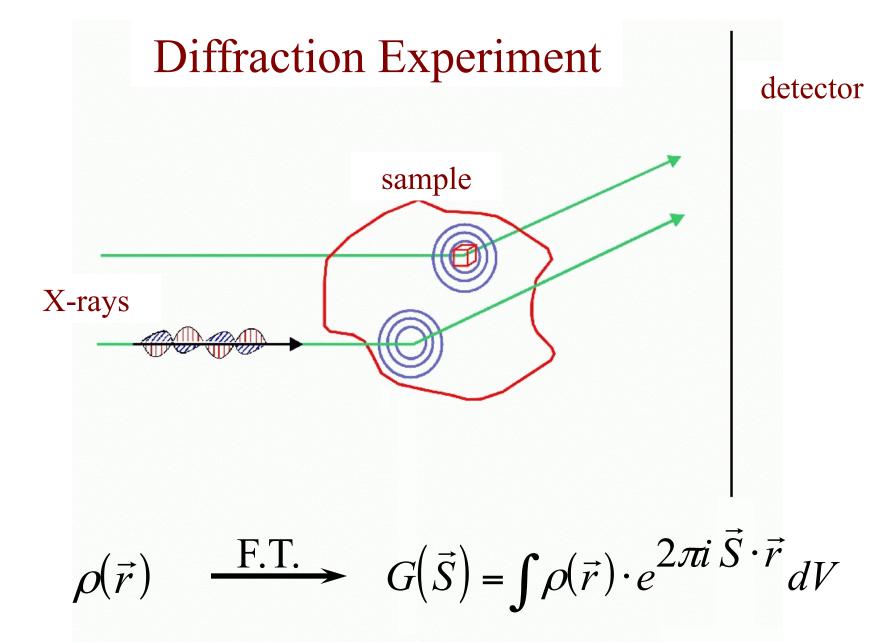


## Structural Biology

- High resolution experimental structures:
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## Difraction: "the lake experiment"

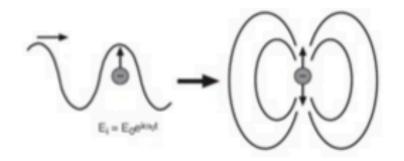




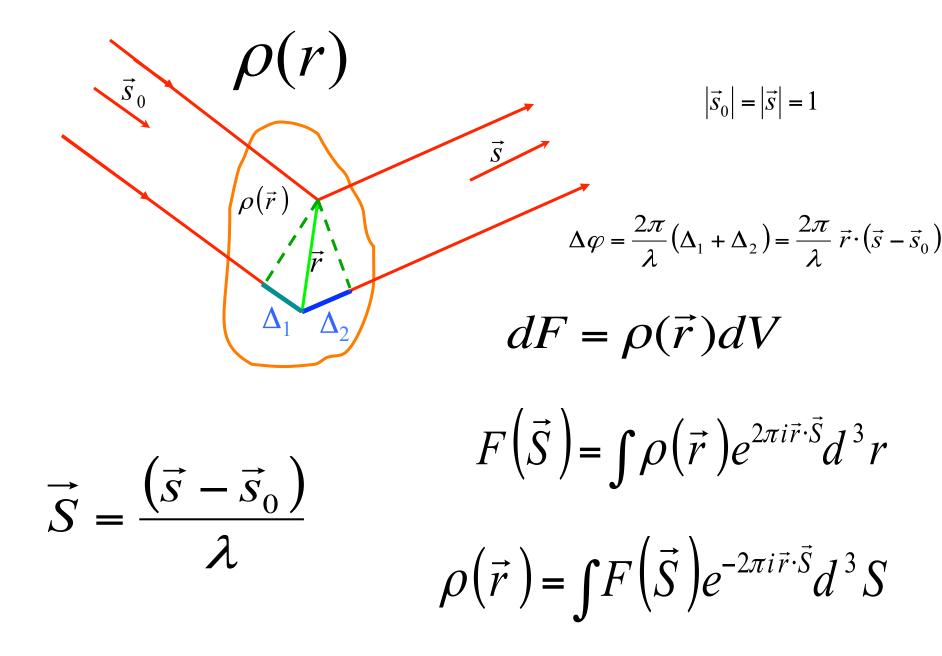
**Electron density** 

Scatterred wave

## Thomson Scattering.



- Thomson scattering is a process by which the energy of an electromagnetic wave is partly scattered in various directions by a free electron.
- Incident EM wave accelerates each particle it encounters, particles then re-radiate an EM wave.
- Because of the large mass of the ions their scattering is negligible.



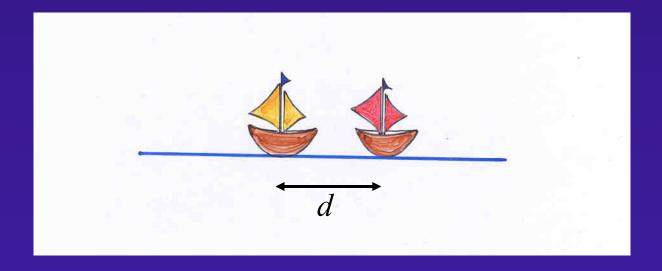
## **The Phase Problem**

$$\rho(\vec{\mathbf{r}}) \quad \leftarrow \text{Fourier Transform} \qquad F(\vec{h}) = |F(\vec{h})| \cdot e^{i\varphi(\vec{h})}$$

## Diffraction Experiment $\Rightarrow$ the amplitudes $|F(\vec{h})|^2$ are measured

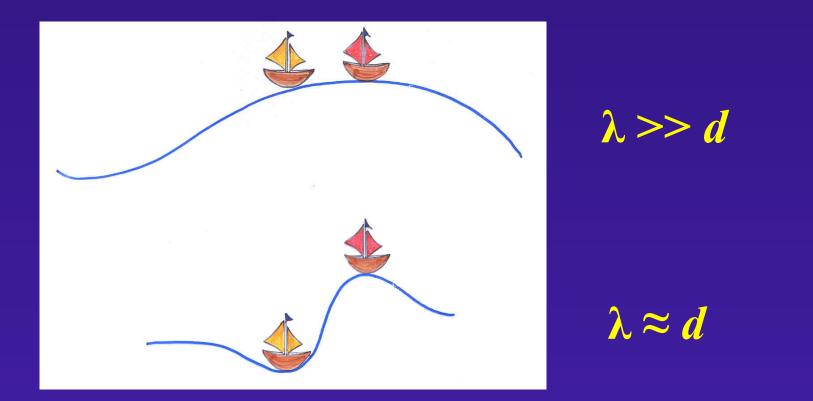
Problem 
$$\Rightarrow$$
 the phases  $\varphi(\vec{h})$  are unknown

## Why X-rays ? "the boats example"



How to measure the separation *d* between two boats in the water, given that we are far away from them?

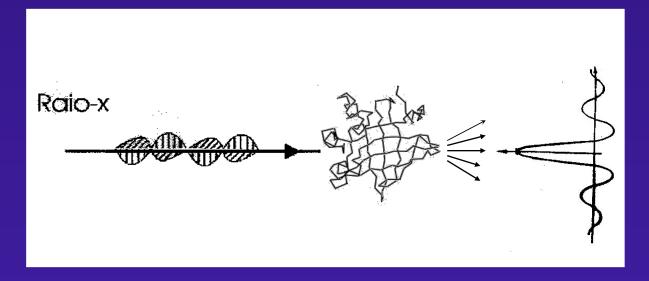
#### Using water waves, with wavelength $\lambda$



In molecules, the separation between atoms is of the order of 1 to 2Å, therefore we have to use electromagnetic radiation with wavelengths of this magnitude, => X-rays

Possible X-ray scattering/diffraction experiments to elucidate the structure of a protein

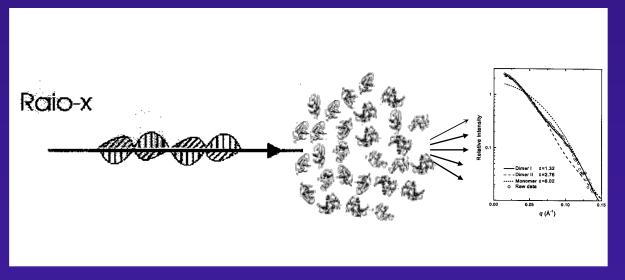
#### 1) Sample is an isolated single molecule



- Very difficult to isolate and immobilize a single molecule
- resulting scattering is continuous and the amplitudes are so small that it may be extremely difficult to measure

# Possible X-ray scattering/diffraction experiments to elucidate the structure of a protein

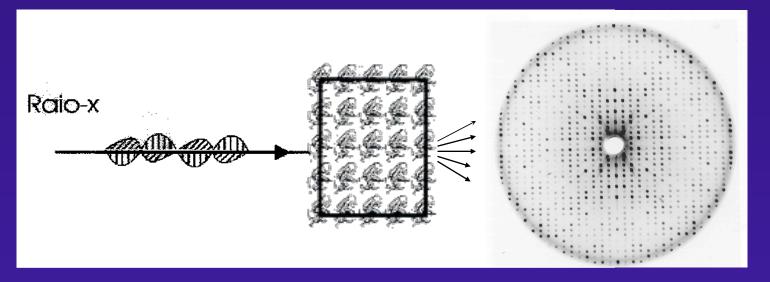
#### 2) Many molecules in solution



- as ther is no order, interference is globally destructive and we can only observe scattering at very low angles
- The result is a Small-Angle X-ray Scattering experiment (SAXS)
- It is very useful to get global inormation about your protein, as shape, size and oligomerization state

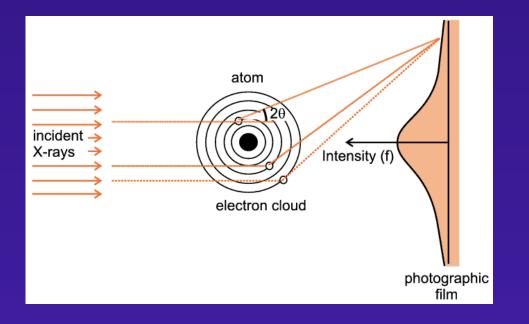
# Possible X-ray scattering/diffraction experiments to elucidate the structure of a protein

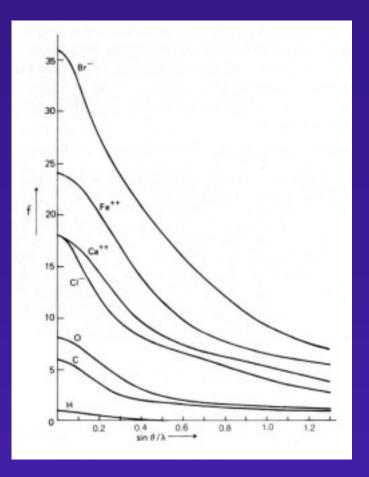
3) Molecules are in ordered in a crystal lattice



- Scattering is discrete and at high resolution : diffraction
- Scattered waves are measurable
- From the diffraction pattern we can determine with precision the atomic positions of all ordered atoms that constitute the crystal
- Problem: we measure the amplitudes of the scattered waves but all phase information is lost -> the Phase Problem

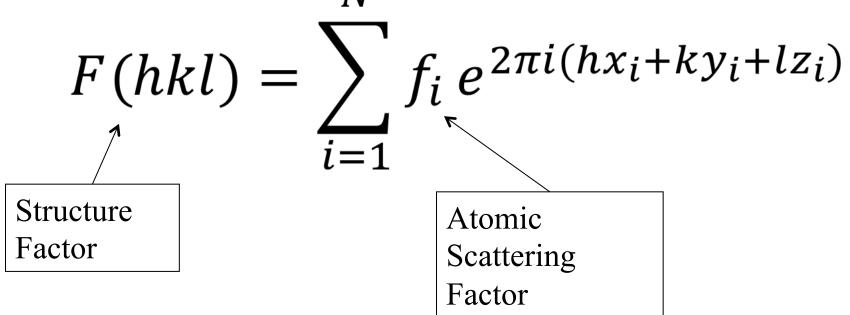
## X-ray scattering by an atom





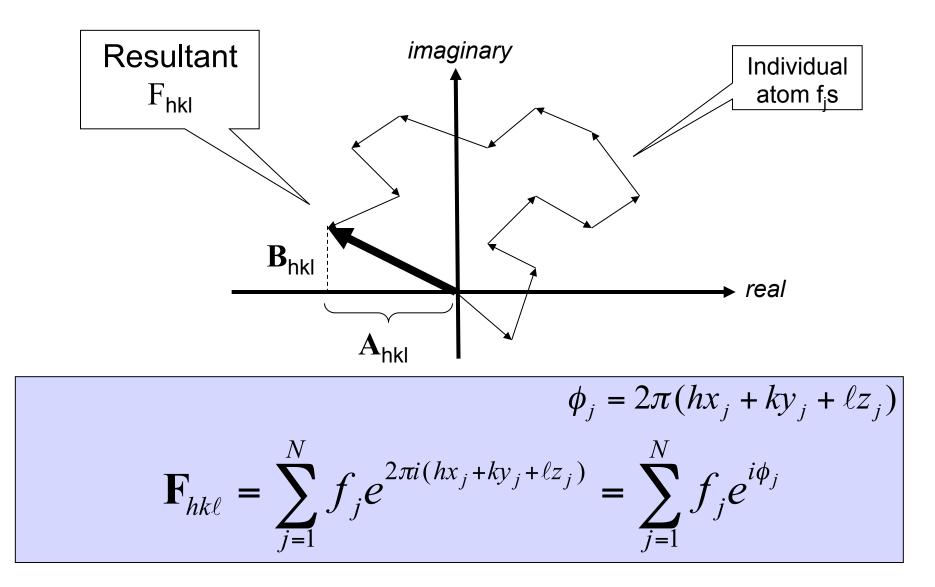
#### **Atomic Scattering Factors**

## Scattering by a group of N atoms $F(\vec{S}) = FT\{\rho(\vec{r})\} = \int_{U} \rho(\vec{r})e^{2\pi i \vec{r} \cdot \vec{S}} dV$ If the electron density $\rho(r)$ is a group of N atoms, each one defined by its atomic type and position $(x_i, y_i, z_i)$ , with i=1, 2, ...,N N

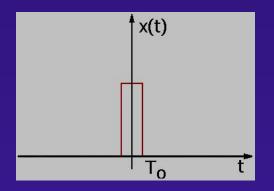


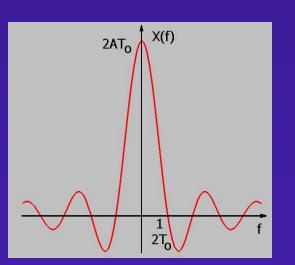
## The Structure Factor

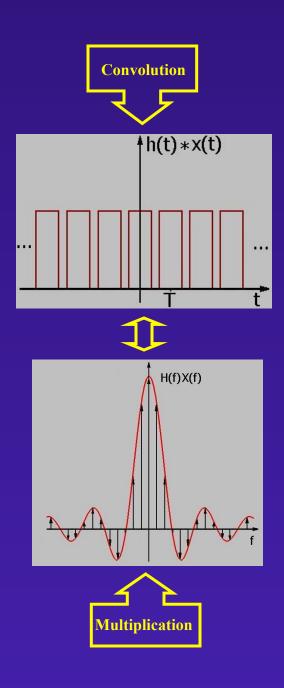
Sum of all individual atom contributions

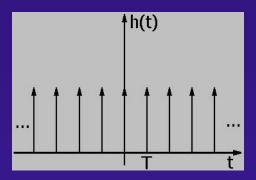


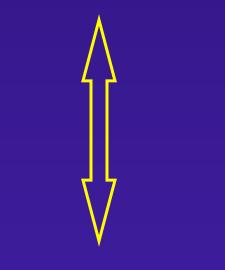
Diffraction is all about Fourier Transforms and Convolution Theorem

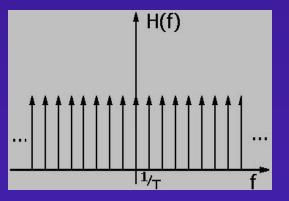




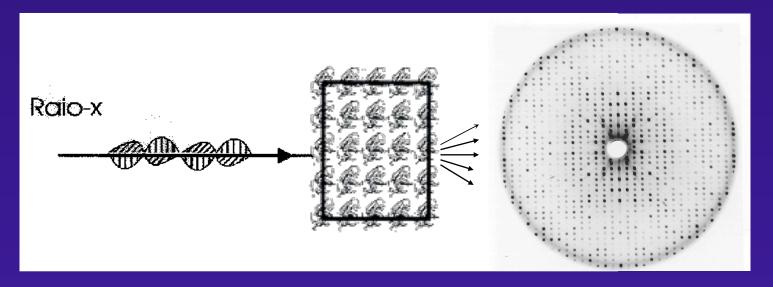






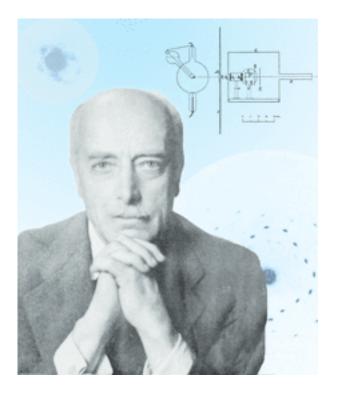


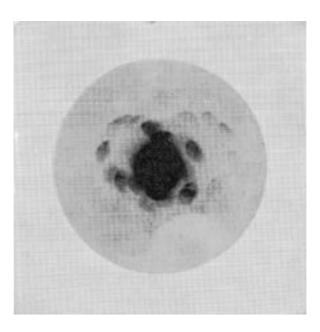
#### Scattering by a crystal



- A crystal is a repetition of a basic unit (Unit Cell), repeated periodically along the directions of the cell parameters a,b, and c
- Therefore, the SCATTERING OF A CRYSTAL is the same scattering of a Unit Cell multiplied by the number of unit cell in the crystal and sampled at the reciprocal lattice

# 1912: Laue solves the quest about the nature of X-rays



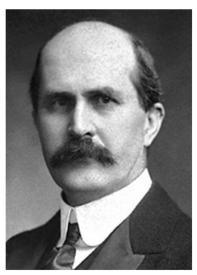


Friedrich W, Knipping P, von Laue M (1912). "Interferenz-Erscheinungen bei Röntgenstrahlen". Sitzungsberichte der Mathematisch-Physikalischen Classe der Königlich-Bayerischen Akademie der Wissenschaften zu München 1912: 303.

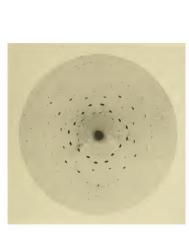
# **2013** - 100 years of the fundamental discovery of Molecular Sciences The structure of NaCl

The Nobel Prize in Physics 1915

William Bragg, Lawrence Bragg



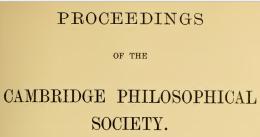




Sir William Henry Bragg

William Lawrence Bragg

The Nobel Prize in Physics 1915 was awarded jointly to Sir William Henry Bragg and William Lawrence Bragg *"for their services in the analysis of crystal structure by means of X-rays"* 



Mr Bragg, Diffraction of Short Electromagnetic Waves, etc. 43

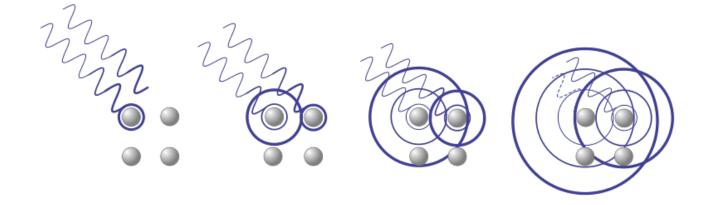
The Diffraction of Short Electromagnetic Waves by a Crystal. By W. L. BRAGG, B.A., Trinity College. (Communicated by Professor Sir J. J. Thomson.)

[Read 11 November 1912.]

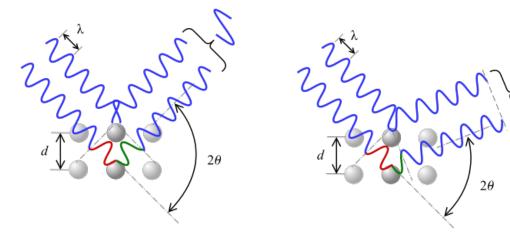
[PLATE II.]

Herren Friedrich, Knipping, and Laue have lately published a paper entitled 'Interference Phenomena with Röntgen Rays\*,' the experiments which form the subject of the paper being carried out in the following way. A very narrow pencil of rays from an X-ray bulb is isolated by a series of lead screens pierced with fine holes. In the path of this beam is set a small slip of crystal, and a photographic plate is placed a few centimetres behind the crystal at right angles to the beam. When the plate is developed, there appears on it, as well as the intense spot caused by the undeviated X-rays, a series of fainter spots forming an intricate geometrical pattern. By moving the photographic plate back-

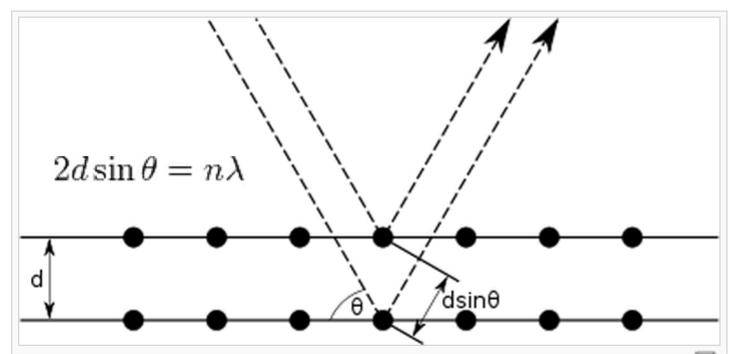
#### The interaction of X-rays with atoms in a crystal



Depending on the 2θ angle, the phase difference os the scattered waves show constructive (left) or destructive (right) interference



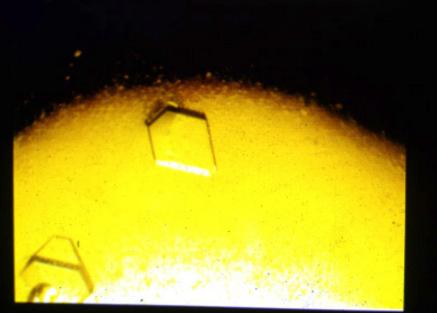
## Bragg's Law



Bragg diffraction. Two beams with identical wavelength and phase approach a crystalline solid and are scattered off two different atoms within it. The lower beam traverses an extra length of  $2d\sin\theta$ . Constructive interference occurs when this length is equal to an integer multiple of the wavelength of the radiation.

#### T.cruzi GAPDH crystals





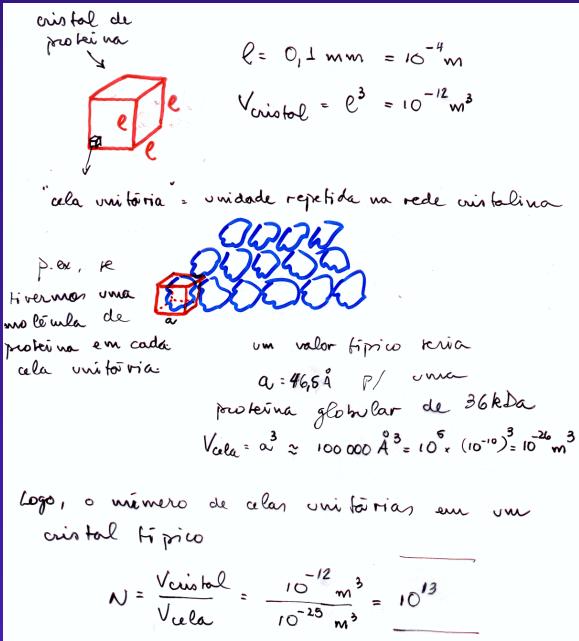
Obtaining adequate protein single crystals is the major bottleneck in the process of elucidating a new structure.

#### Adequate crystals means:

• highly ordered

 suitable size (used to be 0.1mm, nowadays can be microns or even sub-microns when using XFELs)

#### Number of protein molecules in a typical protein crystal



Steps in the analysis of protein crystals by X-ray diffraction

- Crystallization
- X-ray diffraction data collection
- Solution of the Phase Problem
  - ° Multiple Isomorphous Replacement
  - ° Anomalous Dispersion (SAD or MAD)
  - ° Molecular Replacement
- Electron density map interpretation
- Structure refinement